

# Multiple technical observations of the atmospheric boundary layer structure of a red warning haze episode in Beijing

Yu Shi<sup>1,2</sup>, Fei Hu<sup>1,2</sup>, Guangqiang Fan<sup>3</sup>, and Zhe Zhang<sup>1,2</sup>

<sup>1</sup>State Key Laboratory of Atmospheric Boundary Layer Physics and Atmospheric Chemistry, Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing 100029

<sup>2</sup>University of Chinese Academy of Sciences, Beijing 100049

<sup>3</sup>Key Laboratory of Environmental Optics and Technology, Anhui Institute of Optics and Fine Mechanics, Chinese Academy of Sciences, Hefei 230031

**Correspondence:** F.Hu (hufei@mail.iap.ac.cn)

**Abstract.** The study and control of air pollution need to detect the structure of atmospheric boundary layer (ABL) in order to understand the mechanism of interaction between atmospheric boundary layer and air pollution. However, when extreme pollution occurs, the detection of atmospheric boundary layer structure is very scarce. Beijing, the capital of China, has experienced a severe haze pollution in December 2016. The city issued its first red air pollution warning of this year (the highest PM<sub>2.5</sub> concentration was later monitored to exceed  $450\mu\text{g m}^{-3}$ ). In this paper, the vertical profiles of wind, temperature, humidity and extinction coefficient (reflecting aerosol concentration), as well as ABL heights and turbulence quantities under heavy haze pollution are analyzed, with collected data from Lidar, wind profile radar (WPR), radiosonde, 325-meter meteorological tower (equipped with 7-layer ultrasonic anemometer and 15-layer low frequency wind, temperature and humidity sensors) and some other ground observations. ABL heights obtained by three different methods based on Lidar extinction coefficient data ( $H_c$ ) are compared with the heights calculated from radiosonde temperature data ( $H_\theta$ ) and from WPR wind speed data ( $H_u$ ). The results show that increase of water vapor has greatly promoted the hygroscopic growth of aerosols, the corresponding extinction coefficients also increased significantly. The ABL heights  $H_\theta$  and  $H_u$  of heavy haze pollution were generally lower than those of clean day, but  $H_c$  increased. Turbulence and pollutant concentration are closely related during haze pollution, time changes of both friction velocity ( $u_*$ ) and turbulent kinetic energy (TKE) have obvious inverse correlation with that of PM<sub>2.5</sub>. The results of this paper could provide some reference for the parameterization of the boundary layer height and turbulent diffusion process in the numerical model of severe air pollution.

## 1 Introduction

Air pollution has an important impact on human health, weather, climate and ecological environment (Seinfeld and Pandis, 1997; Brook et al., 2004; Ding et al., 2013; Wang et al., 2014a; Zhang et al., 2015a). The pollutants emitted by human activities are mainly confined to the atmospheric boundary layer (ABL) which is the lowest part of the troposphere and about 1~2 km from the ground. In particular, fog and haze, which have a great influence on visibility and air quality, mainly occur in the ABL (Cao et al., 2004; Chan and Yao, 2008; Fu et al., 2008; Liu et al., 2012). Because the formation, evolution, and diffusion of air

pollutants are closely related to ABL structure and turbulence characteristics (Zhang et al., 2011; Wei et al., 2018), research on ABL is important for understanding air pollution mechanisms and developing pollution control strategies. On the other hand, relationship between ABL and atmospheric pollution is very complicated and involves multiscale nonlinear physical and chemical processes, both theoretical research and numerical simulation have encountered difficulties (Sun et al., 2013; Huang et al., 2014; Wang et al., 2014b; Miao et al., 2018). Therefore, it is very necessary to obtain first-hand information from observation experiments.

Regarding air pollution, there have been many observational experiments in the world, especially air pollution in the ABL over urban areas (i.e., urban boundary layer). For example, European Cooperation in the Field of Scientific and Technical Research, abbreviated as COST715 (Fisher et al., 2001), URBAN 2000, a major urban tracer and meteorological field campaign conducted in Salt Lake City, Utah, during October 2000 (Allwine et al., 2002), Joint Urban 2003, the Field Experiment conducted in October 2003, Oklahoma city (Wang et al., 2007), MIRAGE 2006, Megacity Impacts on Regional and Global Environments (Lance et al., 2012) and SURF, the Study of Urban-impacts on Rainfall and Fog/haze (Liang et al., 2018).

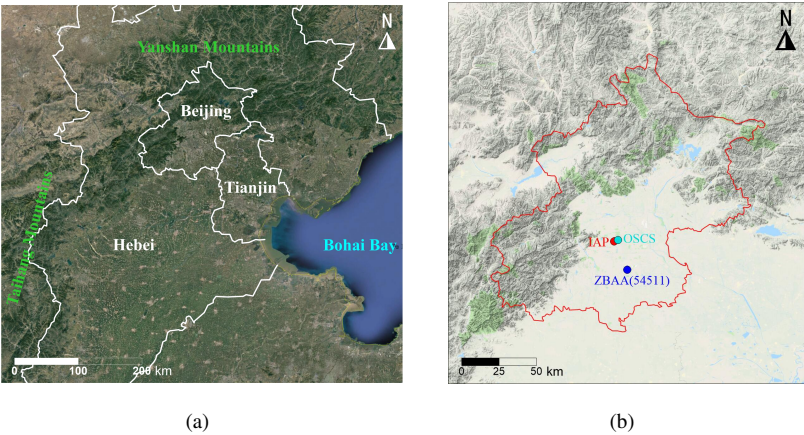
Meteorological tower is one of the best platforms to detect the ABL structure under the condition of atmospheric pollution (Quan and Hu, 2009; Sun et al., 2015; Ren et al., 2018). Although its height is limited, the boundary layer is basically in a stable boundary layer when heavy pollution occurs, and the ABL height is low, so it is easy to detect by tower. Conventional meteorological instruments and turbulence instruments installed at different heights above the tower can obtain the information of stable boundary layer structure and turbulence diffusion parameters (Katul et al., 1995). The traditional detection methods include tethered balloon, radiosonde, wind profiler radar (WPR) and so on, which can detect higher heights (Grimsdell and Angevine, 1998; Andreas et al., 2000; Kalapureddy et al., 2007; Li et al., 2015; Han et al., 2018). In the past decades, aerosol laser radar (Lidar) has been used more and more widely. It can be used to retrieve the vertical distribution of particles from the backscattering data of Lidar (Wang et al., 2012; Summa et al., 2013; Jiannong et al., 2013; Bravo-Aranda et al., 2017). It is impossible to obtain information on the boundary layer structure and the interrelationship of pollutants in atmospheric pollution (especially in heavy haze) unilaterally by means of the above-mentioned technical means, and it is necessary to carry out comprehensive observations simultaneously.

From 14 to 22 December 2016, Beijing, the capital of China, experienced a serious haze pollution process. The government issued the highest air pollution warning (red alert) during this period. Beijing is a densely populated city with an area of about 396 square kilometers (see Fig.1b). Despite vigorous pollution control measures taken by the government, the average  $PM_{2.5}$  concentration per hour has rose from  $20\mu g m^{-3}$  to more than  $450\mu g m^{-3}$  (see in Table.1) in just five days. What is the mechanism of such severe air pollution? This requires a comprehensive and in-depth analysis of the weather background, pollutant emissions, regional transport and physicochemical transformation mechanisms, as well as the interaction between haze and boundary layer structures (Huang et al., 2014; Sun et al., 2014; Ding et al., 2016). There have been some previous studies on the haze events in Beijing area (Li et al., 2017; Sheng et al., 2018; Wang et al., 2018), especially the physical and chemical mechanism analysis based on the observation data of high-space and multi-element of the tower (Sun et al., 2006; Guo et al., 2016).

The purpose of this paper is to investigate the ABL structure and turbulence characteristics during the a red haze warning period in year 2016 by means of tower, Lidar, WPR and radiosonde. The contents include a brief introduction to the weather background and basic facts of the heavy haze pollution, observation sites and techniques, analysis of boundary layer wind, temperature, humidity profiles, extinction coefficients (reflecting the concentration of haze particles), and determination of ABL heights obtained by different detection techniques. The vertical distributions of turbulent quantities are also studied. Finally, further research considerations are also given.

## 2 Observation sites, instruments and data

The ABL observation data of this paper are mainly obtained in three locations in Beijing. One is located at the Institute of Atmospheric Physics (IAP) of the Chinese Academy of Sciences, where there are a 325 meters heigh meteorological tower and a Lidar. The second is about 600 meters away from the east side of the tower, and there is a WPR. The third is the observatory of the Beijing Meteorological Bureau, which is about 20 kilometers away from the tower. There are conventional ground meteorological observations and radiosonde, which belong to the WMO station(ZBAA in Fig.1b). The above observation sites are shown in Figure 1b. The topography around Beijing area is also given in Figure 1a. The time used in this paper is the local station time and the observational instruments and data are introduced as follows:



**Figure 1.** Local topography of Beijing and its surrounding areas (a); The location of observation sites in Beijing (b): red circle: IAP(Lidar), blue circle: ZBAA radiosonde observation station, cyan circle: pollution observation station (OSCS) about 2km in the north-east of the Lidar. Beijing is a densely populated city with an area of about 396 square kilometers.

1) Meteorological tower of IAP is 49 meters above sea level and 325 meters high, which is located in the (39°58'N, 116°22'E) between the Beijing North Third Ring Road and North Fourth Ring Road. A total of 15 observation platforms (at 8, 15, 32, 47, 65, 80, 103, 120, 140, 160, 180, 200, 240, 280 and 320m) are set up on the tower, and wind speed (MetOne,USA), wind direction (MetOne,USA), temperature (HC2-S3,Switzerland) and humidity (HC2-S3, Switzerland) observation instruments are mounted on each platform. Moreover, 7 sets of three-dimensional ultrasonic anemometers (Wind Master,Gill,USA)

and water vapor / carbon dioxide analyzers (LI-7500,USA) are also installed on the tower (at 8, 15, 47, 80, 140, 200 and 280m). All turbulence data sampling frequency is 10 Hz. All of the tower data are averaged for 20 minutes. A detailed description of the meteorological tower can be found in (Al-Jiboori and Fei, 2005) and (Chen et al., 2018), and on the website (<http://view.iap.ac.cn:8080/imageview/>).

5     2) The extinction coefficients were measured by Lidar (AGHJ-I-Lidar,China) installed underneath 325m tower. The Lidar could provide backscattering signals at wavelength of 532nm and 355nm with a vertical resolution of 7.5m and a temporal resolution of about 5~10min. Due to technical failure, the lidar data were missing from 11:00 on December 19 to 09:00 on December 20, 2016;

3) Wind speed and wind direction were also monitored by means of a WPR (Airda3000,China) during red-alert pollution  
10   period. In this paper, the temporal resolution of WPR is 5min, and the vertical resolution is 50m below 1000m and 90m above the 1000m;

4) The twice daily (08:00 and 20:00 in Beijing time) high-resolution vertical profile data of radiosonde were got from the University of Wyoming website(<http://weather.uwyo.edu/>) for Beijing meteorological observatory station which named ZBAA in international code ( Fig.1b). Surface visibility and other normal meteorological variables were routinely measured with a  
15   temporal resolution of half an hour in ZBAA .

5) Surface measurements of six kinds of air pollutants ( $PM_{2.5}$ ,  $PM_{10}$ ,  $NO_2$ ,  $SO_2$ , CO and  $O_3$ ) with a temporal resolution of one hour can be found on the official website of the Beijing Environmental Protection Agency (<http://beijingair.sinaapp.com/>). The data used in this paper comes from the environmental monitoring station (Olympic Sports Center Station) which is nearest station to the tower (about 2km in the northeast).

## 20   3   Results and discusses

### 3.1   Surface observation of haze and meteorological conditions

From 14 to 22 December 2016, a complete haze pollution was observed in Beijing area (see Table 1). The generation, accumulation and elimination of  $PM_{2.5}$  were recorded. We can see that, from 20 to 21 December, the hourly average  $PM_{2.5}$  concentration almost maintained around  $400\mu g\ m^{-3}$  within 48 hours, which greatly exceeded the highest level of pollution  
25   (i.e.  $250\mu g\ m^{-3}$ ) in the air pollution standards of China's State Environmental Protection Administration. Figure.2 shows the concentration time series of  $PM_{2.5}$ , wind speed and direction, temperature, relative humidity (RH), surface pressure and visibility of this heavy haze pollution process.

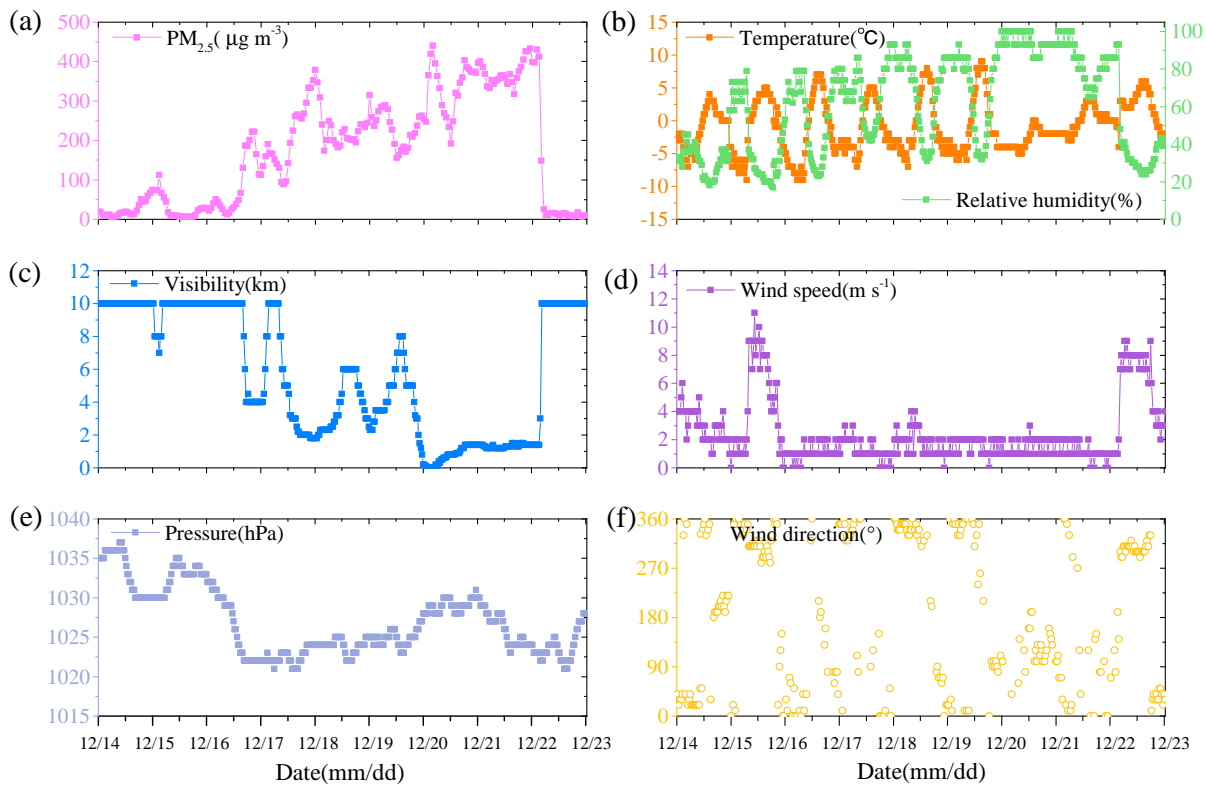
Generally, the visibility plays an representative index of air quality and atmospheric diffusion capacity (Zhang et al., 2015b). Figure.2 shows that when the concentration of  $PM_{2.5}$  became high, visibility was deteriorating rapidly. Visibility of clean days  
30   was mainly greater than 10km, and if  $PM_{2.5}$  concentration was about  $200\sim 300\mu g\ m^{-3}$ , visibility then reduced to 2~5km, or even when  $PM_{2.5}$  reached about  $400\mu g\ m^{-3}$ , visibility dropped sharply to 1km or hundreds of meters. The surface pressure suggests that the air pressure decreased approximately from 1035hPa to 1023hPa, and in general, Beijing was controlled by a weak high-pressure system during pollution episode. The RH of ground observation had a significant diurnal variation

**Table 1.** Daily average data of six major air pollutants in Beijing during heavy pollution from 14 to 23 December 2016: PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and O<sub>3</sub> (their units are all  $\mu\text{g m}^{-3}$ ); CO( $\text{mg m}^{-3}$ ). Data sources: <http://beijingair.sinaapp.com/>, and according to the "Technical Specification for Air Quality Index(HJ 633-2012)" issued by China National Environmental Protection Agency, based on PM<sub>2.5</sub> concentration, the air pollution level can be divided into five levels, i.e. good( $0\sim75\mu\text{g m}^{-3}$ ), slightly polluted( $75\sim115\mu\text{g m}^{-3}$ ), moderately polluted( $115\sim150\mu\text{g m}^{-3}$ ), heavily polluted( $150\sim250\mu\text{g m}^{-3}$ ), seriously polluted( $>250\mu\text{g m}^{-3}$ ).

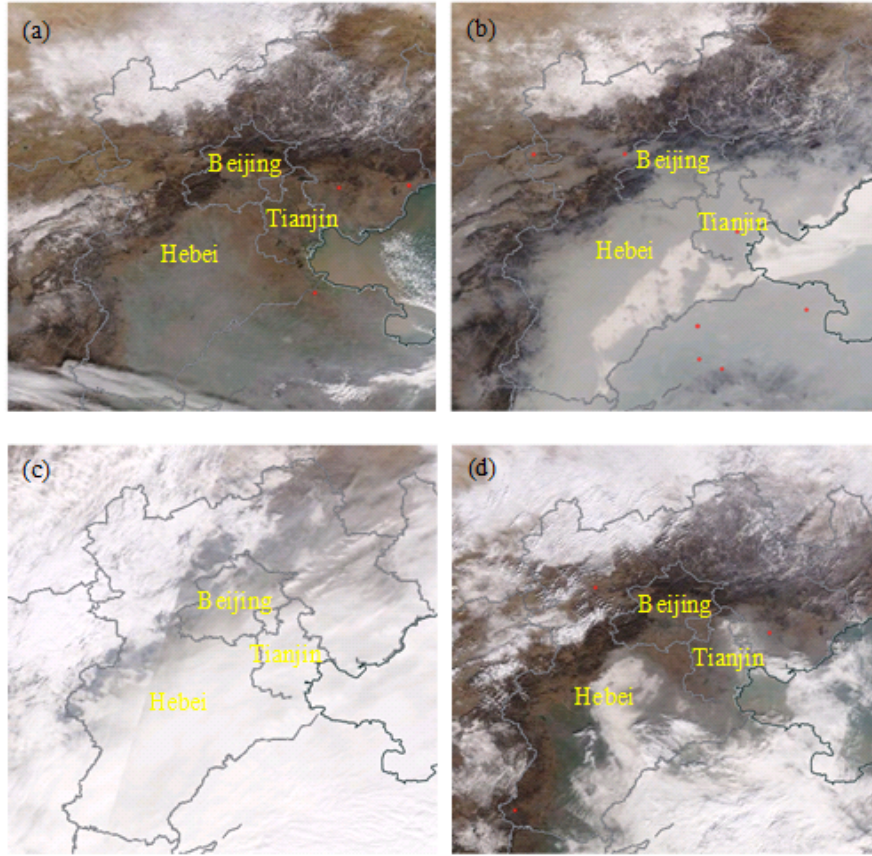
Date	Air Quality	AQI index	PM <sub>2.5</sub>	PM <sub>10</sub>	NO <sub>2</sub>	SO <sub>2</sub>	CO	O <sub>3</sub>
2016-12-14	Good	60	24	38	42	9	0.74	32
2016-12-15	Good	83	25	51	40	9	0.85	31
2016-12-16	Slightly Polluted	274	101	134	87	20	2.07	8
2016-12-17	Heavy Polluted	351	184	211	102	30	3.14	5
2016-12-18	Serious Polluted	337	219	245	100	24	3.42	7
2016-12-19	Serious Polluted	306	214	247	107	22	3.88	7
2016-12-20	Serious Polluted	342	365	422	133	8	7.67	4
2016-12-21	Serious Polluted	363	393	429	152	10	7.97	4
2016-12-22	Moderately Polluted	325	93	170	45	6	1.95	39
2016-12-23	Good	55	31	42	43	7	0.74	26

and there existed obvious anti-correlation between RH and temperature. From 20 to 21 December, the diurnal variation of the temperature and relative humidity in heavy pollution was greatly suppressed, and further analysis of MODIS images (see Fig.3) during this period shows that this pollution process was indeed accompanied by fog, pollution has formed in the south-central part of Hebei province on 15 December 2016, and then spreaded over the whole Beijing-Tianjin-Hebei area on 18 December.

- 5 Stratiform clouds appeared in the surrounding areas of Beijing on 21 December but due to the high concentration of pollutants (PM<sub>2.5</sub> approaching  $400\mu\text{g m}^{-3}$ ), it was basically a mixed state of fog and haze in Beijing. During the day, pollutants can scatter more solar radiation and the ground receives less solar radiation which leads to the suppression of diurnal variation of temperature and relative humidity observed on the ground (Gao et al., 2015). The increase of RH, on the one hand, is due to the decrease of temperature, on the other hand, is the result of the surge of the water vapour. For example, in the early
- 10 morning, temperature difference between 17 and 20 December was very small, the RH of 17 December was about 80%, while the RH in the early morning of 20 December was almost 100%, indicating the increase of water vapour content in Beijing area at this time. The surface wind speed during polluted episode was almost less than  $2\text{m s}^{-1}$ , basically regarded as a stagnant weather system which dominate over the ABL processes in resulting in the poor air quality. Based on Fig. 2, we can see there were cold fronts (strong NW winds) on both 12/15 and 12/22 which advected pollutants away and resulted in good air quality.
- 15 Between the fronts, the PM<sub>2.5</sub> slowly increased as the air was stagnant (weak and variables winds in between) and pollutants emitted locally likely slowly built up. The wind direction also seemed to be cyclical every day, this was in response to a local mountain-valley circulation around Beijing (Hu et al., 2005). According to other existing studies, the larger northerly wind in winter is the main mechanism for removing pollution and leading to good air quality (Sheng et al., 2018).



**Figure 2.** Time series of ground level  $PM_{2.5}$  (a), relative humidity and temperature(b), visibility(c), wind speed(d), surface pressure(e) and wind direction(f) during 14 to 22 December 2016, the units of those meteorological parameters are  $\mu g m^{-3}$ , %,  $^{\circ}C$ , km,  $m s^{-1}$ , hPa and  $^{\circ}$  respectively.



**Figure 3.** MODIS images of Beijing-Tianjin-Hebei region on 15 December (a), 18 December (b), 21 December (c), and 22 December (d).

### 3.2 Boundary layer heights observed by Lidar

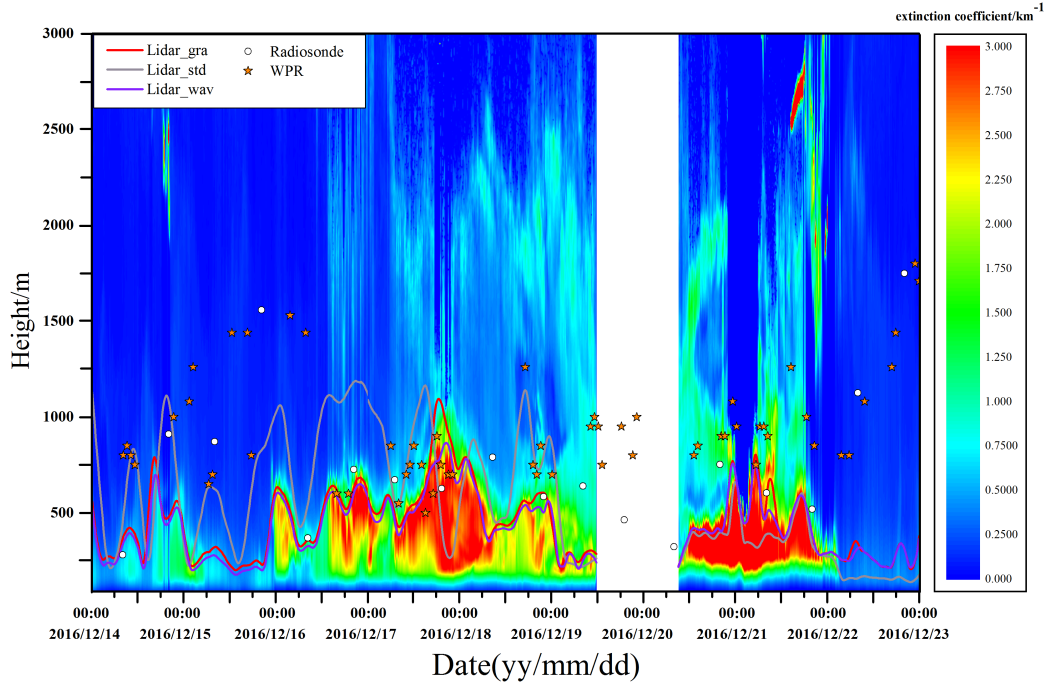
The most essential definition of the ABL height is the height at which the influence of the earth's surface on the lower troposphere disappears. This influence applies not only to conventional meteorological elements but also to the turbulence quantities, or even more the substances in the atmosphere such as aerosols, water vapor or nonreactive tracer gases (Seibert et al., 2000).

- 5 Various pollutants and water vapor in the ABL are much higher than that in the free atmosphere and therefore, there often exists obvious aerosol concentration gradient between the boundary layer and the free atmosphere. Extinction coefficient reflects the scattering degree of aerosol particles to laser in atmosphere (Boers and Eloranta, 1986), So the ABL height can also be estimated by extinction coefficient gradient. This paper used three popular methods, gradient method (Lidar\_gra) (Flamant et al., 1997), standard deviation method (Lidar\_std) (Hooper and Eloranta, 1986) and wavelet method (Lidar\_wav) (Cohn
- 10 and Angevine, 2000; Davis et al., 2000; Brooks, 2003) respectively to extract the boundary layer heights from the extinction coefficients. The ABL height determined by Lidar is represented by  $H_c$ . The Lidar\_gra method is defined by the height of atmosphere where the gradient of the Lidar extinction coefficient reaches its steepest negative value in this article. The standard



deviation of extinction coefficient reflects the degree of the dispersion of the Lidar echo signals at different heights. The top of the planetary boundary layer is the intersection of the air in the boundary layer and the free atmosphere, which leads to a strong signal change on the top of the boundary layer. This paper defines the height of the maximum standard deviation of signals as the ABL height. The Lidar\_wav method can also be used to detect the abrupt change of signals, so we have used the Haar wavelet and taken the height in which wavelet coefficient is maximum as the height of the ABL height. The purpose of these methods is to find the abrupt change of extinction coefficient at the top of boundary layer, but also have their own limitations.

Generally, the atmospheric boundary layer can be divided into the daytime convective mixing layer and the night stable boundary layer. In the morning the well-mixed convective boundary layer (CBL) is growing and often reaches its maximum height in the early afternoon. In the afternoon the CBL gradually changes into a neutral boundary layer. Figure.4 shows the evolution of the ABL heights measured by Lidar, WPR and radiosonde respectively.



**Figure 4.** Temporal and spatial variation of extinction coefficient (shaded, unit:  $\text{km}^{-1}$ ) from 14 to 23 December, 2016 and ABL height(m) determined by different instruments. Red line (Lidar\_gra), grey line(Lidar\_std), purple line(Lidar\_wav) represent ABL height determined by Lidar using gradient method, standard deviation method, and wavelet method respectively. White points:ABL height determined by radiosonde; Five-pointed star: ABL height determined by WPR. It should be noted that the blank part of the extinction coefficient is due to a technical failure, and the lidar data were missing from 11:00 on December 19 to 09:00 on December 20.



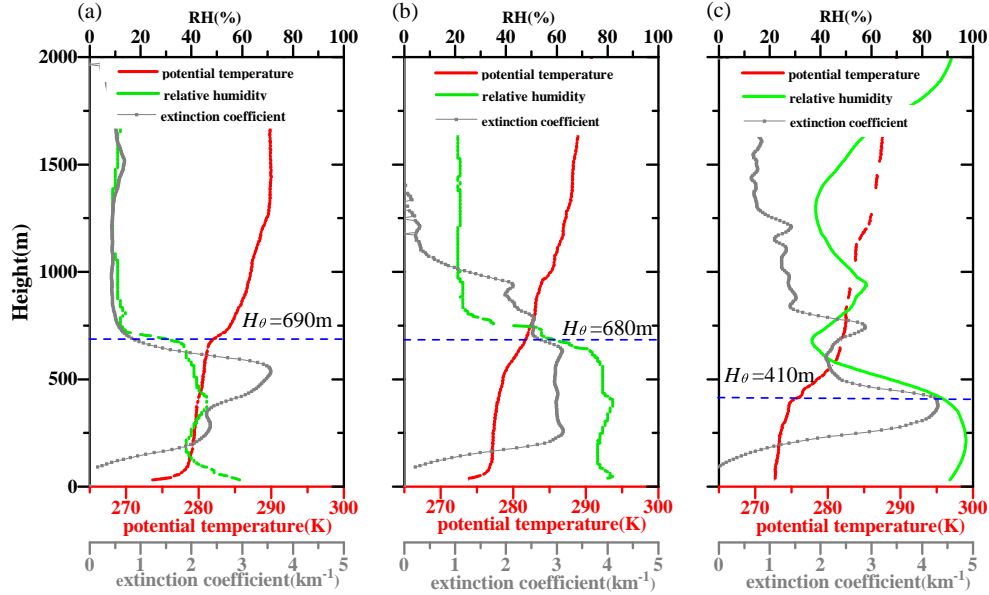
The determination of the ABL height by means of Lidar is based on the vertical profiles of extinction coefficient, namely the aerosol concentration. When the concentration of  $PM_{2.5}$  is high, the weakening effect of aerosol particles on laser is stronger. The ABL height determined by Lidar\_gra and Lidar\_wav were almost the same, with a correlation coefficient of nearly 95%. During 16 to 18 December and 20 to 21 December, the ABL height were about 500~750m. Furthermore, the ABL height determined by Lidar\_std method was slightly higher than that of both methods. During the heavy pollution episode days (20 to 21 December), the extinction coefficient quickly exceeded  $3km^{-1}$  above 250m from the ground. Perhaps due to the accumulation of pollutants,  $H_c$  did not seem to reduce in these days. When the atmosphere was relatively clean, such as 15 or 22 December, the aerosol concentration was low and the extinction coefficient of Lidar displayed no obvious decrease from the ground to the upper height. The ABL heights obtained by these methods based on the Lidar were obviously lower than those of other instruments. If we continue to use Lidar to determine the height of the boundary layer, it will be obviously distorted. Therefore, the continuous observation of the ABL height can be attained by means of other instruments or improved method based on Lidar.

### 3.3 Boundary layer structure observed by radiosonde

Radiosonde is the most widely used conventional meteorological observation method in the world. The white points in figure.4 are the ABL height determined by the radiosonde data. The potential temperature ( $\theta$ ) of the radiosonde is calculated by the formula:  $\theta = T + \gamma_d z$ , where  $\gamma_d = 0.00975 K m^{-1}$ ,  $T$  is the measured temperature. As pointed out in many previous studies, the most widely used approach for the determination of the ABL height and structure both in daytime and nighttime is represented by the identification of local maxima in the potential temperature vertical gradient profiles as measured by radiosondes (Seibert et al., 2000; Summa et al., 2013; Sorbján, 1989), but this method is only appropriate in the convective ABL. Since the pollution process we studied is in the stagnant weather conditions of winter time, and the radiosonde data is only at the time near sunrise and sunset (08:00h and 20:00h), the stable boundary layer often appears ( see Fig.5 for example), and the height of the stable boundary layer (SBL) is more difficult to be determined (Keller et al., 2010; Jong et al., 2015; Schäfer et al., 2006). In this paper, the level of the vertical maximum gradient of potential temperature was used to define the top of residual layer (Hennemuth and Lammert, 2006), and is expressed by  $H_\theta$  (seen blue dotted lines in the Fig.5). Under stagnant and heavy polluted weather conditions, turbulence is more suppressed than in fair weather conditions, and the residual layer top can also characterize the thickness of the stable boundary layer to some extent. In addition, we can also use the level of the minimum value of the relative humidity (green curves in Fig. 5) gradient to determine the height of the SBL. The atmospheric stratification of potential temperature and RH could affect the distribution of aerosol concentration, which inturn affects extinction coefficient. In Fig.5, vertical profiles of the extinction coefficient observed by the Lidar during the same period is also given.

As shown in Fig.5, the pollution episode was often accompanied by inversion layer, namely the vertical gradient of PT is positive, implying that the atmosphere was basically stable. Actually, the fact that the PBL was statically stable is unsurprising given the timing of the radiosonde profiles at 08:00 and 20:00 local time, which are respectively about 30 min after sunrise and 3 hours after sunset during the experimental period. Thus, a nocturnal inversion would barely erode by 08:00 (if at all, depending on the energy balance as insolation is small) and a nocturnal inversion would have formed by 20:00. Due to the

timing, these profiles are not representative of conditions during the day when pollutants are actively mixed. If the profiles were during the midday (noon local time or early afternoon) the profiles would indicate instability and mixing. Air pollutants are generally blocked below the inversion layer and are not easily diffused to high levels. Figure.5 shows that  $H_\theta$  at 20:00 on 16 December was about 690m, where the potential temperature was about 280K and the RH was about 20%, and the extinction coefficient has also been reduced to  $0.7\text{km}^{-1}$ . Due to the cooling effect of the surface longwave radiation, the ground inversion layer was formed from the surface and this inversion layer depth was about 100m. We can easily see that the potential temperature of 100~600m changed a little with the height, this is the typical characteristic of the residual layer. Until about 100m from the ground, another elevated temperature inversion layer appeared, while the top of this decoupled residual layer is at about 700m. The maximum negative value of the extinction coefficient gradient appeared at about 500m at this time, and the extinction coefficient below 690m was much higher than that above 690m, indicating that aerosol particles were mainly concentrated below the inversion layer (Baumbach and Vogt, 2003), and  $H_\theta$  calculated by the radiosonde was basically consistent with  $H_c$  determined by Lidar.



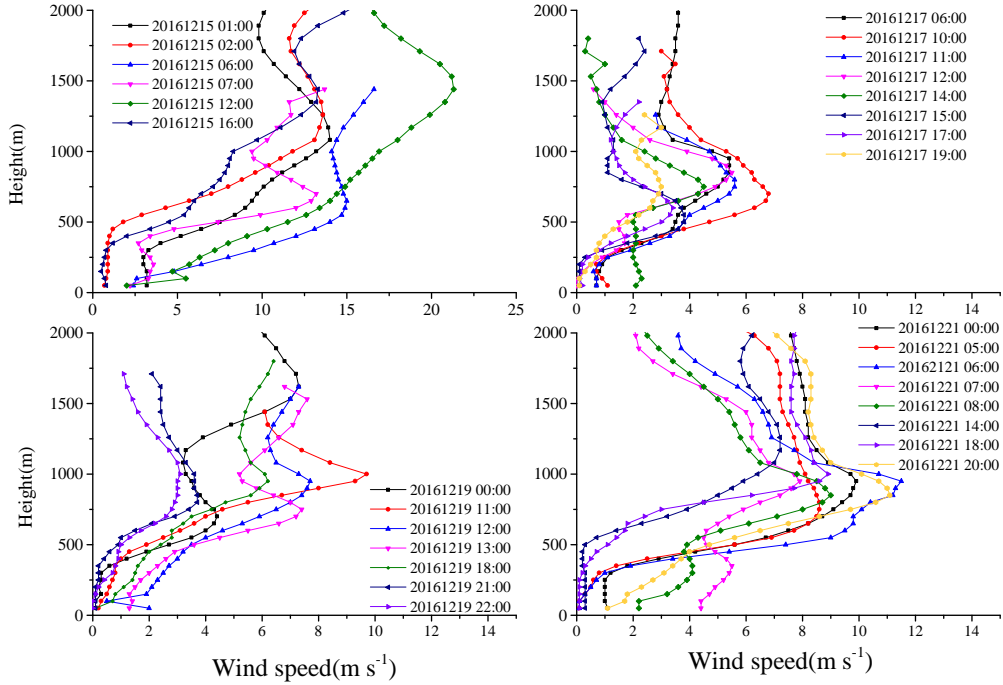
**Figure 5.** Vertical profiles measured at ZBAA meteorological station. (a) 20:00, 16 December, 2016 (b) 20:00, 17 December, 2016 (c) 08:00 21 December, 2016. Red line: potential temperature (K); Green line: RH (%); Grey line: extinction coefficient ( $\text{km}^{-1}$ ); Blue dotted line: ABL height determined by radiosonde expressed in  $H_\theta$ (m).

At 20:00 on 17 December, the ground inversion started to form. The  $H_\theta$  was about 680m, and the potential temperature of this level was still around 280K, however the RH reached nearly 60%. Below this height, the whole atmosphere layer has formed a high humidity layer with RH nearly 80% from the ground, in addition, the corresponding extinction coefficient has also increased significantly. The extinction coefficient between 250~600m was almost  $3\text{km}^{-1}$ , revealing that the concentration of aerosols increased significantly. Combined with the wind direction at this time (Fig.7d), it is clearly illustrated that the

transport of the easterly wind has brought abundant water vapor from Bohai Bay (about 200 kilometers east of Beijing), which promoted the hygroscopic growth of aerosol particles (Svenningsson et al., 1992; Chuang, 2003; Pan et al., 2009). At 08:00 on 21 December, the potential temperature distribution in the morning was different from 20:00, and the surface temperature has begun to increase as the receiving of solar radiation. The ground inversion layer has disappeared and the  $H_\theta$  was about 410m. The RH below 300m was nearly 95%, and meanwhile the maximum value of the extinction coefficient, which exhibited bimodal, reached nearly  $4\text{km}^{-1}$ . The altitude where extinction coefficient reached the peak at lower layer was near to  $H_\theta$  approximately. By means of analyzing and comparing  $H_c$  and  $H_\theta$ , it can be found that when the concentration of  $\text{PM}_{2.5}$  was high, the accumulation of pollutants was mainly accompanied by the inversion layer in the atmosphere. The potential temperature gradient at the inversion layer is generally larger. Even though the  $H_c$  represents the aerosol scattering information, and  $H_\theta$  stands for potential temperature characteristics, there exists a good correlation between them, with a correlation coefficient about 72%. As shown in Fig.4,  $H_\theta$  was significantly higher than the  $H_c$  determined by three methods based on Lidar extinction coefficient.

### 3.4 Boundary layer structure observed by WPR

The ground is the most important sink of atmospheric momentum and the wind speed is zero at the surface. The ABL wind speed changes gradually from the surface to the geostrophic wind at high altitude, and the wind information extracted from the WPR has been widely used to determine the ABL height (Cohn and Angevine, 2000; Bianco and Wilczak, 2002). A comprehensive review of the estimated convective boundary layer heights is given by Seibert et al (2000). Because the our WPR wind speed observations have many low-level jets or maxima, we used the height of the low level maximum wind speed as the ABL height (Banta, 2008; Pichugina and Banta, 2010; Devara et al., 1995), expressed in  $H_u$ . Figure 6 shows the wind speed profiles observed by WPR on December 15, 17, 19 and 21, and it can be seen that wind extremes or low level jets often occur below 1000 meters.



**Figure 6.** Vertical wind profiles measured by WPR on December 15, 17, 19 and 21, 2016. December 21 is the most polluted day, and there are obvious low-level jets.

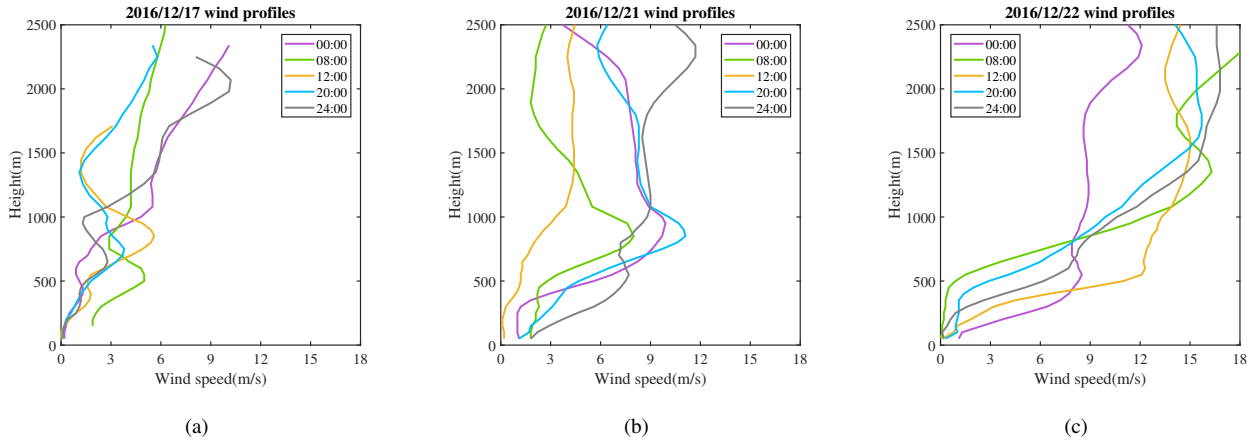
As shown in Fig.4,  $H_u$  was much higher than  $H_c$ , especially during the clean day, when the  $H_u$  was about 1500m. With the increase of  $PM_{2.5}$  concentration,  $H_u$  also decreased, that is, the height of the low level maximum wind velocity would also decrease when the atmosphere is polluted. In that case,  $H_u$ ,  $H_c$  and  $H_\theta$  were very close.

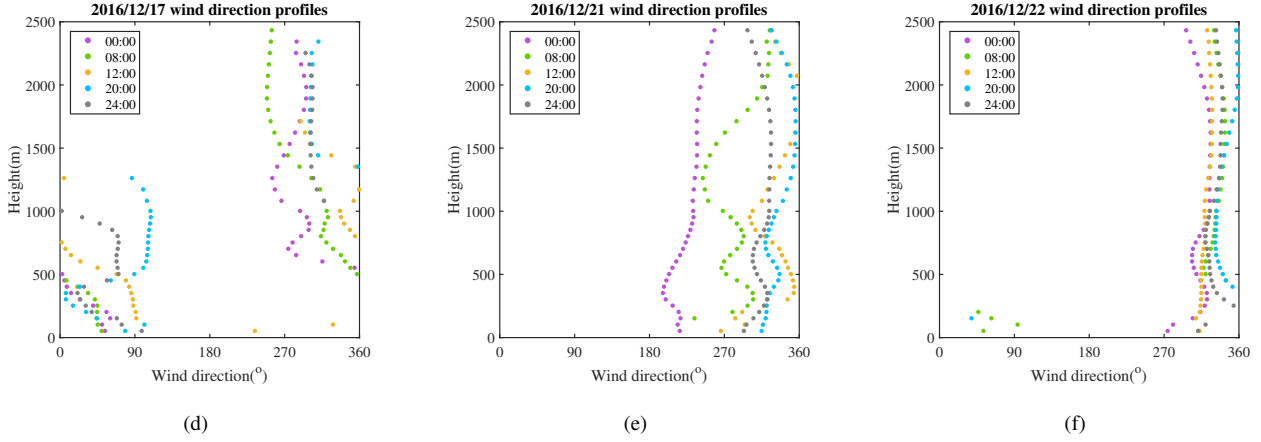
In order to analyze the influence of the boundary layer wind structure on pollutants, we further discuss the representative wind speed and direction profiles of the three typical days from the cleaning to the heavy pollution process. As exhibited in Fig.7, the wind speeds below 1000m were not more than  $6m\ s^{-1}$  on 17 December, and the  $H_u$  determined by the WPR was between 750~1000m. At 12:00 it was a typical "nose" profile distribution according to the wind profile, that is, there is a maximum value in the middle of the wind profile. The wind direction profiles show that the wind direction from the ground to about 750m belonged to the north-east wind ( $0^\circ \sim 90^\circ$ ), and the wind direction above 750m belonged to the north-west wind ( $270^\circ \sim 360^\circ$ ). Furthermore, the wind directions from 750~2000m basically maintained stable in the north-west directions, which can be considered to be close to the geostrophic winds. At this time, the height of the maximum wind speed fitted well with height of the wind direction began to change into geostrophic wind. In addition to 12:00 on 17 December, at other four

moments, there were almost entirely the north-eastern winds below 1000m, and the wind directions began to transform into the north-west winds at different heights.

The wind speed has increased to some extent on 21 December, and there were also typical "nose" type wind speed distributions at 00:00, 08:00 and 20:00. From the ground to 2500m, there was steady south-west wind at 00:00, and the maximum wind speed appeared about 900m. At this time, the extinction coefficient was also very low above 750m(shown in Fig.6), demonstrating that pollutants were also gathered below the height of  $H_u$ . At 12:00, the wind speed began to decrease slightly from the ground, and there was no obvious change after about 1100m where the wind speed was about  $4\text{ m s}^{-1}$ . Except for 00:00 on 21 December when south-west wind prevailed from the ground to the high altitude, wind directions have changed to the north-west at other moments, although the wind speeds were not very high below 500m, and wind speed maximum values occurred at the height around 1000m.

The wind directions of the 22 December were north-west from the low to higher layer, but the distribution of wind velocity profiles was different from that of 21 December. The wind speeds had no significant maximum value area, and the maximum wind speed of 500m approached close to  $12\text{ m s}^{-1}$ . According to the extinction coefficient distribution (seen in Fig.4), the  $\text{PM}_{2.5}$  concentration was greatly reduced on that day.  $H_u$  determined by the WPR and  $H_\theta$  obtained by radiosonde were relatively close at this time, and both were far higher than  $H_c$ .

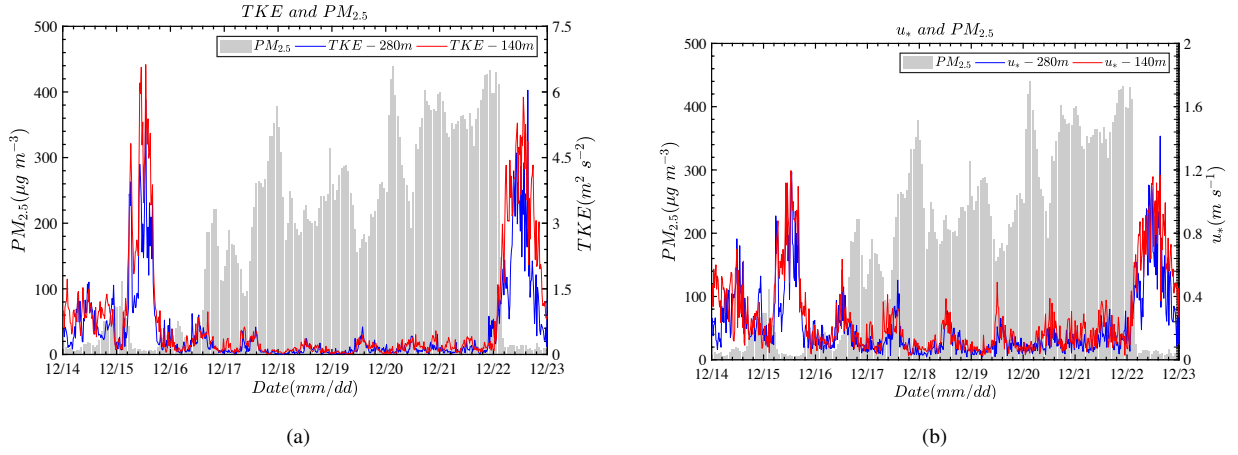




**Figure 7.** Vertical profiles of wind speed ( $\text{m s}^{-1}$ ) and wind direction ( $^{\circ}$ ) observed by WPR. (a) and (d): 16 December, 2016; (b) and (e): 21 December, 2016; (c) and (f): 22 December, 2016.

### 3.5 Boundary structure and turbulence quantities observed by 325m tower

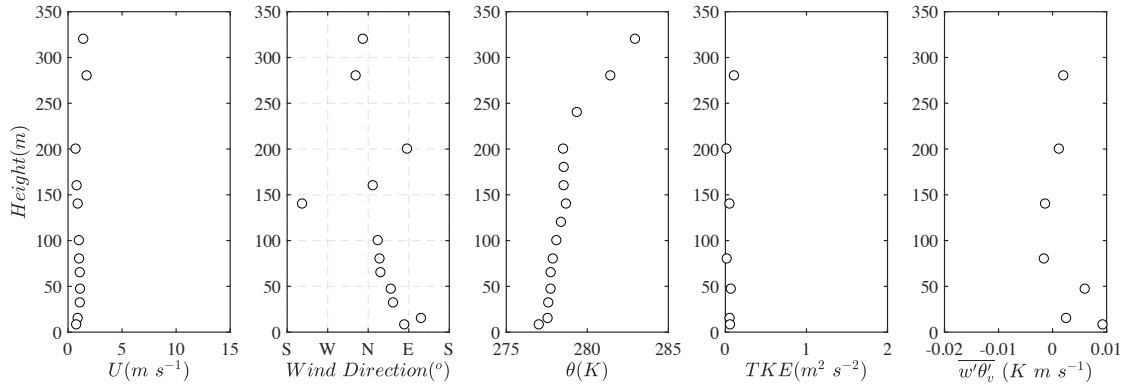
Based on high-resolution gradient observations (15-layer mean and 7-layer turbulence measurements), we can analyze the relationship between  $\text{PM}_{2.5}$  and low-level turbulence, average wind speed and temperature. As shown in Fig.8, both turbulent kinetic energy (TKE) and friction velocity ( $u_*$ ) on 140m and 280 m were inversely correlated with ground level  $\text{PM}_{2.5}$  concentration. The TKE and  $u_*$  can be calculated as follows (Stull, 1988):  $TKE = \frac{1}{2}(\overline{u'^2} + \overline{v'^2} + \overline{w'^2})$ ;  $u_* = (\overline{u'w'^2} + \overline{v'w'^2})^{1/4}$ . The maximum TKE during clean days (15 to 16 December) can reach about  $7\text{m}^2 \text{s}^{-2}$  at 140m, while the TKE of haze days (17 to 21 December) decreased sharply to very small values. After entering the heavy haze pollution period, TKE maintained at a relatively small level, and the change of TKE was not so significant when the concentration of  $\text{PM}_{2.5}$  increased from  $200 \sim 400 \mu\text{g m}^{-3}$ . On the other hand, the time series of  $u_*$  was slightly different from that of TKE. It seems that the inverse correlation between  $u_*$  and  $\text{PM}_{2.5}$  was more obvious than that of TKE during the heavy pollution period. In fact, even during heavy haze, a slight fluctuation (diurnal variation) of  $\text{PM}_{2.5}$  concentration can be observed, and the diurnal variation of  $u_*$  was opposite to that of  $\text{PM}_{2.5}$  phase.



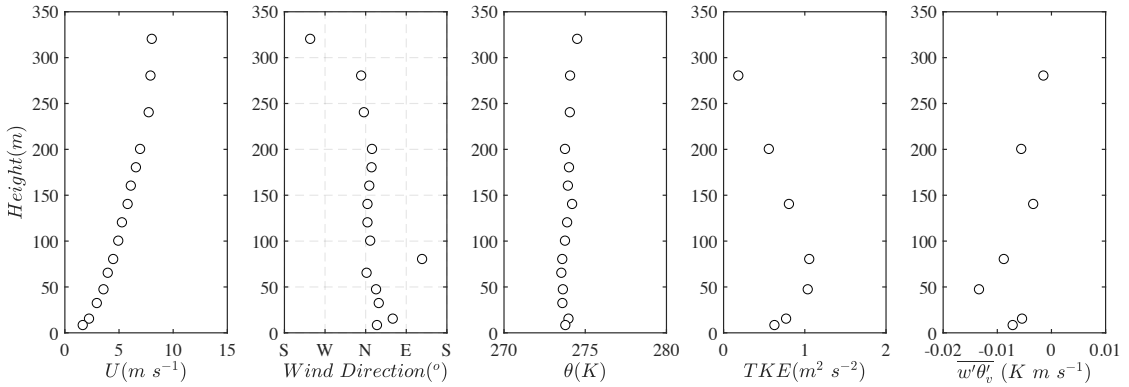
**Figure 8.** Time series of (a) turbulent kinetic energy ( $\text{m}^2 \text{s}^{-2}$ ) and (b) friction velocity ( $u_*$ ,  $\text{m s}^{-1}$ ) at 140m (red line) and 280m (green line),  $\text{PM}_{2.5}$  ( $\mu\text{g m}^{-3}$ ) concentration are also drawn in the figures (grey column).

In order to understand the vertical structure characteristics of the ABL observed by the tower during the pollution and clean episodes, the profiles of wind, potential temperature( $\theta$ ), TKE and sensible heat flux( $\overline{w'\theta_v}$ ) in the lower boundary layer are also given. At night (see Fig.9), the wind speed profile in the clear day was basically logarithmic distribution and the potential temperature changed little from the ground to about 300m. As for turbulent quantities, TKE also gradually decreased from about 70m, and the sensible heat flux was basically negative. On the polluted day, the wind speed from the lower to the upper layer was less than  $2\text{m s}^{-1}$ . At this time, the change of potential temperature was not very large from the ground to about 200m, indicating that the atmosphere basically maintained the neutral stratification. There was an obvious inversion layer cap until about 200m from the surface. TKE were basically maintained near zero. Note that, at this time the sensible heat flux above 80m almost remained at zero. Near the ground, the sensible heat flux was a little positive, demonstrating that when the pollution occurred, especially when the inversion layer existed, the heat flux transport was suppressed. At night, the surface longwave radiative cooling effect was restrained to a certain extent, and the weakening of turbulence activities would aggravate the pollution situation again.





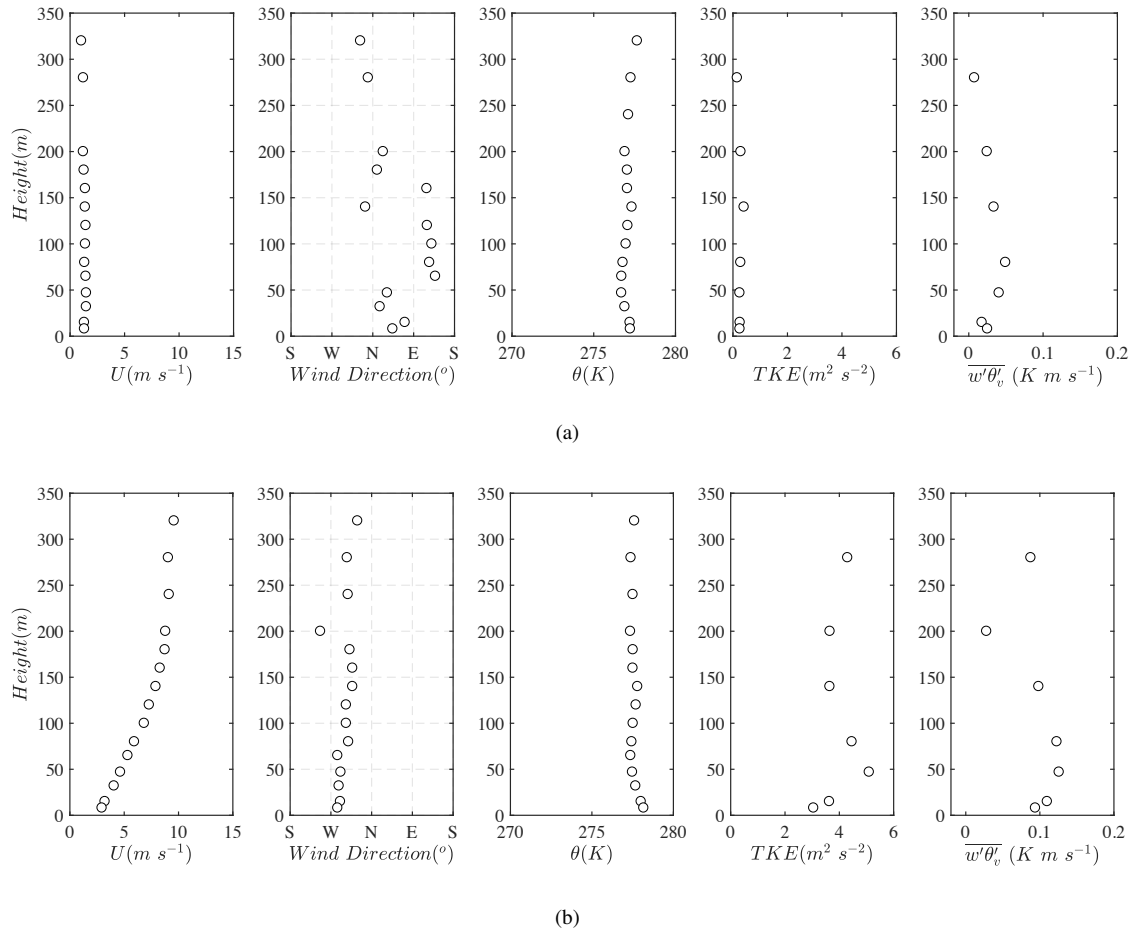
(a)



(b)

**Figure 9.** Vertical profiles of wind speed( $U$ ), wind direction( $WD$ ), potential temperature ( $\theta$ ), turbulent kinetic energy (TKE) and sensible heat flux ( $\overline{w'\theta'_v}$ ) at 23:20 on 19 December, 2016 (a), 22 December, 2016 (b).

At daytime on 21 December 2016 (see Fig.10), the  $PM_{2.5}$  concentration was about  $400\mu g m^{-3}$ , the wind speed was small, TKE maintained zero value, and the potential temperature observed by the tower during the pollution period was basically neutral stratification. The sensible heat flux was positive, but the value was basically  $0.02K m s^{-1}$ . At noon on 22 December, when the weather was better, the wind speed was obviously higher. TKE still had a maximum at 47m. The influence of urban canopy was greater below 47m. Unlike the polluted day, the sensible heat flux was larger at this time, and the lower layer reached  $0.1K m s^{-1}$ . The tower observation data clearly show that sensible heat flux decreased significant at daytime during haze episode because of the more scattering of solar radiation by particles.



**Figure 10.** Vertical profiles of wind speed( $U$ ), wind direction(WD), potential temperature ( $\theta$ ), turbulent kinetic energy (TKE) and sensible heat flux ( $\overline{w'\theta'_v}$ ) at 12:00 on 21 December, 2016 (a), 22 December, 2016 (b).

According to the concentration of  $PM_{2.5}$ , the haze pollution situation can be divided into different grades. The statistical mean values of surface visibility(Vis), wind speed ( $U$ ), RH, ABL height and the turbulent fluctuations are also calculated. As shown in the Table2, the statistical averages further confirm the conclusions of the previous analysis, such as when the concentration of  $PM_{2.5}$  is high, visibility and wind speed decrease but RH increases significantly. Our results show that because of the accumulation of aerosol particles,  $H_c$  is even a heightened slightly but  $H_c$  reduces by about 300m. The turbulence quantities also exhibit a decreasing trend during haze pollution episode, further demonstrating that turbulent activities are inhibited to a certain extent. It should be pointed out that, there appears to be little difference between the ABL height in slightly, moderately, heavily, and seriously polluted conditions. Since the data in this paper is only one case of heavy pollution process, the calculated small differences maybe not statistically different between categories, except compared with ‘good’ air quality. This is unsurprising, given the meteorology remains roughly constant during all periods between times when the air quality is ‘good’ when pollutants slowly build up.

**Table 2.** The averaged value of visibility (Vis), wind speed (U), relative humidity (RH), ABL height ( $H_u$ ,  $H_c$ ), turbulent kinetic energy (TKE), friction velocity ( $u_*$ ), momentum flux ( $\overline{u'w'}$ ), and sensible heat flux ( $\overline{w'\theta'_v}$ ) of different pollution degree.

Quality Level	Vis (km)	U (m s <sup>-1</sup> )	RH (%)	TKE (m <sup>2</sup> s <sup>-2</sup> )	$u_*$ (m s <sup>-1</sup> )	$\overline{u'w'}$ (m <sup>2</sup> s <sup>-2</sup> )	$\overline{w'\theta'_v}$ (K m s <sup>-1</sup> )	$H_u$ (m)	$H_c$ (m)
Good	9.9	4.0	39	1.23	0.31	0.08	0.0115	1124	358
Slightly	5.8	1.5	73	0.22	0.15	0.02	0.0022	837	484
Moderately	6.7	1.3	64	0.51	0.2	0.03	0.0032	750	502
Heavily	4.6	1.67	63	0.16	0.12	0.00045	0.0078	873	510
Seriously	2.0	1.38	81	0.15	0.11	0.0057	0.0038	844	518

## 4 Conclusions

In this paper, a red warning haze pollution process from 14 to 22 December 2016 in Beijing was studied by using various observational techniques. The atmospheric boundary layer structure and turbulence characteristics are the focus of this paper. Observational techniques include not only remote sensing techniques, e.g. Lidar and WPR, but also direct measurement techniques, e.g. ground-based radiosonde and the 325m meteorological tower. Our research results show that, during the heavy haze pollution period, the Beijing area was controlled by the stagnant weather system. Water vapor transport process has increased relative humidity below 600m, further greatly promoted the hygroscopic growth of  $PM_{2.5}$ . The height of ABL height observed by Lidar ( $H_c$ ) was about 500~750m. Our present study shows that  $H_c$  did not seem to reduce during heavy pollution episode due to the accumulation of pollutants. Based on the potential temperature gradient method, the ABL height calculated by radiosonde ( $H_\theta$ ) was in good agreement with  $H_c$ , with a correlation coefficient close to 72%. The ABL height ( $H_u$ ) determined by WPR was basically higher than that of  $H_c$ , and  $H_u$  decreased obviously when heavy pollution occurred, closer to  $H_c$  and  $H_\theta$ . The low level TKE,  $u_*$  and  $PM_{2.5}$  were observed to be inversely related according to the tower. The turbulent fluxes varied very little with altitude, but the sensible heat flux at night was even slightly positive near the surface, indicating that the cooling effect is inhibited by the long-wave radiation from the ground. And due to the more scattering of solar radiation by particles, the sensible heat flux at daytime was greatly reduced. Consequently, the suppression of turbulence will lead to further serious pollution.

Although different boundary layer heights can be obtained by various techniques, it seems that the ABL height measured by Lidar can better reflect the pollution accumulation during heavy haze pollution, and the ABL height measured by radiosonde is also in good agreement with the  $H_c$  measured by Lidar, which is useful for the study of atmospheric pollution boundary layer based on conventional observations. Highly significant, our research found that the ABL height measured by WPR ( $H_u$ ) is high. However, since the definition of ABL height observed by different means is essentially different in this class, they have their respective roles and significance.

Our future work will try to establish the parameterization of the relationship between friction speed and  $PM_{2.5}$  concentration, as they exhibit strong statistical correlations (negative correlations), for use in numerical models of air pollution. In addition, it is also meaningful to explore the correlation between the dynamic, thermal and material (concentration) boundary layer heights (expressed by  $H_u$ ,  $H_\theta$  and  $H_c$  respectively) through more observations.

*Competing interests.* All the authors have declared that no competing interests exist

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