



1 Skin temperature from the Thermal Infrared Sounder IASI

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15 Abstract

16 Skin temperature (T_{skin}) derived from infrared sensors on board satellites provides a
17 continuous view of Earth's surface day and night and allows for the monitoring of
18 global temperature changes relevant for climate trends. T_{skin} from the Infrared
19 Atmospheric Sounding Interferometer (IASI) has not been properly exploited to date
20 to assess its long-term spatio-temporal variability and no current homogenous T_{skin}
21 record from IASI exists. In this study, we present a fast retrieval method of T_{skin}
22 based on an artificial neural network from a set of IASI channels selected using the
23 information theory/entropy reduction technique. We compare and validate our IASI
24 T_{skin} product with that from EUMETSAT Level 2, ECMWF Reanalysis ERA5, SEVIRI
25 land-surface temperature products, as well as ground measurements. Our results
26 show good correlation between the IASI neural network product and the datasets
27 used for validation, with a standard deviation between 1 and 4 °C. This method can
28 be applied to other infrared measurements, and allows for the construction of a
29 robust T_{skin} dataset, making it suitable for trend analysis.

30 1. Introduction

31
32 Land surface temperature, radiometric temperature, or as used hereafter, skin
33 temperature T_{skin} depends on the energy fluxes between the surface and the
34 atmosphere. It is an important factor for studying the Earth's energy balance,
35 convection at the surface, monitoring droughts and in numerical weather prediction
36 (Goldberg et al., 2003; Zhou et al., 2003; Rhee et al., 2010). Although in situ
37 observations play a major role in measuring relevant climate change indicators, local
38 measurements are sparse and unevenly distributed. Global view observations are
39 now routinely available from remote sensors on satellites, providing data from which



40 climate variables, such as T_{skin} can be derived using appropriate retrieval methods.
41 The World Meteorological Organization (WMO) Global Climate Observing System
42 (GCOS) program, aims at identifying requirements for the global climate monitoring
43 system. It recommends 54 key variables (<https://gcos.wmo.int/en/essential-climate-variables/>), called Essential Climate Variables (ECVs), as the atmospheric, land, and
44 ocean components of this monitoring system (GCOS, 2017). Near-surface
45 temperature and skin temperature are both ECVs. In the thermal infrared spectral
46 range, satellites do not measure the well-known thermodynamic near-surface air
47 temperatures (T_{2m}); instead, they measure the skin temperature. It is called “skin”
48 temperature since it corresponds to the radiation emitted from depths less or equal to
49 the penetration depth at a given wavelength (Becker and Li, 1995), which can be as
50 small as 10-20 micrometers at the ocean surface (McKeown et al., 1995). The
51 relationship between T_{skin} and T_{2m} is complex: differences between T_{skin} and T_{2m} can
52 reach several to ten or more degrees under cloud-free, low wind speed conditions,
53 and is usually smaller under cloudy conditions or when solar insolation is low (Prigent
54 et al., 2002; 2003; Good, 2016).
55

56 Satellite retrievals of skin temperatures are available from a variety of polar-orbiting
57 and geostationary platforms carrying microwave and infrared sensors, such as the
58 Spinning Enhanced Visible and Infrared Imager (SEVIRI) onboard the geostationary
59 Meteosat Second Generation (Trigo et al., 2008), the Advanced Very High Resolution
60 Radiometer (AVHRR) sensors onboard the different NOAA polar orbiting platforms
61 and more recently on the suite of Metop satellites (Jin, 2004), the Moderate
62 Resolution Imaging Spectroradiometer (MODIS) on board of the Terra and Aqua
63 satellites (Wan and Li, 1997), the Atmospheric InfraRed Sounder (AIRS, Ruzmaikin
64 et al., 2017), on board the Aqua satellite, and from the Infrared Atmospheric
65 Sounding Interferometer (IASI) on board the three Metop satellites since 2007, 2012
66 and 2018 (Siméoni et al., 1997; Blumstein et al., 2004; Hilton et al., 2012).

67 With a polar orbit, IASI on Metop revisits all points on the Earth’s surface twice a day
68 at around 9:30 am and 9:30 pm local time. IASI is designed for numerical weather
69 prediction, climate research and atmospheric composition monitoring (Collard et al.,
70 2009; Clerbaux et al., 2009; Hilton et al., 2012). It measures radiances in the thermal
71 infrared spectral range between 645 and 2760 cm^{-1} corresponding to 8461 spectral
72 channels, every 0.25 cm^{-1} , with an instrument response function of 0.5 cm^{-1} half-
73 width after apodization. With more than eleven years of data that are now readily
74 available, the instrument provides more than 1.2 million radiance spectra per day with
75 a footprint on the ground of 12 km diameter pixel (at nadir). IASI scenes are reduced
76 by around one third when clear sky filtering (<10% cloud coverage) is applied, a
77 necessity for accessing information at the surface. IASI has been used for
78 atmospheric composition sounding, allowing near-real-time mapping of chemical
79 species and aerosols, contributing to air traffic safety, and improving the
80 understanding of atmospheric transport processes (e.g., Coheur et al., 2009; Clarisse
81 et al., 2011; Clerbaux et al., 2015).



82 The interest in exploiting highly spectrally resolved IASI data to study climate
83 variability has been previously highlighted (Clerbaux et al., 2003; Brindley et al.,
84 2015; Smith et al., 2015). However, relatively little has been done to generate
85 systematic records for climate variables with IASI, although the spectral signature of
86 climate variability and T_{skin} anomalies have been studied for similar instruments (e.g.
87 AIRS, Brindley et al., 2016; Susskind et al., 2019). The instrument is relatively new
88 (radiances are provided since July 2007) and the climate community is still not fully
89 aware of its potential. It is also computationally demanding to systematically process
90 the large amount of data generated by the instrument. However, since IASI is
91 planned for flying at least 18 years, with the 3 instruments built at the same time and
92 flying in constellation, continuity and stability are insured, and the potential of
93 constructing a long-term climate data record is becoming evident. In addition, it is
94 worth noting that the long-term continuation of the program is also guaranteed, as the
95 new generation of Infrared Atmospheric Sounding Interferometers (IASI-NG)
96 (Clerbaux and Crevoisier, 2013; Crevoisier et al., 2014), will be launched on three
97 successive Metop - Second Generation satellites within the 2022-2040 timeframe.

98 IASI data are disseminated by EUMETSAT (European organization for the
99 exploitation of METeorological SATellites) (Klaes et al., 2007). It processes a T_{skin}
100 product from the series of the Metop satellites for day-to-day meteorological
101 applications. This T_{skin} product is derived from IASI upwelling radiances but also
102 relies on other microwave instruments on board of Metop, particularly for cloudy
103 scenes. This dataset is not homogeneous in time, neither for the Level 1C (L1C),
104 radiances, nor for Level 2 (L2) operational products (e.g. temperature, humidity,
105 cloud cover, etc.). Changes occurred with evolving versions of the processing
106 algorithm (EUMETSAT, 2017a; EUMETSAT, 2017b), with the algorithm mostly stable
107 after 2016. The Metop-A L1C record has been reprocessed back in time at
108 EUMETSAT for the period 2007-2017, and is used in this work, and will be publically
109 available in summer 2019. L1C data after 2017 are not reprocessed because they
110 are assumed to be up to date. The Level 2 series has not yet been reprocessed back
111 in time, which complicates the construction of a homogeneous T_{skin} data record from
112 IASI.

113 More generally, high volumes of data resulting from IASI present many challenges in
114 data transmission, storage, and assimilation. One of the simplest methods for
115 reducing the data volume is channel selection. The goal of this study is to present a
116 fast and reliable method developed to retrieve T_{skin} from radiances using a limited set
117 of radiances from the newly reprocessed IASI L1C data record in the thermal infrared
118 in order to have a consistent and homogeneous product covering the whole IASI
119 sounding period.

120 The challenge is therefore to find the optimal set of channels from which skin
121 temperature can be retrieved. In the following section 2, we present an approach
122 based on entropy reduction (Rodgers, 1996; Collard, 2007) from which we deduce a
123 set of 100 channels most sensitive to skin temperature from the IASI 8461 channels.
124 The dataset is then used to retrieve skin temperature from IASI's cloud-free

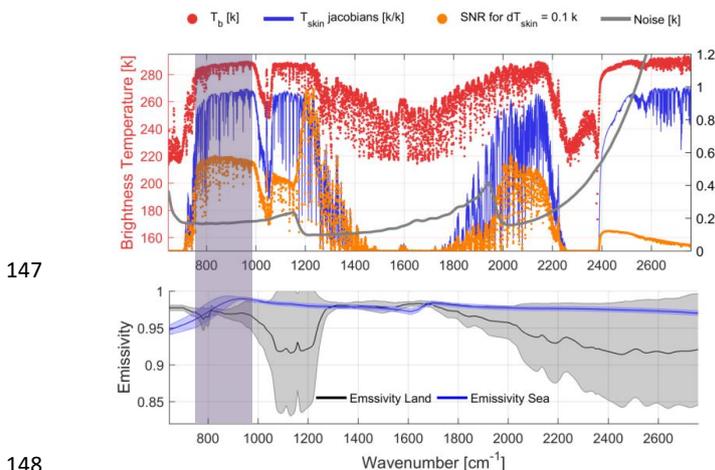


125 radiances using an artificial neural network (ANN). In section 3 we validate the
126 product and we conclude this paper with a discussion in section 4 of the current
127 challenges in validation and comparison of different T_{skin} products.

128 2. Data and methods

129 2.1. Choice of IASI spectral window for T_{skin} retrieval

130 IASI uses three detectors to fully cover the spectral range that extends from 645 to
131 2760 cm^{-1} (15.5 to $3.62 \text{ }\mu\text{m}$) with no gaps. To understand the spectral window that
132 must be used for T_{skin} retrieval, we show in Figure 1, upper panel, a IASI typical
133 cloud-free spectra, with the corresponding Jacobian (the sensitivity of the IASI
134 brightness temperature to the skin temperature), as well as Signal to Noise Ratio
135 (SNR), and radiometric noise. The recorded spectrum, with an example shown in red
136 in the upper panel of Figure 1, in brightness temperature units, exhibits signatures
137 associated with spectroscopic absorption/emission lines of molecules present along
138 the optical path between the Earth's surface and the satellite detectors. From these
139 spectra, geophysical data such as temperature profiles and atmospheric
140 concentrations of trace gases can be derived from selected spectral windows.
141 Channels that are candidates for T_{skin} retrieval are therefore located in spectral
142 windows with little interference from other absorbing/emitting molecules, and are also
143 those where the T_{skin} Jacobians (blue line in upper panel) are the highest. These are
144 the spectral ranges before and after the ozone band, i.e., $800\text{-}1040 \text{ cm}^{-1}$ and 1080-
145 1150 cm^{-1} , the small spectral window after the water vapor continuum at $\sim 2150 \text{ cm}^{-1}$
146 and the spectral range $> 2400 \text{ cm}^{-1}$.



147
148 Figure 1. *Upper panel:* brightness temperatures for a random cloud-free spectrum
149 (red). On the right axis, T_{skin} Jacobians in k/k (dark blue), signal-to-noise ratio
150 obtained for a variation of T_{skin} of 0.1 k (orange), and IASI radiometric noise spectrum
151 (grey), calculated using RTTOV (Saunders et al., 2018). *Lower panel:* Average
152 emissivity over land (black), and sea (blue), with the corresponding standard
153



154 deviation in shaded colors around the lines. The shaded vertical strip shows the
155 spectral window used for T_{skin} retrievals in this study.

156

157 The window $> 2400 \text{ cm}^{-1}$, as well as that around $\sim 2150 \text{ cm}^{-1}$ may be contaminated by
158 solar radiation during the day. In terms of SNR, the very important values of the
159 radiometric noise at $>2400 \text{ cm}^{-1}$ induces a low value of the SNR. The spectral band
160 at $\sim 2150 \text{ cm}^{-1}$ presents a slightly weaker performance than the spectral ranges
161 around the ozone absorption band. These two spectral bands (~ 2150 and $> 2400 \text{ cm}^{-1}$)
162 are therefore not critical for the T_{skin} retrieval and are discarded.

163

164 The lower panel of Figure 1 shows the average emissivity over land (in black) and
165 sea (in blue). Emissivity is needed to calculate T_{skin} from the radiative transfer
166 equation. In this work, we want to use a method without prior assumption on
167 emissivity. Nevertheless, we should be careful with our choice of channels' emissivity
168 in our selected spectral window. We can see that on the right of the ozone band,
169 around $1100\text{-}1200 \text{ cm}^{-1}$, the variability of the emissivity, especially over land is much
170 more important than the window between 750 and 970 cm^{-1} , shown in the shaded
171 rectangle in Figure 1, where also the noise is smaller, and the SNR higher. This
172 makes this spectral window the best candidate for T_{skin} retrieval.

173

174 2.2. Channel Selection based on Entropy Reduction

175

176 We use an iterative method where channels are selected based on their ability to
177 reduce the uncertainty of retrieving temperature. It was proposed by Rodgers (1996,
178 2000), evaluated for IASI by Rabier et al. (2002) and applied by Collard et al. (2007)
179 to Numerical Weather Prediction (NWP).

180 The method has been rigorously studied and relies on evaluating the impact of the
181 addition of single channels on a theoretical retrieval based on a figure of merit, such
182 as the Entropy Reduction (ER), used in this study, and defined as follows:

183

$$184 \quad ER = \frac{1}{2} \log_2 \left(\frac{B}{A} \right). \quad \text{Eq. (1)}$$

185

186 ER measures the probabilities of the ensemble of possible states in the retrieval, and
187 is maximal if all the states have an equal probability. The lower the entropy of the
188 ensemble, the better the retrieval. The channel that reduces this entropy emphasizes
189 a particular state of the retrieval. Entropy reduction is a metric derived from
190 information theory. In Eq. (1), A is the analysis-error covariance matrix, and B is the
191 background/*a priori* error covariance matrix, with:

192

$$193 \quad A = (B^{-1} + H^T R^{-1} H)^{-1}, \quad \text{Eq. (2)}$$

194

195 Where H is the Jacobian matrix of T_{skin} and R the covariance matrix of instrumental
196 and radiative transfer noises. "External variables" such as water vapor or ozone can



197 contaminate a given candidate T_{skin} channel by absorbing in the targeted spectral
198 range. This might affect the selection, and introduces an error that should be added
199 to the A matrix (Aires et al. 2016, Pellet and Aires, 2016). If those errors were not
200 included in the background B matrix, the quality of the selected channels might be
201 artificially over-estimated. When this contaminating effect is defined explicitly, Eq. (2)
202 is updated to:

203

$$204 \quad A_{V^{-1}} = B_V^{-1} + H_V^t \cdot (R + H_V \cdot B_V \cdot H_V^t)^{-1} \cdot H_V \quad \text{Eq. (3)}$$

205

206

207 Where V is the variable to be retrieved (T_{skin}) and v is the external variable (e.g.
208 ozone or water vapor). This equation is valid by making some assumptions, in
209 particular that no correlation between V and v exists and that the impact of this
210 external variable contamination on the channel is an error with Gaussian distribution
211 with covariance matrix $H_V^t \cdot B_V \cdot H_V$.

212

213 In most channel selection analyses, the errors from external variables (such as that
214 of relative humidity or ozone) are not taken into account in the measurement of the
215 information content of the candidate channel. Collard (2007) attempted to take into
216 account the effects of trace gases not included in the radiative transfer simulation by
217 inflating the observation errors for channels that showed sensitivity to the missing
218 species. A more complete approach was adopted by Ventress and Dudhia (2014),
219 who used climatological variability of atmospheric constituent species to model their
220 effect on the radiances during the channel selection process.

221 In this work, we explicitly consider the contamination effect in the selection process of
222 dedicated T_{skin} related-channels. This refined methodology improves the
223 representation of contamination effects from atmospheric species and therefore the
224 reliability of the background error covariance matrix B . This matrix B characterizes
225 the quality of the a priori information and varies in space and time in order to account
226 for its complex state-dependence. For this work, we derive a Gaussian B matrix as:
227 $B = Cov(x, y) = Corr(x, y) \cdot \sigma(x) \cdot \sigma(y)$, where σ is the standard deviation of each of
228 the variables to consider (T_{skin} , atmospheric temperature, relative humidity, and
229 ozone) at the vertical level x and y . An uncertainty of $\sigma = 2$ k is chosen for T_{skin} as
230 done in the study by Collard (2007). The covariance and correlation matrices of the
231 background errors for relative humidity and ozone are calculated based on the widely
232 used assumption that humidity (or ozone) error correlation between the vertical layers
233 is close to the actual associated humidity (or ozone) correlation. We choose to have
234 the covariance matrices B for humidity and ozone based on the raw humidity and
235 ozone correlation matrices, and an error variance (σ^2) of 20% for humidity, and 30%
236 for ozone on each vertical atmospheric layer. As humidity and ozone can impact T_{skin}
237 channel selection, error along the vertical is needed for T_{skin} retrieval.

238 An iterative method (Rodgers, 1996) is used to forwardly select the most informative
239 channels. In order to speed up the computations, an efficient algorithm was



240 developed assuming that the observation errors are uncorrelated between channels.
241 However, as the IASI radiances are apodized, and thus have highly-correlated errors
242 between adjacent channels, a channel is not selected if its immediate neighbor is
243 already chosen (Collard, 2007).

244 The iterative procedure is initialized with $A_0 = B$, and the Jacobian H (which is
245 constant during the iteration) is normalized with the instrumental noise covariance
246 matrix R , as follows: $H' = R^{-1/2}H$.

247 According to Rodgers (1996), the updated analysis error covariance matrix at each
248 iteration step i can be calculated from the previous step $i - 1$ as follows:

$$249 \quad A_i = A_{i-1} - \frac{(A_{i-1}h')(A_{i-1}h')^T}{1 + (A_{i-1}h')^T h'}$$

250

251 Where h' is the column vector equal to the row of H' for the candidate channel.

252 The ER change between two iterations can now be written as:

$$253 \quad \delta ER = \frac{1}{2} \log_2(1 + h'^T A_{i-1} h')$$

254 At each step, the channel that has the largest information content (measured as a
255 reduction of the entropy of the corresponding T_{skin} retrieval when the candidate
256 channel is used) is selected, given the information content of the previously selected
257 channel(s). The channel selection starts with no channel selected, and sequentially
258 chooses the channel with the highest information content in complement to the
259 information from all the previously selected channels.

260 The spectra and Jacobians used in this study were simulated using the last version of
261 the Optimum Spectral Sampling (OSS) radiative transfer model (Moncet et al., 2008),
262 using the Thermodynamic Initial Guess Retrieval (TIGR3) database (Chevallier et al.,
263 1998), and more detailed description on the atmospheric profiles, the radiative
264 transfer code, and the Jacobians, can be found in Pellet and Aires (2018).

265 Here, a channel selection is only performed over the spectral window of T_{skin} retrieval
266 as was discussed in section 2.1, and is shown in Figure 2. The IASI spectral window
267 was divided into 100 spectral subsets and a channel selection was applied to each.
268 Using this method, we selected the best 100 channels in terms of information content
269 and the resulting selection is listed in Table 1 and presented in Figure 2. The figure
270 shows that most of the selected channels are between 760 and 980 cm^{-1} . However,
271 few channels are also selected for wavenumbers $< 760 \text{ cm}^{-1}$ since in this part of the
272 spectrum, the atmospheric vertical levels are very correlated to one another and
273 therefore information on the surface exists in these channels.

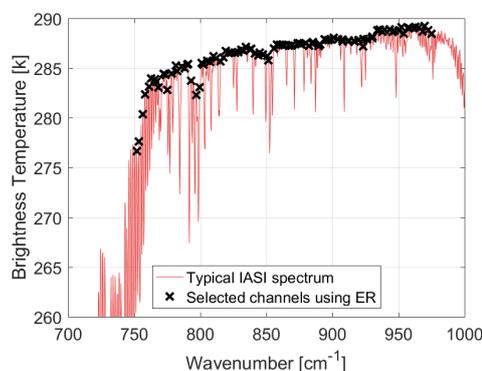


Figure 2. The location of the 100 selected channels using the ER method displayed on a IASI spectrum.



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Table 1. The 100 channels used for T_{skin} retrieval selected using the Entropy Reduction (ER) method. Channels are sorted from the highest to the lowest information content (top to bottom and left to right).

Channel	Wavenumber (cm ⁻¹)						
1300	969.75	1038	904.25	853	858.00	682	815.25
1282	965.25	1100	919.75	984	890.75	582	790.25
1249	957.00	1001	895.00	862	860.25	630	802.25
1272	962.75	1321	975.00	771	837.50	625	801.00
1254	958.25	1209	947.00	759	834.50	574	788.25
1294	968.25	1069	912.00	752	832.75	584	790.75
1230	952.25	997	894.00	797	844.00	547	781.50
1164	935.75	1070	912.25	745	831.00	551	782.50
1267	961.50	921	875.00	775	838.50	565	786.00
1194	943.25	962	885.25	801	845.00	516	773.75
1179	939.50	1051	907.50	714	823.25	510	772.25
1222	950.25	940	879.75	706	821.25	593	793.00
1311	972.50	916	873.75	698	819.25	534	778.25
1086	916.25	1114	923.25	844	855.75	484	765.75
1157	934.00	950	882.25	726	826.25	472	762.75
1172	937.75	869	862.00	810	847.25	488	766.75
1142	930.25	1237	954.00	736	828.75	494	768.25
1203	945.50	926	876.25	824	850.75	466	761.25
1018	899.25	961	885.00	691	817.50	619	799.50
1141	930.00	875	863.50	669	812.00	609	797.00
1009	897.00	979	889.50	661	810.00	521	775.00
1089	917.00	889	867.00	786	841.25	454	758.25
1115	923.50	899	869.50	827	851.50	447	756.50
1025	901.00	897	869.00	642	805.25	435	753.50
1126	926.25	1052	907.75	650	807.25	429	752.00

289
 290

2.3. Artificial Neural Network for T_{skin} retrievals

291 Artificial neural networks (ANN) method is used to approximate the complex radiative
 292 transfer function that maps the radiances to skin temperature. The training dataset is
 293 constructed out of clear-sky (cloud cover <10%) Level 1C (L1C) IASI radiances over
 294 the 100 channels selected in section 2.2. We train our ANN with these IASI radiances
 295 but test two different datasets as output/target. In the first, we use the T_{skin} from the
 296 ERA5 reanalysis (Copernicus Climate Change Service, 2017) as output/target. T_{skin}
 297 is very sensitive to surface properties, which depend on local meteorological
 298 conditions (Good, 2016). To this end, a few dedicated ERA5 experiments were
 299 performed at ECMWF at a 12-minute time-step (as opposed to the publicly released



300 hourly T_{skin} product), each spanning a couple of days. The aim of these experiments
301 is to increase the temporal resolution and therefore increase the performance of the
302 neural network obtained. Four days in January and June 2018 are used for the
303 training to represent seasonality. We interpolate ERA5 space/time grid to IASI's
304 observations (at 9:30 AM and PM local time). We provide more information on the
305 ERA5 reanalysis in section 3. The resulting training dataset is formed out of around
306 5.9×10^5 scenes.

307 In the second training, we use EUMETSAT L2 T_{skin} product as target. EUMETSAT
308 T_{skin} is derived from Metop observations and the IASI instrument. They are therefore
309 collocated in space and time. Since major and minor updates on the processing
310 algorithms of the L1C and L2 EUMETSAT product took place in the past 10 years
311 (EUMETSAT, 2017a; 2017b), the ANN training in this study uses a recent and
312 coherent year, 2018. To represent the seasonal variability, scenes from January 1st,
313 April 1st, July 1st, and October 1st 2018 are used. The resulting training dataset is
314 formed out of around 9×10^5 scenes for EUMETSAT. More information on the
315 EUMETSAT T_{skin} product is provided in section 3.

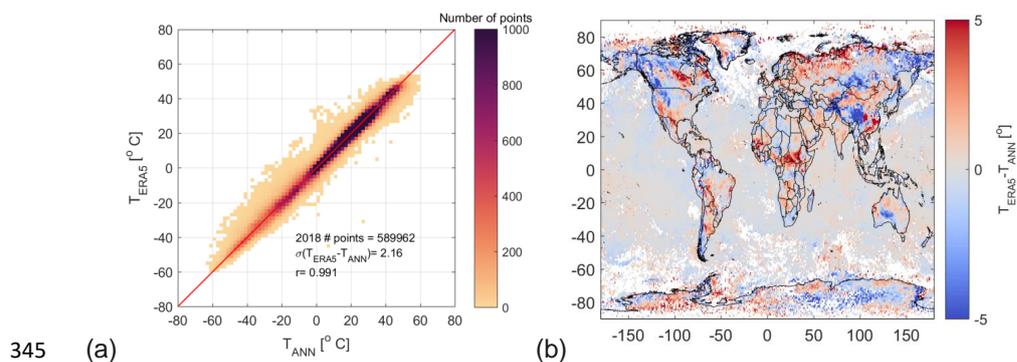
316 Since IASI has more frequent overpasses at the poles (given its polar orbit), a
317 weighting function is applied to equally distribute the number of scenes around the
318 globe. The training is done using mini-batches with a maximum of 10.000 epochs to
319 train. The ANN has 2 hidden layers with 4 nodes, and a network training function that
320 updates weight and bias values according to Levenberg-Marquardt optimization.

321 The neural network learns how to associate any set of radiances to a corresponding
322 skin temperature. The feasibility of using ANN to T_{skin} retrieval has been shown for
323 instance by Aires et al. (2002) for IASI, and has also been performed to tackle
324 various problems in atmospheric remote sensing (Blackwell and Chen, 2009; Hadji-
325 Lazaro et al., 1999; Whitburn et al., 2016; Van Damme et al., 2017). In the following
326 " T_{ANN} " refers to the product developed in this study using artificial neural networks
327 from IASI radiances.

328
329 Figure 3 shows the training results when the T_{ANN} is compared with the T_{ERA5} dataset
330 is used for the training, and in Figure 4 when the T_{EUMETSAT} is used for the training.
331 We achieve a good agreement with a standard deviation of 2.2 and 1.6 respectively
332 and a correlation coefficient close to 1. The largest differences are for points located
333 near the poles and at high altitudes. One of the reasons behind the discrepancies in
334 mountainous regions is the general under-representation of the orography in global
335 numerical weather prediction (NWP) and climate models, due to their limited
336 horizontal resolution. Orographic features exert drag and its correct representation in
337 models is extremely challenging. The incorrect representation of drag might lead to
338 errors in simulating surface properties and might be responsible for the bias seen in
339 mountainous regions (ECMWF, 2016). Moreover, with altitudes and variable
340 emissivity in these regions, the neural network fails (to some extent) to properly map
341 the altered radiances due to surface inhomogeneity into a correct skin temperature.

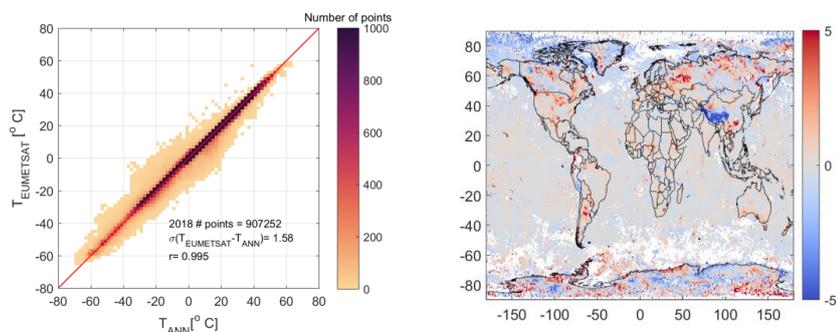


342 Figures 3b and 4b also show how the difference between the two products is lowest
343 over the sea, which can suggest the robustness of this method, in particular for sea
344 skin temperature analysis.



347 Figure 3. Neural network performance when trained with ERA5 data: (a) scatterplot
348 and correlation, (b) gridded and averaged spatial comparison.

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353 Figure 4. Neural network performance when trained with EUMETSAT data: (a)
354 scatterplot and correlation, (b) gridded and averaged spatial comparison.

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2.4. Datasets used for validation

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358 We compare the T_{ANN} from the two training datasets to the EUMETSAT L2 product,
359 the ECMWF ERA5 reanalysis, the SEVIRI satellite retrieval, and ground
360 observations. We described each briefly hereafter.

2.4.1. EUMETSAT T_{skin} product

362 Meteorological L2 data from EUMETSAT (August et al., 2012) are provided for nearly
363 all IASI observations by deriving T_{skin} primarily from IASI for cloud-free scenes and
364 using the Advanced Microwave Sounding Unit (AMSU), and the Microwave Humidity



365 Sounder (MHS) for cloudy scenes (EUMETSAT, 2017a; 2017b). AMSU and MHS are
366 multi-channel microwave radiometers, which measure radiances in 15 and 5 discreet
367 frequency channels respectively, and provide information on various aspects of the
368 Earth's atmosphere and surface. They both can be used for cloud-contaminated
369 scenes, since they are synchronized with IASI's scanning. The algorithm is based on
370 optimal estimation. Since the algorithm uses on instruments on board of Metop, the
371 IASI ANN cloud-free radiances used in this study are also co-localized in space and
372 time.

373 **2.4.2. ERA5 T_{skin} product**

374 In the framework of the ECMWF latest reanalysis (ERA5) (Hersbach and Dee, 2016;
375 Hersbach et al., 2018; Copernicus Climate Change Service, 2017), skin temperature
376 is defined as the temperature of the surface at radiative equilibrium. It is derived from
377 the surface energy balance within the land model in ERA5 and no assimilation of
378 surface skin temperature observations takes place. Radiances on the other hand, are
379 assimilated. The surface energy balance is satisfied independently for each tile by
380 calculating its skin temperature. The skin layer represents the vegetation layer, the
381 top layer of the bare soil, or the top layer of the snow pack. In order to calculate the
382 skin temperature, the surface energy-balance equation is linearized for each tile
383 leading to an expression for the skin temperature (ECMWF, 2016). Over the ocean,
384 the sea surface temperature (SST) is specified from an analysis provided by the
385 Operational Sea Surface Temperature and Ice Analysis (OSTIA, McLaren et al.,
386 2016) from September 2007 and prior to that date from the Met Office Hadley Centre
387 HadISST2 product (Hirahara et al., 2016). The SST analysis is a blend of satellite
388 retrievals and in situ observations from ships, and ensures a detailed horizontal
389 distribution from satellite data anchored to the sparse ship observations. The
390 resulting SST fields are therefore calibrated as if they are ship observations and
391 therefore they represent bulk SST fields (i.e. measured a few meters deep). Since
392 the ocean skin temperature (<1 mm thickness) might be cooler than the SST
393 because of the turbulent and long wave radiative heat loss to the atmosphere,
394 parameterizations of different near surface ocean effects are included in the code
395 (ECMWF, 2016).

396 **2.4.3. SEVIRI T_{skin} product**

397 The Spinning Enhanced Visible and Infrared Imager (SEVIRI) onboard the
398 geostationary Meteosat Second Generation (MSG) satellite scans the Earth surface
399 every 15 min and provides observations in 12 spectral channels with a sampling
400 distance of 3 km at nadir. MSG's nominal position at 0° longitude and SEVIRI's large
401 field of view (up to 80° zenith angle) allows for frequent observations of a wide area
402 encompassing Africa, most of Europe and part of South America (Schmetz et al.,
403 2002).



404 The land surface temperature (LST) product (LSA-001) used for validation here
405 (Trigo et al., 2011; Freitas et al., 2010) is retrieved by the EUMETSAT Land Surface
406 Analysis Satellite Application Facility (LSA SAF) with the generalized split-window
407 method, which requires land surface emissivity as input data. IASI and SEVIRI data
408 are spatially co-located when observations from each instrument are less than 5
409 minutes apart, and within 0.25 degrees in longitude and latitude.

410

411 **2.4.4 Ground observations**

412

413 The ground observations are from Gobabeb wind tower, Namibia (23.551° S 15.051°
414 E, location shown in Figure 7, Göttsche et al., 2016). Gobabeb station is located on
415 the large and homogenous Namib gravel plains (Göttsche and Hulley, 2012).
416 Göttsche et al. (2013) showed that the station T_{skin} is representative for an area of
417 several 100 km², making it suitable for validation with satellite measurements. T_{skin} is
418 obtained once per minute with the station's core instrument, an infrared precision
419 radiometer (Heitronics KT15.85 IIP) measuring radiances between 9.6 and 11.5 μm .
420 The temperature resolution is given as 0.03 K with an uncertainty of ± 0.3 K over the
421 relevant range, and high stability with a drift of less than 0.01% per month (Goettsche
422 et al., 2013).

423

424 **3. Results**

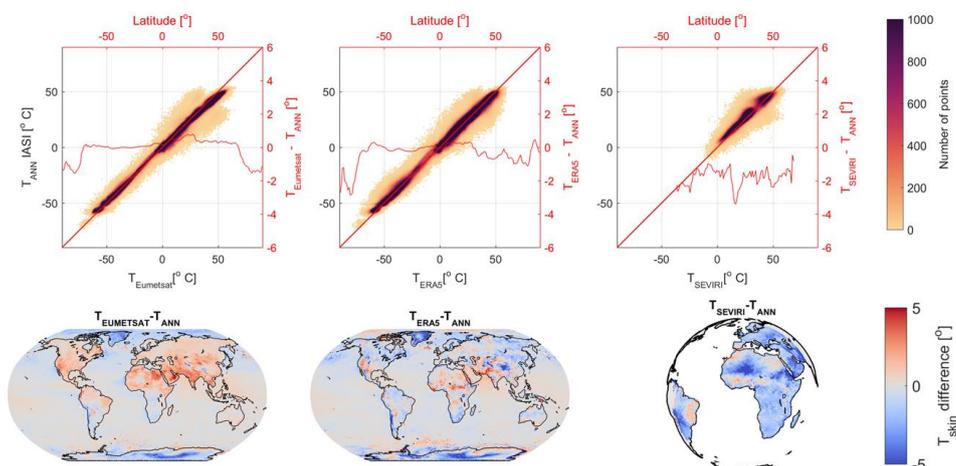
425 To validate the T_{ANN} product, the month of June 2016 is chosen. Since we train our
426 neural network with 2018 data, 2016 is a good choice and data is readily available for
427 this year. T_{ANN} is calculated from the two ANNs obtained in section 2 by applying it to
428 each set of 100 radiances retrieved from IASI for all cloud-free observations in June
429 2016.

430 **3.1. Validation of the T_{ANN} obtained from the ERA5 neural network**

431 Figure 5 shows the comparison of the T_{ANN} IASI obtained from the training of IASI
432 radiances with ERA5 12-minute data. We start by performing the validation with the
433 EUMETSAT, ERA5, and SEVIRI T_{skin} datasets. The upper panel shows the
434 correlation plots, superimposed with the average difference by latitude in red. T_{ANN}
435 from IASI compares best with the EUMETSAT T_{skin} product (standard deviation
436 $\sigma=1.83^{\circ}\text{C}$), which is plausible since it is also obtained from IASI radiances.
437 Comparison with ERA5 also shows a correlation close to 1, and $\sigma=2.17^{\circ}\text{C}$. The
438 largest differences for both EUMETSAT and ERA5 products are found around the
439 poles, which are probably due to the sensitivity of radiances to surface properties and
440 to orography-related physical processes in the ECMWF model as previously
441 discussed. Moreover, ERA5 data are at $0.25^{\circ}\times 0.25^{\circ}$ resolution (native horizontal
442 resolution of ERA5 is $\sim 31\text{km}$) and are interpolated to the center of the IASI pixel
443 observation, which might correspond to a different surface type and might lead to
444 differences in temperatures. For the comparisons between T_{ANN} IASI and T_{skin}

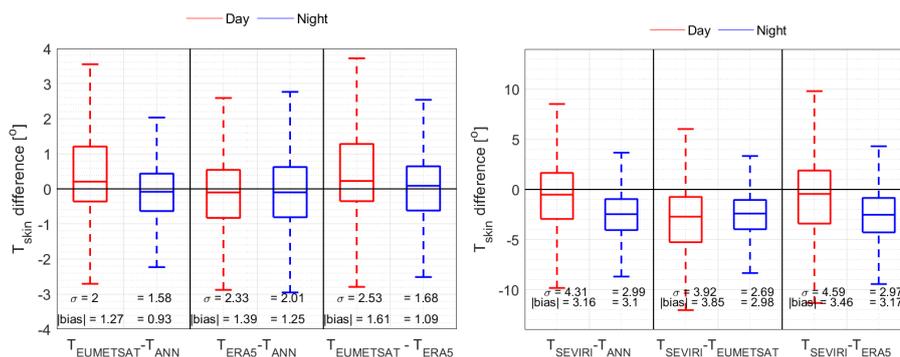


445 SEVIRI a standard deviation of $\sigma=3.78$ K is determined with the largest differences
 446 over the Arabian Peninsula. For large viewing angles, in particular near the edge of
 447 the Meteosat disk (such as the Arabian Peninsula), the uncertainty of SEVIRI T_{skin} is
 448 high (Freitas et al., 2010). A study by Trigo et al. (2015) reported similar to larger cool
 449 biases in the rest of the domain between the ECMWF model data and SEVIRI,
 450 especially over semiarid regions, such as North Africa, Sahara, and Namibia. In the
 451 rest of the domain, the two datasets agree reasonably well.
 452



453
 454 Figure 5. Validation of the T_{skin} ANN product (T_{ANN}) from the neural net training of
 455 IASI radiances with ERA5, with products from EUMETSAT, ERA5 and SEVIRI, for
 456 June 2016. Upper panel: correlation plots weighted with the number of co-localized
 457 observations during one month. Lower panel: gridded and averaged spatial
 458 difference [$T - T_{\text{ANN}}$]. For day + night data: $\sigma(T_{\text{EUMETSAT}} - T_{\text{ANN}}) = 1.83$, $\sigma(T_{\text{ERA5}} -$
 459 $T_{\text{ANN}}) = 2.17$, $\sigma(T_{\text{SEVIRI}} - T_{\text{ANN}}) = 3.78$. The total number of points for the global
 460 comparison is 8.2×10^6 and 4.96×10^5 for the SEVIRI comparison.
 461
 462

463 While this paper focuses on validating IASI T_{ANN} , inter-comparisons between the
 464 different products (ERA5 with EUMETSAT L2 or EUMETSAT L2 with SEVIRI, etc.)
 465 are valuable for assessing their differences. Figure 6 shows the box plot of these
 466 inter-comparisons, with the absolute bias and standard deviation of the comparison
 467 between the products. We perform inter-comparisons for day- and night-times
 468 separately. At nighttime, the absence of solar illumination allows a direct comparison
 469 of the skin temperature retrieved or modelled from different instruments. It can be
 470 seen that the T_{ANN} product developed in the framework of this study is within the
 471 range of biases among the other products comparison.



472
 473 Figure 6: Boxplot of the June 2016 inter-comparison of the different T_{skin} products
 474 used in this study. Since the matching with SEVIRI leads to fewer co-localized data
 475 points covering the SEVIRI disk, they are shown on a separate figure on the right.
 476 The central mark indicates the median, and the bottom and top edges of the box
 477 indicate the 25th and 75th percentiles.

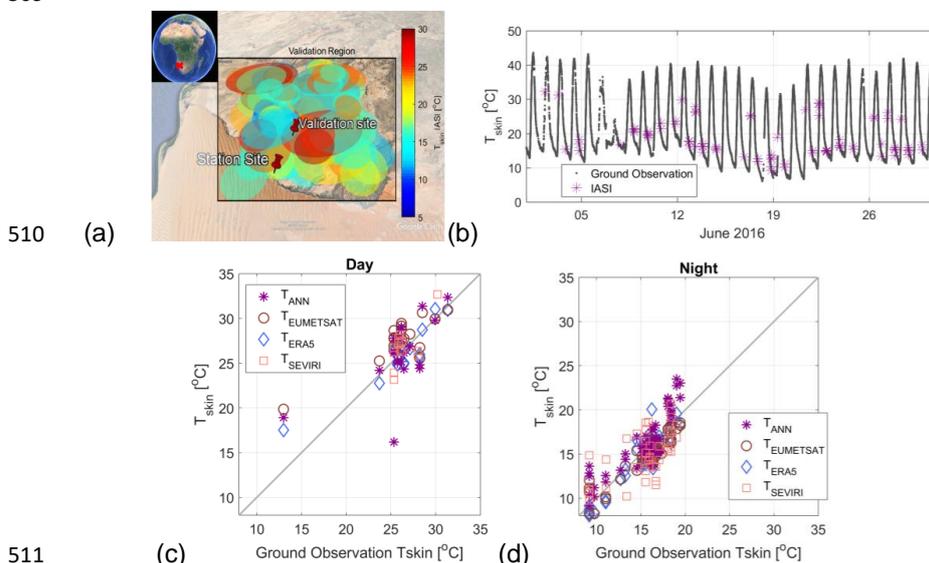
478
 479 Figure 6 shows that the night observations of T_{ANN} , $T_{EUMETSAT}$ and T_{ERA5} seem to
 480 agree better with each other, an expected result and also detected for other satellite
 481 data (August et al., 2012; Martin et al., 2019).

482 Comparison with SEVIRI shows a consistent negative bias during the night when
 483 compared to T_{ANN} , $T_{EUMETSAT}$ and T_{ERA5} . Several studies (e.g., Garand, 2003; Zheng
 484 et al., 2012) already reported cold biases between SEVIRI and other T_{skin} products.
 485 For the ECMWF model, the cold bias over land was identified for a previous version
 486 of the model by Trigo and Viterbo (2003) and for a more recent version by Trigo et al.
 487 (2015). A misrepresentation of surface energy fluxes, either because of deficiencies
 488 in the parameterization of aerodynamic resistances, or in the partitioning between
 489 latent and sensible heat fluxes are frequent causes of these deviations (Trigo et al.,
 490 2015). The EUMETSAT T_{skin} product seem to agree the least with SEVIRI both
 491 during the day and the night, similar to what was reported by August et al., 2012. The
 492 standard deviation is the largest during the day, since the comparison is affected by
 493 the different Sun–surface–instrument geometries. Shadows due to orography or
 494 vegetation for example change in daytime with varying SEVIRI and Metop scan angle
 495 (August et al., 2012).

496
 497 We also use station data for June 2016 for validating the T_{ANN} product. This site is
 498 chosen in order to minimize complications from spatial scale mismatch between
 499 ground-based and satellite sensors. IASI cloud-free data was co-localized in space
 500 and time (within 1 minute of the station data). The spatial matching is done around
 501 0.5° of a validation site [$15.17^\circ E$, $23.18^\circ S$] which location is shown in shown in panel
 502 (a). This validation location was chosen because it is close of the station site and is
 503 representative of the same gravel plain surface, yet, away from the sand dunes
 504 limiting the station. The location of the station and the corresponding IASI



505 observations is shown in Figure 7, panel (a). The total number of coincident IASI data
 506 points around this area is 82. The validation of the T_{ANN} with in-situ T_{skin} is shown in
 507 Figure 7 panels (b), (c) and (d).
 508
 509



511 Figure 7: Comparison of IASI T_{ANN} with ground observations at Gobabeb: (a) station
 512 location and the 82 coincident IASI observations in June 2016 around the validation
 513 site chosen so all IASI observations fall in the gravel plains; (b) Diurnal variation of
 514 T_{skin} ; (c) T_{ANN} versus in-situ T_{skin} during the day; and (d) during the night.
 515
 516

517 Panel (b) of Figure 7 shows the strong diurnal variation of T_{skin} observed at Gobabeb.
 518 The IASI data are either from the morning (~9-10 am depending on the satellite
 519 swath) or evening overpass (~9-10 pm): they are therefore always separated by ~12
 520 hours.

521 Day and night correlation coefficients are > 0.9. Table 2 lists how the different
 522 datasets used for validation compare to ground measurements. During the day, T_{ANN}
 523 agrees the least with the station data, driven by the one point in Figure 7 panel (c)
 524 that has the largest bias. At night, T_{ANN} comparison with ground measurements is
 525 better, so is the comparison with other datasets, as also seen in Figure 7. Absolute
 526 biases mostly range between 0 and 2 K, which is similar to the T_{skin} spatial variability
 527 around Gobabeb station determined with detailed measurements carried by
 528 Goettsche et al. (2013). Comparison with other satellite measurements shows a
 529 general bias between -2 and 5 kelvins in summer months (Martin et al., 2019).
 530

531 Table 2. Correlation coefficient, standard deviation, and absolute relative bias (%),
 532 between ground based T_{skin} and the different datasets used in this study

	Day	Night
--	-----	-------



	Standard deviation [°]	Absolute bias [°]	Standard deviation [°]	Absolute bias [°]
T_{ANN} – ground	3.12	2.14	1.67	1.41
T_{EUMETSAT} – ground	1.99	2.03	1.00	1.06
T_{ERA5} – ground	1.57	1.18	1.06	1.01
T_{SEVIRI} – ground	1.67	1.50	2.45	2.09

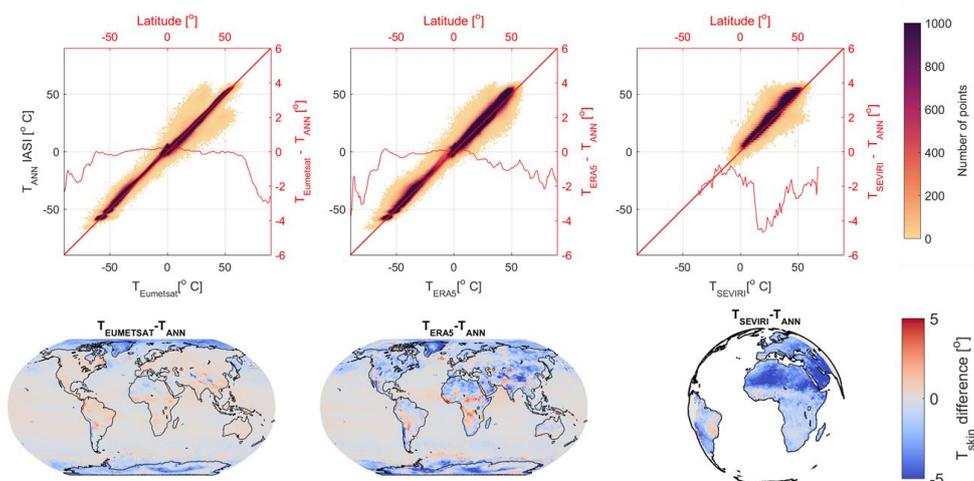
533

3.2. Validation of the T_{ANN} obtained from the EUMETSAT neural network

534

535

536 The validation presented hereafter is similar to what was shown in Figures 5, 6, and
 537 7, and the discussion used for the discussion of the biases in those figures applies
 538 here too. Since the T_{ANN} validated here is derived from the EUMETSAT L2 product, it
 539 compares best with it as it is seen in Figure 8.



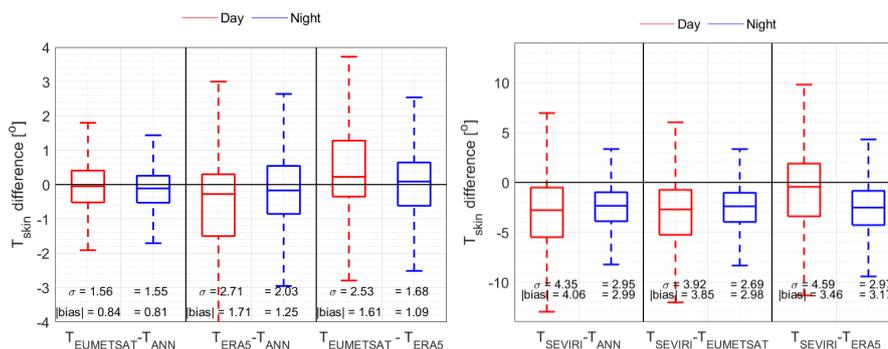
540

541 Figure 8. Same as Figure 5 but for T_{ANN} derived from the EUMETSAT T_{skin} neural
 542 network. For day + night observation: $\sigma(T_{EUMETSAT} - T_{ANN}) = 1.56$, $\sigma(T_{ERA5} - T_{ANN}) = 2.41$, $\sigma(T_{SEVIRI} - T_{ANN}) = 3.67$. The total number of points for the global comparison
 543 is 8.2×10^6 points and 4.96×10^5 for the SEVIRI comparison.
 544

545

546

547 Figure 9 is derived from data used in Figure 8, but separated into day and night, and
 548 includes the inter-comparison of the different products with each other. The y-axis
 549 limit is kept the same as in Figure 6 for quick comparisons. Again, T_{ANN} in this case
 550 agrees best with the EUMETSAT product, but also shows a similar good
 551 performance when compared to other datasets.



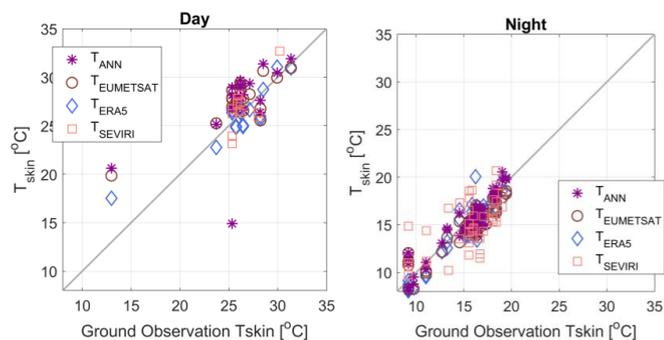
552

553

554 Figure 9: Boxplot of the June 2016 inter-comparison of the different T_{skin} products
 555 used in this study. Since the matching with SEVIRI leads to fewer co-localized data
 556 points covering the SEVIRI disk, they are shown on a separate figure on the right.
 557 The central mark indicates the median, and the bottom and top edges of the box
 558 indicate the 25th and 75th percentiles.

559

560 Finally, comparison with ground observation in Figure 10 shows a better performance
 561 of T_{ANN} than what was presented in Figure 6. Table 3 hereafter details the day and
 562 night biases where we can see that the T_{ANN} in this case agrees better with ground
 563 measurements that what we presented in Table 2.



564

565 Figure 10: Comparison of IASI T_{ANN} derived from EUMETSAT neural network with
 566 ground observation at Gobabeb. *Left panel: day, right panel: night.*

567

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573



574 Table 3. Correlation coefficient, standard deviation, and absolute relative bias (%),
575 between ground based T_{skin} and the different datasets used in this study

	Day		Night	
	Standard deviation [°]	Absolute bias [°]	Standard deviation [°]	Absolute bias [°]
$T_{\text{ANN}} - \text{ground}$	3.37	2.61	1.05	0.85
$T_{\text{EUMETSAT}} - \text{ground}$	1.99	2.04	1.00	1.06
$T_{\text{ERA5}} - \text{ground}$	1.57	1.18	1.06	1.01
$T_{\text{SEVIRI}} - \text{ground}$	1.67	1.50	2.45	2.09

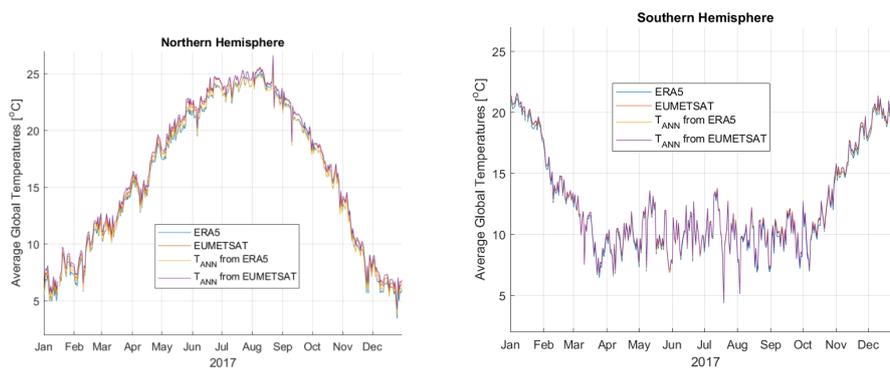
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578

4. Discussion and Conclusions

579 Satellite data are able to provide systematic global temperature data, at least in
580 cloud-free areas, from pole to pole on a regular basis. EUMETSAT has been
581 updating different versions of algorithms to retrieve the skin temperature from IASI,
582 and at the same time, relying on different instruments (particularly for cloudy scenes)
583 to derive a T_{skin} product. Consequently, no homogenous consistent IASI T_{skin} record
584 exists to date. In this study, we derive a T_{skin} product using Metop-A IASI L1C
585 radiances. The first challenge is to find the channels with access to surface
586 information. To this end, we present a method based on entropy reduction, to find the
587 channels with the highest information content in skin temperature. An efficient and
588 fast IASI retrieval algorithm based on artificial neural networks is then used to
589 calculate T_{skin} from the upwelling IASI radiances. While empirical methods using ANN
590 can deal with hundreds to thousands of channels (Aires et al., 2002), we show in this
591 study how ANN and channel selection can be used to retrieve T_{skin} , making this
592 method fast and reliable for near real-time application, as well as to reprocess more
593 than 11 years of IASI data. In this study, we perform two ANN trainings in 2018 with
594 IASI radiances as input and we use two distinct datasets for two separate trainings.
595 In the first, a dedicated ERA5 12-minute simulation is used as output, and in the
596 second EUMETSAT L2 data is used as output. Each of the resulting neural networks
597 is then applied for a different year (2016) and validated. Our results show the
598 potential of ANN in mapping radiances globally and locally to skin temperature. We
599 show how both neural networks perform similarly well when compared to other
600 datasets, with the EUMETSAT-derived network performing better (in particular during
601 nighttime) when it is compared to ground station T_{skin} . To compare the two products
602 obtained from the two neural networks, we show in Figure 11 the daily variation of the
603 skin temperature in 2017, for the Northern Hemisphere in the left panel and the
604 Southern Hemisphere in the right panel. Generally, all datasets agree well with one
605 another, with T_{ANN} obtained from the ERA5 T_{skin} product closer to the latter (which is
606 expected) same as T_{ANN} obtained from the EUMETSAT L2 T_{skin} product is closer to
607 the actual EUMETSAT T_{skin} product.



608

609 Figure 11. Daily averaged T_{skin} from the different global datasets used (ERA5 and
610 EUMETSAT L2) and produced (T_{ANN} obtained from ERA5 and EUMETSAT L2) in this
611 study.

612 More generally, retrieval of T_{skin} from space measurements faces many challenges.
613 First, the T_{skin} calculation from the radiance within the radiative transfer equation is an
614 ill-posed problem. The solution of the radiative transfer equation requires the
615 simultaneous knowledge of two unknowns: T_{skin} and the surface emissivity. This is
616 generally solved with the assumption of a good initial guess to constrain the solution
617 (Aires et al., 2001; Paul et al., 2012) and a rapid and accurate direct transfer model
618 (Rodgers, 1976). Since the observed radiance spectra are affected by the surface
619 properties, using it as input to the ANN takes emissivity knowledge into account.
620 Second, infrared retrievals are only available under clear-sky conditions, reducing the
621 amount of global data by roughly one third. This study has been performed with data
622 from IASI on Metop A, and it implies that with IASI on Metop B and Metop C, the
623 global coverage can be enhanced.

624 Third, validation and inter-comparison between different products are challenges that
625 not only bound to this study. The diversity in sensor characteristics and sensor-
626 specific skin temperature retrieval algorithms, as well as the different challenges
627 facing current NWP models, make it difficult to homogenize different skin temperature
628 products for proper comparison. Moreover, for polar-orbiting satellite products, inter-
629 comparison between different T_{skin} satellite products is challenging since the crossing
630 times of the satellites, and the shape of the field of view are different. For example,
631 MODIS (with overpass time at 10:30 am/pm on TERRA) and MODIS and AIRS, on
632 the AQUA platform (with an overpass time of 1:30am/pm), both offer a good skin
633 temperature product. IASI on the other hand, has an overpass time of 9:30 am/pm
634 local-time. Since skin temperature, particularly over the land surfaces vary strongly in
635 space and time (Prata et al., 1995), inter-comparison between IASI and MODIS or
636 AIRS, with a time difference of 1 to more than 4 hours can imply a difference of the
637 order of 10 degrees or more in some regions. This makes inter-comparison with other
638 satellite products with different crossing time very difficult to achieve. Moreover,
639 considering IASI's pixel area to be a circle of $\pi \times 12 \times 12 \text{ km}^2$ at nadir and an ellipse



640 with an area up to $\pi \times 20 \times 39 \text{ km}^2$ at its outermost viewing angle of 48° (off-nadir),
641 several surface types with varying skin temperature and emissivities will co-exist
642 within one pixel. The resulting skin temperature is therefore an “effective” measure of
643 the average of the surface-heterogeneity existing in the pixel. This alone complicates
644 the physical understanding of the T_{skin} values retrieved from space from different
645 instruments with different pixel shapes (round/ellipse vs square/rectangle, etc.), and
646 sizes. Moreover, the satellite viewing angle also a role in the T_{skin} at the surface: the
647 comparison is affected by the different Sun–surface–instrument geometries, as a
648 result of shadows due to orography or vegetation for example (August et al., 2012).
649 Finally, the scarcity of in situ T_{skin} ground-observations impedes proper validation,
650 which in turn is difficult to be properly performed since ground observation is usually
651 taken at one specific location and time. Given that T_{skin} might strongly change within
652 short distances (less than a meter, Li et al., 2013), co-locating a satellite
653 measurement with a ground observation, as we attempted in section 3.3, might
654 undergo similar large differences as well. Here, a comparison was made at a station
655 located in a homogenous area to overcome this problem.

656 Using channel selection and artificial neural network, this work shows a T_{skin} retrieval
657 method that can serve as a baseline for constructing the first homogeneous dataset
658 of skin temperature from IASI, and can be extended to other infrared remote
659 measurements. Future work will look at constructing a T_{skin} time series from IASI
660 during 2007-present and using Metop A, B, and C for climate trends application.
661 Regional and seasonal variations can be studied using the atlas for the surface skin
662 temperature distributions. The daily/monthly/yearly variations will be studied in terms
663 of the main climate drivers (solar, volcanic eruptions, aerosols and greenhouse
664 gases) and modes of variability at the inter-annual and decadal timescales.

665 **Data availability**

666 The IASI Level 1C data for 2018 are distributed in near real time by Eumetsat
667 through the EumetCast system distribution. The reprocessed Metop-A L1C data used
668 in this study for June 2016 will be available in summer 2019 (doi:
669 10.15770/EUM_SEC_CLM_0014). The EUMETSAT L2 data used in this study can
670 be retrieved from the Aeris data infrastructure (<https://www.aeris-data.fr/>). ERA5 data
671 is provided by ECMWF and can be retrieved at <http://www.ecmwf.int> or
672 <https://cds.climate.copernicus.eu/>. The 12-minute simulation output used in this work
673 can be obtained by contacting the lead author (sarah.safieddine@latmos.ipsl.fr). The
674 hourly LST data derived from SEVIRI/Meteosat are freely available from
675 <http://landsaf.ipma.pt> within the context of the LSA SAF project funded by
676 EUMETSAT. The ground observation data can be obtained by contacting F.G.
677 (frank.goettsche@kit.edu).

678 **Author contribution**

679 S.S. wrote the paper with comments from the rest of the co-authors and performed
680 the neural network calculation and validation. A.P. provided the ERA5/IASI data



681 matching, M.G. provided data for the ANN training, F.A. and V.P. provided the codes
682 for the channel selection using the ER method, L.C. and S.W. helped in
683 conceptualizing the neural network approach, O.L. provided data for Figure 1, J.N.T,
684 H.H, and G.R. provided the 12-minute ERA5 fields, F.G. and M.M. provided ground
685 measurement data. M. D.-B., D. C. and T. A. helped with the IASI L1C retrieval. C.C.
686 supervised this work and helped with the conceptualization of the study.

687 **Competing interests**

688 The authors declare that they have no conflict of interest.

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693 Aeris data infrastructure (<https://www.aeris-data.fr/>) for providing access to the IASI
694 Level 1C data and Level 2 temperature data used in this study. The LST validation
695 site is supported by the Satellite Application Facility (SAF) on Land Surface Analysis
696 (LSA), a European project initiated and financed by EUMETSAT. This project has
697 received funding from the European Research Council (ERC) under the European
698 Union's Horizon 2020 and innovation programme (grant agreement No 742909).

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