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Improved spectral fitting of nitrogen dioxide from OMI in the 405–465 nm window

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Abstract

An improved nitrogen dioxide (NO₂) slant column density retrieval for the Ozone Monitoring Instrument (OMI) in the 405–465 nm spectral region is presented. Since the launch of OMI on board NASA's EOS-Aura satellite in 2004, DOAS retrievals of NO₂ slant column densities have been the starting point for the KNMI DOMINO (v2.0) and NASA SP (v2.1) retrievals. However, recent intercomparisons between NO₂ retrievals from OMI and other UV/Vis and limb spectrometers, as well as ground-based measurements, clearly suggested that OMI stratospheric NO₂ is biased high.

This study revises the OMI NO₂ retrieval in detail. The representation of the OMI slit function to convolve high-resolution reference spectra onto the relevant spectral grid is improved. The window used for the wavelength calibration is optimised, leading to much-reduced fitting errors. Ozone and water vapour spectra used in the fit are updated, reflecting the recently improved knowledge on their absorption cross section as documented in the literature. The improved spectral fit also accounts for absorption by the O₂–O₂ collision complex and by liquid water over clear-water areas.

The main changes in the improved spectral fitting result from the updates related to the wavelength calibration: the RMS error of the fit is reduced by 23% and the NO₂ slant column by 0.85×10^{15} molec cm⁻², independent of latitude, solar zenith angle and NO₂ value. Including O₂–O₂ and liquid water absorption and updating the O₃ and water vapour cross-section spectra further reduces NO₂ slant columns on average by 0.35×10^{15} molec cm⁻², accompanied with a further 9% reduction in the RMS error of the fit.

The improved OMI NO₂ slant columns are consistent with independent NO₂ retrievals to within a range that can be explained by photo-chemically driven diurnal increases in stratospheric NO₂ and by small differences in fitting window and fitting approach. The revisions indicate that current OMI NO₂ slant columns suffered mostly from an additive, positive offset that is removed by the improved wavelength calibration and representation of the OMI slit function. It is therefore anticipated that the improved

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NO₂ slant columns are most important to retrievals of spatially homogeneous stratospheric NO₂ rather than to heterogeneous tropospheric NO₂.

1 Introduction

Nitrogen dioxide (NO₂) and nitrogen oxide (NO) – together usually referred to as nitrogen oxides (NO_x = NO + NO₂) – are important trace gases in the Earth's atmosphere. They enter the atmosphere due to anthropogenic (e.g. fossil fuel combustion, biomass burning) and natural (e.g. microbiological processes in soils, wild fires, lightning) processes. Approximately 95% of the NO_x emissions is in the form of NO. In the presence of sunlight, a photochemical cycle involving ozone (O₃) converts NO into NO₂ and vice versa on a timescale of minutes, making NO₂ a robust measure for concentrations of NO_x (e.g. Jacob, 1999). Over remote regions the NO₂ is primarily located in the stratosphere (typically more than 90%). For polluted regions 50–90% of the total NO₂ column is located in the troposphere, depending on the degree of pollution, with most of the tropospheric NO₂ in the planetary boundary layer. Vertically integrated NO₂ concentrations are 1–2 × 10¹⁵ molec cm⁻² in the stratosphere, and up to 10 times more in the troposphere over polluted areas. For typical levels of OH the lifetime of NO_x in the lower troposphere is less than a day (e.g. Schaub et al., 2007; Beirle et al., 2011).

Boundary layer NO₂ directly affects human health (WHO, 2003). In addition nitrogen oxides are essential precursors for the photochemical formation of ozone (Sillman et al., 1990), they influence concentrations of OH and thereby influence the lifetime of methane (Fuglestedt et al., 1999) and other gases. NO₂ in itself is a minor greenhouse gas, but the indirect effects of NO₂ on global climate change are probably larger, with a presumed net cooling effect mostly driven by oxidation-fueled aerosol formation (Shindell et al., 2009). Stratospheric NO₂ originates mainly from oxidation of N₂O in the middle stratosphere, which leads to NO_x, which in turn acts as a catalyst for ozone destruction (Crutzen, 1970; Hendrick et al., 2012). Stratospheric NO_x can also suppress

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was launched aboard the MetOp-B satellite in 2012. In this paper GOME-2 refers to the instrument aboard MetOp-A, sometimes referred to as GOME-2A.

SCIAMACHY (Bovensmann et al., 1999) is a spectrometer aboard the satellite ENVISAT and operated in the period 2002–2012. The instrument measured backscattered and direct sunlight in the UV, visible and near-infrared (220–2380 nm) from a sun-synchronous orbit. The spectral resolution and spectral sampling in the visible wavelength range are 0.44 and 0.2 nm, respectively. The overpass was at about 10:00 LT, with the satellite flying north-to-south on the dayside of the Earth. Individual nadir ground pixels were 30 by 60 km². The full swath width was about 960 km. Since SCIAMACHY measured alternatively in a nadir and limb viewing mode, it achieved global coverage once every 6 days.

2.1.2 NO₂ spectral fitting

The Differential Optical Absorption Spectroscopy (DOAS; Platt, 1994; Platt and Stutz, 2008) technique is applied to the backscattered spectra measured by the satellite instrument to obtain the NO₂ slant column density (SCD). The SCD is the integrated concentration of NO₂ over light paths from the Sun through the Earth's atmosphere to the satellite, weighted with their relative contribution to the radiance. The DOAS retrieval technique is described in Sect. 2.2.

The OMI NO₂ SCD data are calculated at NASA by a processor named OMNO2A. The retrieval results of OMNO2A are input for subsequent processing to determine NO₂ vertical column densities (VCDs), e.g. for the DOMINO data product of KNMI (e.g. Boersma et al., 2002, 2011; Dirksen et al., 2011) and NASA's NO₂ Standard Product (SP; e.g. Bucsela et al., 2006, 2013). For the OMI NO₂ retrieval, the selected spectral fitting window is 405–465 nm, wider than the often used 425–450 nm window in order to improve the effective signal-to-noise. The degree of the DOAS polynomial is 5, higher than for other retrievals in view of the wider retrieval window.

For GOME-2 and SCIAMACHY NO₂ SCD data, BIRA-IASB uses a processor based on QDOAS (Danckaert et al., 2012), the multi-platform successor of their WinDOAS

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package; see e.g. Van Roozendael et al. (2006) and Lerot et al. (2009). The DOAS fit on GOME-2 and SCIAMACHY data use almost the same wavelength window: 425.0–450.0 and 426.5–451.5 nm, respectively (the small difference between the fit windows is related to instrumental issues). The degree of the DOAS polynomial is 3 for GOME-2 and 2 for SCIAMACHY.

Table 1 provides an overview of the details of the DOAS retrieval for the OMI, GOME-2 and SCIAMACHY sensors used in this study.

2.2 DOAS retrieval of NO₂ slant column densities

In the DOAS technique, an analytical function that describes the relevant atmospheric physical processes (scattering, reflection and absorption) is matched to the satellite-measured spectrum. The analytical function or physical model contains a low-order polynomial that represents the spectrally smooth part of the spectrum, related to slowly varying broad-band absorption as well as Rayleigh and Mie scattering processes in the atmosphere and smooth surface reflection and absorption effects.

The physical model furthermore includes a number of terms that represent the spectrally varying absorption signatures of relevant absorbers in the spectral window, notably NO₂, ozone (O₃) and water vapour (H₂O_{vap}). In the SCIAMACHY and GOME-2 NO₂ retrievals also absorption by O₂–O₂ is taken into account, while for OMNO2A this is currently not done (see Table 1). In addition, the physical model accounts for inelastic scattering that leads to filling-in of the Fraunhofer lines in the radiance spectrum, the so-called “Ring effect”, by describing these effects as a pseudo-absorber, that is by including a Ring reference absorption spectrum along with the molecular absorption terms.

The DOAS procedure minimises the difference between the measured spectrum $R_{\text{meas}}(\lambda)$ and a modelled spectrum $R_{\text{mod}}(\lambda)$ within a given wavelength window, in the form of minimisation of a chi-squared merit function. The measured reflectance $R_{\text{meas}}(\lambda)$ is determined from the radiance measured at top-of-atmosphere $I(\lambda)$ and the extraterrestrial solar irradiance spectrum $I_0(\lambda)$.

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In the OMI slant column retrieval the modelled reflectance is expressed in terms of intensities, which leads to a non-linear fit problem and allows to describe the effects of inelastic scattering after a scattering event has occurred:

$$R_{\text{mod}}(\lambda) = P(\lambda) \cdot \exp \left[- \sum_{k=1}^{N_k} \sigma_k(\lambda) \cdot N_{s,k} \right] \cdot \left(1 + C_{\text{ring}} \frac{I_{\text{ring}}(\lambda)}{I_0(\lambda)} \right) \quad (1)$$

with $P(\lambda)$ a polynomial of degree N_p , $\sigma_k(\lambda)$ the cross section and $N_{s,k}$ the slant column amount of molecule k taken into account in the fit (NO_2 , O_3 , etc.), C_{ring} the Ring fitting coefficient and $I_{\text{ring}}(\lambda)/I_0(\lambda)$ the sun-normalised synthetic Ring spectrum. The Ring spectrum describes the differential spectral signatures arising from inelastic Raman scattering of incoming sunlight by N_2 and O_2 molecules. The last term between brackets in Eq. (1) describes both the contribution of the direct differential absorption (i.e. the 1), and the modification of these differential structures by inelastic scattering (the $+C_{\text{ring}} I_{\text{ring}}(\lambda)/I_0(\lambda)$ term) to the reflectance spectrum. Some further details on the OMI NO_2 DOAS slant column retrieval can be found in the Supplement, Sect. S1.

2.3 Ground-based observations in UV-Vis and IR

Satellite observations of NO_2 have been compared to ground-based measurements in several studies. Hendrick et al. (2012), for example, performed an extensive comparison of measurements acquired at the Jungfraujoch station (46.5°N , 8.0°E), part of NDACC (Network for the Detection of Atmospheric Composition Change), with data from GOME-2 and SCIAMACHY. The Jungfraujoch station is located at 3580 m a.s.l., which means that most of the year the observatory is above the boundary layer and the instruments measure NO_2 in the free troposphere and stratosphere. Hendrick et al. (2012) found that the data of independent SAOZ and FTIR measurements match each other and stratospheric NO_2 data from GOME-2 and SCIAMACHY quite well (with SAOZ biased by +8% w.r.t. FTIR).

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The SAOZ instrument, operated by BIRA-IASB, is a broad-band (300–600 nm) spectrometer that measures zenith scattered sunlight (Pommereau and Goutail, 1988). Vertical column densities are derived by using the standard four-step approach (e.g. Hendrick et al., 2012), using the NDACC UV/Vis Working Group recommendations. Since these are described in detail on the NDACC website¹, only the main features are given here. The NO₂ is retrieved in the 425–495 nm wavelength window, taking into account absorption by NO₂, ozone, water vapour and O₂–O₂, the Ring effect, and a 3rd order polynomial. In the conversion of slant to vertical NO₂ columns, the NDACC NO₂ AMF climatology based on the harmonic climatology of stratospheric NO₂ profile developed by Lambert et al. (1999, 2000) has been used. SAOZ measurements contaminated by strong pollution events coming from the valley below the station have been filtered out.

Using the BIRA-IASB stacked box photochemical model PSCBOX (Hendrick et al., 2004), daily initialized with SLIMCAT chemical and meteorological fields, a photochemical correction is determined: for each day, 90° SZA sunrise and sunset SAOZ data are converted to the satellite overpass SZA of that day, after which the average of both SAOZ NO₂ column values can be compared to the corresponding satellite measurement.

The FTIR instrument, operated by the University of Liège, is a spectrometer that measures high-resolution solar absorption spectra under clear-sky conditions (Zander et al., 2008). The NO₂ retrieval is extensively described by Hendrick et al. (2012). In brief, two microwindows are used: 2914.6–2914.7 and 2915.0–2915.11 cm⁻¹, taking into account absorption by ozone, water vapour and methane. Vertical profiles and corresponding column densities are derived using the Optimal Estimation-based SFIT-2 algorithm (e.g. Rinsland et al., 1998). Here also, a photochemical correction determined with the PSCBOX model is applied to the column data: for each day where FTIR measurements are available, all retrieved FTIR vertical columns are photochemically converted to the satellite overpass SZA of that day, after which the average of

¹See on <http://www.ndacc.org/> the UV/Vis (@BIRA) page.

all corrected FTIR NO₂ columns of the day is compared to the corresponding satellite measurement.

Total random and systematic uncertainties on the FTIR column data have been evaluated at 11 and 36%, respectively (Hendrick et al., 2012; Table 2 in Rinsland et al., 2003). In the case of SAOZ measurements, error sources have been quoted in Van Roozendaal et al. (1994) and Hendrick et al. (2012). Taking into account the past NDACC NO₂ intercomparison exercises (e.g. Vandaele et al., 2005; Roscoe et al., 2010), a total uncertainty of 12% on the retrieved NO₂ vertical columns is derived.

3 Intercomparisons of stratospheric NO₂ columns

3.1 Comparison of satellite retrieval results

NO₂ data from OMI, GOME-2 and SCIAMACHY are evaluated for 2007, a year for which data is available and of good quality for all instruments. Stratospheric NO₂ concentrations are best detected over the Pacific Ocean, where tropospheric contributions to the NO₂ column are small in the absence of substantial sources of pollution. Satellite data is compared for the the Pacific Ocean area, defined here as the area from 60° S to 60° N and from 140 to 180° W. DOMINO v2.0 data is used for OMI (Boersma et al., 2011), TM4NO2A v2.1 for GOME-2 and TM4NO2A v2.0 for SCIAMACHY (Boersma et al., 2004).

Figure 1 shows the monthly average stratospheric NO₂ column for the three instruments and their mutual differences as function of latitude for March 2007 (top panel) and September 2007 (bottom panel). The OMI stratospheric columns are clearly higher than those of GOME-2 by about 1.0×10^{15} molec cm⁻². The GOME-2 stratospheric columns in turn are higher than SCIAMACHY's by $0.1\text{--}0.3 \times 10^{15}$ molec cm⁻². These findings are consistent with those from Belmonte-Rivas et al. (2014), who reported a similar high bias in OMI and low bias in SCIAMACHY data relative to stratospheric NO₂ columns obtained from an ensemble of limb and nadir sensors.

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The high-resolution solar reference spectrum $I_{\text{ref}}^h(\lambda)$ used here is from Dobber et al. (2008). Figure 4 shows a comparison of the current (v2006) and updated (v2014) convolved solar reference spectra $I_{\text{ref}}(\lambda)$, where the difference between the two versions is due to the updated slit function. Differences between the two are 1.0–1.5% at most wavelengths; near 430 nm, the difference is 2.1%, while below 420 nm the differences is less than 1.0%.

NO₂

The source for the NO₂ cross sections, the 220 K dataset of Vandaele et al. (1998), remains unchanged. Small differences between the current v2006 and the updated v2014 NO₂ reference spectra, seen in the top-left panel of Fig. 5, are related to the use of the updated slit function and $I_{\text{ref}}^h(\lambda)$ in the convolution given by Eq. (S12). These differences are of the order of 1–3% and are therefore not expected to lead to significant changes in the retrieved NO₂ value.

O₃

The O₃ cross sections for the visible range in the current OMNO2A were based on the data from WMO (1975). These cross sections are replaced by the 223 K dataset from Bogumil et al. (2000), version 3.0 (December 2004), which is resampled using a cubic spline interpolation on a 0.01 nm grid and subsequently convolved with Eq. (S12). The top-right panel of Fig. 5 shows a comparison of the v2006 and v2014 O₃ reference spectra: for most wavelengths the difference is more or less an offset; at 420 nm the difference is about 35%, at 450 nm about 10%.

H₂O_{vap}

Absorption by water vapour (H₂O_{vap}) takes place in the form of a multitude of spectrally fine absorption lines, rather than as a smooth function of wavelength, so that

convolution with Eq. (S12) cannot be simply applied to create a reference spectrum suitable for the DOAS retrieval. Instead, an effective reference spectrum for $\text{H}_2\text{O}_{\text{vap}}$ absorption suitable for use in the DOAS fit is determined from two simulated reflectance spectra, one with and one without water vapour absorption – see Sect. S4 for details.

The bottom-left panel of Fig. 5 compares the updated v2014 $\text{H}_2\text{O}_{\text{vap}}$ reference spectrum and the current v2006 one, which is based on Harder and Braut (1997) and was updated in 2007 based on HITRAN 2004 data. Some absorption peak values in the ranges 440–450 nm and 415–420 nm are clearly reduced, while the absorption in the range 425–430 nm is much weaker in the v2014 reference spectrum. Note also that some of the peaks in the v2006 seem to be narrower than the OMI slit function, indicating that something was clearly wrong with the v2006 $\text{H}_2\text{O}_{\text{vap}}$ spectrum.

$\text{O}_2\text{--O}_2$

The collision between two oxygen molecules in the atmosphere gives rise to so-called $\text{O}_2\text{--O}_2$ absorption. The absorption peak around 446 nm lies in the NO_2 fit window, as does the tail of the absorption peak around 477 nm (cf. Fig. 3). The latter is used in the OMI OMCLDO2 data product for the retrieval of cloud information within the 460–490 nm wavelength window.

In the current OMNO2A processing, absorption by $\text{O}_2\text{--O}_2$ was not taken into account, as tests with v2006 pointed out that including $\text{O}_2\text{--O}_2$ did not significantly affect the RMS error of the fit. As described in Sect. 5.3, however, including $\text{O}_2\text{--O}_2$ improves the NO_2 fit in other ways.

Recently Thalman and Volkamer (2013) have released a new cross section database for $\text{O}_2\text{--O}_2$ absorption, given at 293 and 203 K, which compares very well with the data from Hermans et al. (1999) – which is used in many NO_2 retrievals, such as for the GOME-2 and SCIAMACHY data used above, and also for the OMCLDO2 cloud product – but has a higher signal-to-noise. For this reason the Thalman and Volkamer (2013) 293 K cross section data are selected as v2014 reference spectrum, but with a correction for a small spurious jump around 432 nm, for which the 203 K spectrum is

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used. Subsequently, the spectrum is resampled using a cubic spline interpolation on a 0.01 nm grid, followed by a convolution with Eq. (S12).

H₂O_{liq}

Accounting for absorption of light by liquid water (H₂O_{liq}), in particular in clear ocean water, has been considered before in the retrieval of glyoxal (Lerot et al., 2010) and of NO₂ (Richter et al., 2011). In the current OMNO2A processing H₂O_{liq} is not taken into account. As described in Sect. 5.3 including H₂O_{liq} clearly improves the spectral fit of NO₂ for clear-sky situations over clear ocean waters when using a fit window that is wider than 425–450 nm.

The absorption coefficients of liquid water are taken from Table 3 of Pope and Fry (1997). This reference spectrum is very smooth with wavelength, as can be seen in Fig. 3, so that a convolution of the spectrum is not strictly necessary. For consistency, however, convolution and I_0 -correction are applied as with the other reference spectra. The absorption coefficients $\sigma_{\text{H}_2\text{O}_{\text{liq}}}$ have unit m^{-1} , so that the fit coefficient $N_{\text{s,H}_2\text{O}_{\text{liq}}}$ is the length of the average light path in water (in m).

Ring effect

Accounting for the Ring effect in the spectral fit requires either a Ring radiance spectrum $I_{\text{ring}}(\lambda)$ or a (pseudo) Ring differential cross section $\sigma_{\text{ring}}(\lambda)$, where the latter is essentially the difference between I_{ring} divided by a reference Sun spectrum and a low order polynomial.

For OMNO2A the $I_{\text{ring}}(\lambda)$ is computed following Chance and Spurr (1997), using the updated slit function, with the radiative transfer code DISAMAR (de Haan, 2011) in a line-by-line forward calculation on the basis of the high-resolution solar spectrum $I_{\text{ref}}^h(\lambda)$, assuming a pure Rayleigh atmosphere, i.e. without absorbing trace gases. The bottom-right panel of Fig. 5 shows the current v2006 and the updated v2014 Ring radiance reference spectra $I_{\text{ring}}(\lambda)$ for the nonlinear OMNO2A retrieval; differences are

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of the order of 2%. The $\sigma_{\text{ring}}(\lambda)$ for the linear tests in Sect. S5 in the Supplement is constructed by subtracting a 2nd order polynomial from the ratio $I_{\text{ring}}(\lambda)/I_{\text{ref}}(\lambda)$.

4.2 Wavelength calibration

The measured solar irradiance spectrum $I_0(\lambda)$ used in the OMI NO₂ DOAS fit has been constructed from a yearly average of daily solar irradiance measurements by OMI during 2005 and has an accurate wavelength calibration.

From the start of the OMI mission, the level-1b radiance spectra $I(\lambda)$ of OMI are given on an initial assigned wavelength grid (Voors et al., 2006). This assigned wavelength grid – hereafter referred to as “wcA” – was at the time accurate enough for the NO₂ retrieval with OMNO2A. After the onset of the first row anomaly³ in June 2007 and the subsequent growth of this issue after May 2008, however, the assigned wavelength grid appeared to be established less accurately and, consequently, hampered sufficiently accurate NO₂ retrievals in all rows, i.e. also in those not affected by the row anomaly.

The NO₂ fit results were improved by the introduction of a wavelength calibration in OMNO2A in January 2009. This wavelength calibration determines a wavelength shift from a fit against the reference solar spectrum $I_{\text{ref}}(\lambda)$, taking the Ring effect into account (cf. Voors et al., 2006), starting from the assigned wavelength grid wcA, independently for each ground pixel. The wavelength calibration in the current OMNO2A processing, called “wcB” hereafter, uses 408.0–423.0 nm as calibration window. This window, indicated by a horizontal line ending with open circles in Fig. 4, was chosen because it covers some distinct Fraunhofer features in the solar spectrum. Due to the nature of the OMI detector a squeezing or stretching of the wavelengths is unlikely, so the shift found from the calibration window is representative for the whole NO₂ fit window. The correspondence between the labels of the wavelength calibration and the official numbers of the OMNO2A processing is described in Sect. S3.

³See <http://www.knmi.nl/omi/research/product/rowanomaly-background.php> for an explanation and details.

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4.2.1 Optimal calibration window

With the update of the solar reference spectrum $I_{\text{ref}}(\lambda)$ and the Ring radiance spectrum $I_{\text{ring}}(\lambda)$, discussed in Sect. 4.1, the wavelength shift determined in calibration window wcB changes quite a bit (see below). This change in the wavelength grid of the level-1b spectra directly improves the fit results: both the RMS and the error on the NO₂ SCD are reduced. Using the v2006 reference spectra for NO₂, O₃ and H₂O_{vap} (and not yet including O₂–O₂ and H₂O_{liq}), the changes due to the introduction of the new Solar and Ring reference spectra in the wcB wavelength calibration, averaged between 60° S and 60° N over the Pacific Ocean test orbit (see Sect. 5.1), are as follows:

- Wavelength shift: from +0.55 to -3.63×10^{-3} nm
- RMS error: from 1.39 to 1.15×10^{-4} (–17.4%)
- NO₂ error: from 1.29 to 1.17×10^{15} molec cm⁻² (–9.2%)
- NO₂ SCD: from 8.54 to 8.04×10^{15} molec cm⁻² (–5.8%)

Since the spectral sampling of OMI (Sect. 2.1.1) is about 0.21 nm, a shift of -3.62×10^{-3} nm corresponds to 1.7% of a wavelength pixel.

Given that the NO₂ fit results depend so clearly on the wavelength calibration, it was decided to test a range of calibration windows. Both the begin and end point of the calibration window where varied in steps of 0.5 nm, with a minimum size of 10 nm for the window, spanning the full NO₂ fit window 405–465 nm, a total of 5151 possible calibration windows. The fits where performed on the Pacific Ocean test orbit with all the new v2014 reference spectra, including O₂–O₂ and H₂O_{liq} absorption. From these calculations the “optimal calibration window”, defined as the window with the lowest RMS and NO₂ error, was found to be 409.0–428.0 nm. This new calibration window, hereafter “wcN”, is indicated in Fig. 4 by a horizontal line ending with filled circles. Clearly wcN covers one more distinct Fraunhofer line than wcB.

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be considered a measure for the uncertainty in the NO₂ SCD related to the wavelength calibration: 0.12×10^{15} molec cm⁻² (0.05×10^{15} molec cm⁻² in terms of the NO₂ VCD, when using a geometric air-mass factor).

5 Results of the OMI NO₂ retrieval improvements

5 The improvements for the OMNO2A NO₂ SCD retrieval discussed above comprise four steps:

1. the update of the high-resolution Solar reference spectrum and the Ring spectrum used for the wavelength calibration,
2. the change of the wavelength calibration window from wcB to wcN,
- 10 3. the update of the reference spectra of NO₂, O₃ and H₂O_{vap},
4. the inclusion of absorption by the O₂-O₂ collision complex and by liquid water.

The current OMNO2A processing system is referred to as number “v1” below, while the processing using the updated spectral fit settings is named “v2”. The use of “v1” and “v2” is prompted by the fact that different version numbers apply to the current
15 OMNO2A processing, as detailed in Sect. S3.

5.1 OMI data used for comparisons

For the comparison of the OMNO2A spectra fit results, the OMI orbit over the Pacific Ocean on 1 July 2005 (orbit number 05121, starting time 22:17 UTC, crossing the equator at about 140°W) is used. Other orbits of this day are used to evaluate the robustness of the findings. Only ground pixels with a solar zenith angle less than 75°
20 are used; for most comparisons using orbit averages the data is limited to the latitude range [−60° : +60°]. Since stratospheric NO₂ is the main focus of this study, no filtering

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of cloudy pixels is applied. The NO₂ retrievals are performed with the official processor, OMNO2A, in the fit window 405.0–465.0 nm with a 5th-degree polynomial.

5.2 Current vs. updated NO₂ SCD over the Pacific

Table 5 lists the NO₂ SCD, the NO₂ error and the RMS error values for the step-by-step improvements listed above. The first case in the table represents the current (“v1”) OMNO2A settings for the SCDs used in the DOMINO v2.0 and NASA SP v2.1 NO₂ data products, case 2 represents the improved wavelength calibration, and case 4 the implementation of all updates together, i.e. the new “v2” version of OMNO2A.

Figure 7 shows the absolute values of (top row) and differences between (bottom row) cases 0, 2 and 4 in Table 5 of the RMS error (left column) and the NO₂ SCD (right column) for all 15 orbits. These results show that the wavelength calibration update (case 2) leads to large improvements in the spectral fitting of OMI NO₂ and the updates of the relevant reference spectra lead to smaller yet still significant improvements of the fit. The lower panels indicate that differences in RMS and NO₂ SCD vary only a little from orbit to orbit. Averaging the 15 orbit averages and giving changes w.r.t. the case-0 averages, the conclusions are that:

- the wavelength calibration updates reduce the RMS by 23% and the SCD by $0.85 \times 10^{15} \text{ molec cm}^{-2}$,
- updates in the reference spectra further reduce the RMS by 9% and the SCD by $0.35 \times 10^{15} \text{ molec cm}^{-2}$,
- in total the RMS improves by 31% and the SCD is smaller, on average, by $1.20 \times 10^{15} \text{ molec cm}^{-2}$.

The latitudinal dependency of the changes in the NO₂ SCD averaged over the 15 orbits is shown in Fig. 8. The change in NO₂ SCD resulting from the update of the wavelength calibration (blue line with squares) shows little variation with latitude, indicating that the

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imperfect wavelength calibration likely represents an additive offset of $0.85 \pm 0.04 \times 10^{15}$ molec cm⁻² in the “v1 OMNO2A” retrieval.

On the other hand, the change in NO₂ SCD due to the update of the trace gas reference spectra and the inclusion of absorption by O₂-O₂ and H₂O_{liq} (black line with triangles in Fig. 8) depends clearly on latitude in absolute numbers and as a percentage of the NO₂ SCD: the change ranges from 0.1×10^{15} molec cm⁻² (3%) in the tropics to 0.8×10^{15} molec cm⁻² (5%) at high latitudes. That the change in the NO₂ SCD increases with latitude reflects the inclusion of O₂-O₂ absorption, which increases poleward, as indicated by the green short-dashed line in Fig. 8, due to the longer photon path.

Overall, the improved OMNO2A NO₂ SCD are reduced by 1.0 – 1.8×10^{15} molec cm⁻² (10 to 16%), the NO₂ SCD error by 0.2 to 0.3×10^{15} molec cm⁻² (16 to 30%), and the RMS error by 24 to 35%, depending on latitude.

5.2.1 Evaluation with SCIAMACHY data

To facilitate a comparison of the improved spectral fit for OMI with data from SCIAMACHY, the NO₂ slant columns of both instruments are converted to vertical columns with the geometric air-mass factor M_{geo} , taking the curvature of the Earth’s atmosphere into account (following Leue, 1999), which is important for $\theta_0 > 60^\circ$:

$$M_{\text{geo}} = \frac{\sqrt{\cos^2 \theta_0 + \delta^2 + 2\delta \cos \theta_0}}{\delta} + \frac{1}{\cos \theta} \quad (2)$$

where $\delta \equiv h/r$ is the ratio between the height of the atmosphere h and the Earth radius r , θ_0 the solar zenith angle and θ the viewing zenith angle. This conversion ensures that the considerable differences in viewing angles between the two instruments do not affect the comparison.

Figure 9 shows a comparison of the OMI Pacific Ocean test orbit using the “v1 OMNO2A” and the “v2 OMNO2A” retrieval and of the SCIAMACHY data over the

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Pacific Ocean of the same day (lines with symbols). Given SCIAMACHY's poor geographic coverage, the data of its three orbits over the Pacific are averaged for this comparison. The figure shows that the discrepancy between OMI and SCIAMACHY has been reduced from 1.2 to $0.8 \times 10^{15} \text{ molec cm}^{-2}$.

The remaining offset between the new v2 OMNO2A and the SCIAMACHY NO₂ VCDs of $0.8 \times 10^{15} \text{ molec cm}^{-2}$ can be explained in part by the difference of about $0.5 \times 10^{15} \text{ molec cm}^{-2}$ expected due to the diurnal cycle of stratospheric NO₂. It should be kept in mind that SCIAMACHY has a negative bias of $0.1\text{--}0.2 \times 10^{15} \text{ molec cm}^{-2}$ w.r.t. GOME-2, as shown in Sect. 3.1 and by Hendrick et al. (2012), and w.r.t. an ensemble of stratospheric NO₂ limb sensor measurements as shown by Belmonte-Rivas et al. (2014). Secondly, the OMI NO₂ is retrieved by OMNO2A with a non-linear fit approach in the 405–465 nm window, while the SCIAMACHY NO₂ is retrieved by QDOAS with a linear fit approach in the 425–450 nm window (cf. Table 1). As mentioned in Sect. 5.4, the difference in fit window and fit approach explains another $0.1\text{--}0.2 \times 10^{15} \text{ molec cm}^{-2}$ in the difference between OMNO2A and SCIAMACHY.

5.3 About including O₂–O₂ and liquid water

The spectral residual of the NO₂ retrieval, defined by Eq. (S7) in the Supplement, describes the unexplained portion of the measured spectrum after a selected set of absorption signatures is accounted for in the fit model. Figure 10 shows the spectral residual of two cloud-free pixels along row 29 (0-based) of the Pacific Ocean test orbit: pixel 425 (top two curves, left axis) and pixel 592 (bottom two curves, right axis) using the updated reference spectra without (case 3 in Table 5, red solid lines) and with (case 4, blue dashed lines) taking absorption of O₂–O₂ and H₂O_{liq} into account. Pixel 425 (located at 20.2° S, 135.4° W) is over clear open ocean water with a low chlorophyll

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A world map of the $\text{H}_2\text{O}_{\text{liq}}$ coefficient retrieved from all OMI orbits of 1 July 2005 is presented in Fig. 12. Open water areas are clearly visible on the map and land/sea boundaries show up sharply in some areas, such as the Mediterranean Sea, the Gulf of Mexico, around Madagascar, and the east coast of South America. Along the west

5 coasts of South America, North America and Africa, for example, the $\text{H}_2\text{O}_{\text{liq}}$ coefficient is very low, consistent with high chlorophyll concentrations there.

Including the absorption of $\text{H}_2\text{O}_{\text{liq}}$ and the $\text{O}_2\text{--O}_2$ collision complex in the NO_2 fit is justified since their absorption is known to affect the radiance $I(\lambda)$ – unless including either of them would reduce the quality of the NO_2 fit, but that is not the case. When

10 looking at the retrieved O_3 SCD, shown in the top-left panel of Fig. 13 as function of latitude, it is clear that without either of the two additional absorbers ozone values can be negative in the regions where absorption in open water is taking place. Adding both absorbers brings the retrieved O_3 SCD close to the values given in the official OMI ozone SCD data product OMDOAO3; the improvement is mostly due to including

15 $\text{H}_2\text{O}_{\text{liq}}$ absorption.

Including $\text{O}_2\text{--O}_2$ absorption but not $\text{H}_2\text{O}_{\text{liq}}$ absorption does not result in realistic O_3 SCD values. If also $\text{H}_2\text{O}_{\text{liq}}$ absorption is included, the retrieved $\text{O}_2\text{--O}_2$ SCD values appear realistic compared to the values given in the official OMI cloud data product OMCLDO2, which uses $\text{O}_2\text{--O}_2$ absorption around 475 nm. Including $\text{O}_2\text{--O}_2$ absorption

20 has a small effect on the retrieved $\text{H}_2\text{O}_{\text{liq}}$ coefficient (bottom-right panel in Fig. 13) and reduces the RMS error of the fit a little (not shown).

In summary: (a) including liquid water absorption leads to significant improvements in the NO_2 retrieval fit for pixels over clear open waters, without affecting other pixels, gives physically meaningful $\text{H}_2\text{O}_{\text{liq}}$ and O_3 absorption coefficients, and (b) simultaneously including $\text{O}_2\text{--O}_2$ absorption gives realistic $\text{O}_2\text{--O}_2$ SCDs and improves the fit somewhat, notably at higher latitudes, where light paths are long.

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5.4 Comparison between OMNO2A and QDOAS

The NO₂ spectral fits for SCIAMACHY and GOME-2 presented in this study have been performed with the QDOAS software in fit windows and with a fitting method different from OMNO2A (cf. Table 1). It is worthwhile to obtain an estimate of the sensitivity of the NO₂ SCD to the spectral fitting approach. Such estimates are important for satellite intercomparisons and the generation of long-term seamless multi-sensor data records. This preliminary comparison study, discussed in Sect. S5 of the Supplement, shows that the variability in the fit window and fit method selection introduces differences in the retrieved NO₂ SCD between -0.3 and $+0.6 \times 10^{15}$ molec cm⁻² (i.e. up to 0.2×10^{15} molec cm⁻² in terms of the NO₂ VCD).

5.5 Across track variation (“striping”)

Due to instrumental effects OMI measurements show across-track biases, resulting from viewing zenith angle dependent calibration errors, which leads to so-called “stripes” in the retrieved NO₂ columns along the orbits (Boersma et al., 2011; Bucsela et al., 2013). In the above described comparisons no correction for the striping was applied; it was assumed that by taking averages over all rows of an orbit the striping effects average out. A comparison of along-track averages over latitudes $\pm 45^\circ$ (not shown) indicates that the striping neither improved nor worsened by the OMNO2A updates.

6 Concluding remarks

The OMI NO₂ slant column density (SCD) retrieval, OMNO2A, lies at the basis of the stratospheric and tropospheric NO₂ vertical column data products of OMI, notably the Dutch OMI NO₂ (DOMINO) and NASA Standard Product (SP) datasets. OMNO2A performs a DOAS spectral fit of NO₂ and a number of other trace gases in the fit window

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varying from 0.2 to 0.6×10^{15} molec cm^{-2} , on average 0.35×10^{15} molec cm^{-2} ; the RMS is reduced by about 9% on average.

NO_2 SCD retrievals for other satellite and ground-based instruments employ different spectral fit windows and use different implementations of the DOAS technique, which leads to small differences in the resulting SCD values. A short investigation of this using the QDOAS software (Danckaert et al., 2012) shows that the uncertainty in NO_2 SCD related to the fit window and fit method may be as large as 0.3×10^{15} molec cm^{-2} .

The combination of improvements to the OMNO2A spectral fit lead to an overall reduction of the NO_2 SCD by about 1.2×10^{15} molec cm^{-2} , and a reduction of the NO_2 SCD error by 0.2 – 0.3×10^{15} molec cm^{-2} and of the RMS by 24–35%. The reduction of the SCD appears to be to a large degree an additive offset, implying that the improvements in OMNO2A will probably affect stratospheric NO_2 most, and smaller effects may be expected on tropospheric NO_2 .

Comparing the updated OMNO2A data with SCIAMACHY data over the Pacific Ocean shows that the discrepancy between the two instruments is reduced from 1.2 to 0.8×10^{15} molec cm^{-2} . The remaining difference can be explained largely from the difference expected due to the diurnal cycle of stratospheric NO_2 , which is higher by about 0.5×10^{15} molec cm^{-2} at 13:40 LT (when OMI measures) than at 09:30 (when SCIAMACHY measures), and the lower bias of SCIAMACHY relative to other instruments.

The updates to the OMNO2A retrieval systems seem, all in all, to be sufficient to remove the bias between the stratospheric NO_2 columns from OMI and those from other satellite and ground-based instruments. A final test of this requires the conversion of the retrieved SCD to the separate stratospheric and tropospheric NO_2 columns. This issue will be discussed in a forthcoming study that describes improvements to the data assimilation system of DOMINO, leading to a new DOMINO v3.0 dataset for the entire OMI period. The settings of the updated OMNO2A processing will be the initial configuration of the NO_2 retrieval for TROPOMI for reasons of consistency (van Geffen et al., 2014).

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Table 1. Main settings of the DOAS retrieval of NO₂ slant column densities of the data versions used in this paper for the three satellite instruments OMI, GOME-2 and SCIAMACHY, as well as the groundbased instrument SOAZ; for the FTIR instrument (Sect. 2.3) a very different retrieval method is used.

	OMI	GOME-2	SCIAMACHY	SAOZ
wavelength range [nm]	405–465	425–450	426.5–451.5	425–495
secondary trace gases	O ₃ , H ₂ O _{vap}	O ₃ , H ₂ O _{vap} , O ₂ –O ₂	O ₃ , H ₂ O _{vap} , O ₂ –O ₂	O ₃ , H ₂ O _{vap} , O ₂ –O ₂
pseudo-absorbers	Ring	Ring	Ring	Ring
degree of polynomial	5	3	2	3
fitting method	non-linear	linear	linear	linear
offset fitted	no	yes	yes	yes
DOAS retrieval code	OMNO2A	QDOAS	QDOAS	QDOAS
retrieval responsible	KNMI	BIRA-IASB	BIRA-IASB	BIRA-IASB
data version used	DOMINO v2.0	TM4NO2A v2.1	TM4NO2A v2.0	–

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Table 2. Average differences in stratospheric NO₂ columns over the Pacific Ocean area (60° S–60° N, 140–180° W) of 2007 of OMI, GOME-2 and SCIAMACHY, where the averages are computed from monthly latitudinally binned data. The relative difference (right column) is given as percentage of the column values of the second instrument in the difference (e.g. w.r.t. SCIA in the difference OMI – SCIA). (Data source: <http://www.temis.nl/>)

instruments	absolute values [$\times 10^{15}$ molec cm ⁻²]	relative difference [%]
OMI – SCIA	+1.28 ± 0.15	+80.1 ± 9.6
OMI – GOME-2	+1.14 ± 0.18	+65.6 ± 10.3
GOME-2 – SCIA	+0.14 ± 0.09	+8.7 ± 5.8

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Table 3. Average differences and corresponding standard deviations between SAOZ and FTIR groundbased measurements of NO₂ at Jungfraujoch (46.5° N, 8.0° E) and satellite based measurements by OMI, GOME-2 and SCIAMACHY, given both for the full data period and for the data period common to the satellite instruments (2007–2012). The relative difference (right column) is given as percentage of the groundbased NO₂ column values.

instruments period	absolute difference [$\times 10^{15}$ molec cm ⁻²]	relative difference [%]
OMI – SAOZ		
2004–2012	+0.43 ± 0.28	+18.3 ± 12.8
2007–2012	+0.48 ± 0.25	+20.9 ± 12.4
GOME-2 – SAOZ		
2007–2012	+0.09 ± 0.21	+5.4 ± 11.2
SCIAMACHY – SAOZ		
2002–2012	-0.12 ± 0.25	-5.2 ± 11.2
2007–2012	-0.02 ± 0.23	-1.3 ± 11.4
OMI – FTIR		
2004–2012	+0.56 ± 0.22	+23.0 ± 11.0
2007–2012	+0.54 ± 0.21	+21.5 ± 9.6
GOME-2 – FTIR		
2007–2012	+0.12 ± 0.17	+6.6 ± 9.1
SCIAMACHY – FTIR		
2002–2012	+0.02 ± 0.20	+0.7 ± 9.1
2007–2012	-0.001 ± 0.20	-0.2 ± 8.9

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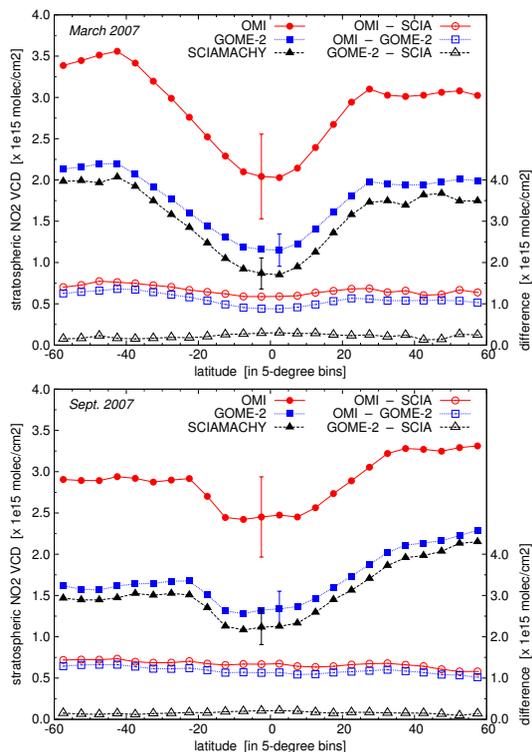


Figure 1. Monthly average stratospheric NO₂ VCD values (left axis; filled symbols) and absolute differences (right axis; open symbols) in 10¹⁵ molec cm⁻² of OMI, GOME-2 and SCIAMACHY in March 2007 (top panel) and September 2007 (bottom panel) over the Pacific Ocean area (60° S–60° N, 140–180° W), as function of latitude. The error bars at the data points near the equator mark for that latitude bin the average of the standard deviation of the total VCD; see the text for details. Note that the VCD differences can also be read from the left axis by multiplying the left axis value by 2. (Data source: <http://www.temis.nl/>)

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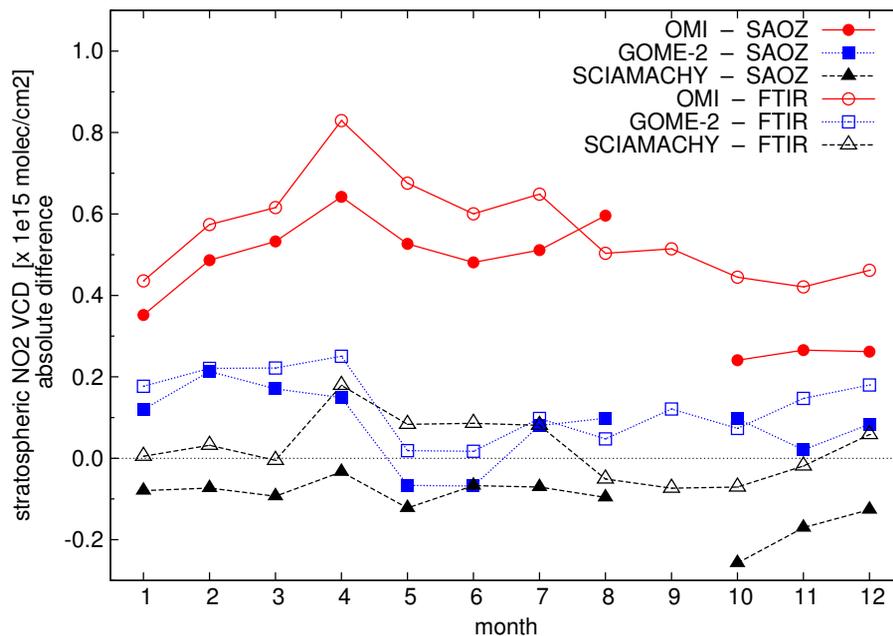
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Figure 2. Monthly average absolute differences between SAOZ (filled symbols) and FTIR (open symbols) groundbased measurements of NO₂ in 10¹⁵ molec cm⁻² at Jungfraujoch (46.5° N, 8.0° E) and satellite based measurements by OMI (2004–2012, red solid lines), GOME-2 (2007–2012, blue dotted lines) and SCIAMACHY (2002–2012, black dashed lines). There is insufficient SAOZ data in September for reliable averages.

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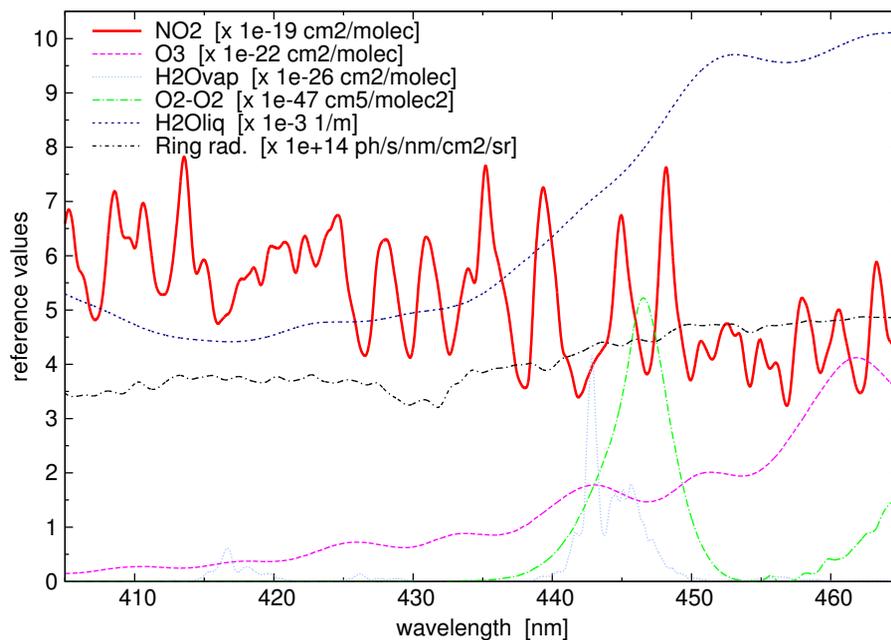


Figure 3. Graph of the absorption spectra of NO_2 , O_3 , $\text{H}_2\text{O}_{\text{vap}}$, $\text{O}_2\text{-O}_2$ and $\text{H}_2\text{O}_{\text{liq}}$, as well as the Ring radiance spectrum (I_{ring}) taken into account in the DOAS fit of the updated OMNO2A processor.

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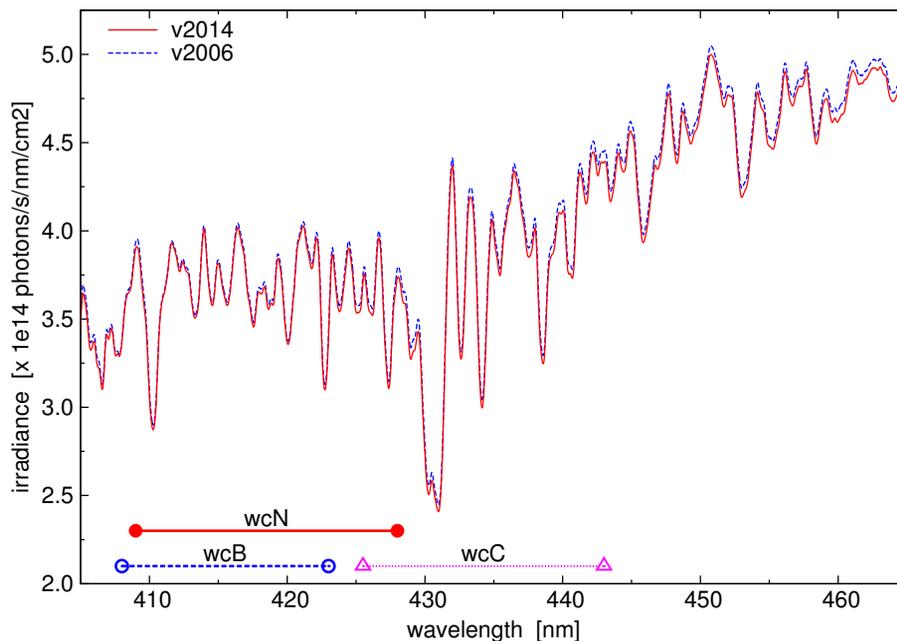


Figure 4. Comparison of updated v2014 (solid red line) and current v2006 (dashed blue line) convolved solar reference spectrum used in the wavelength calibration in OMNO2A. The two horizontal line pieces in the left bottom corner mark the old “wcB” (open circles) and new “wcN” (filled circles) window used for the wavelength calibration (Sect. 4.2); also shown is a test window “wcC” near the centre of the fit window.

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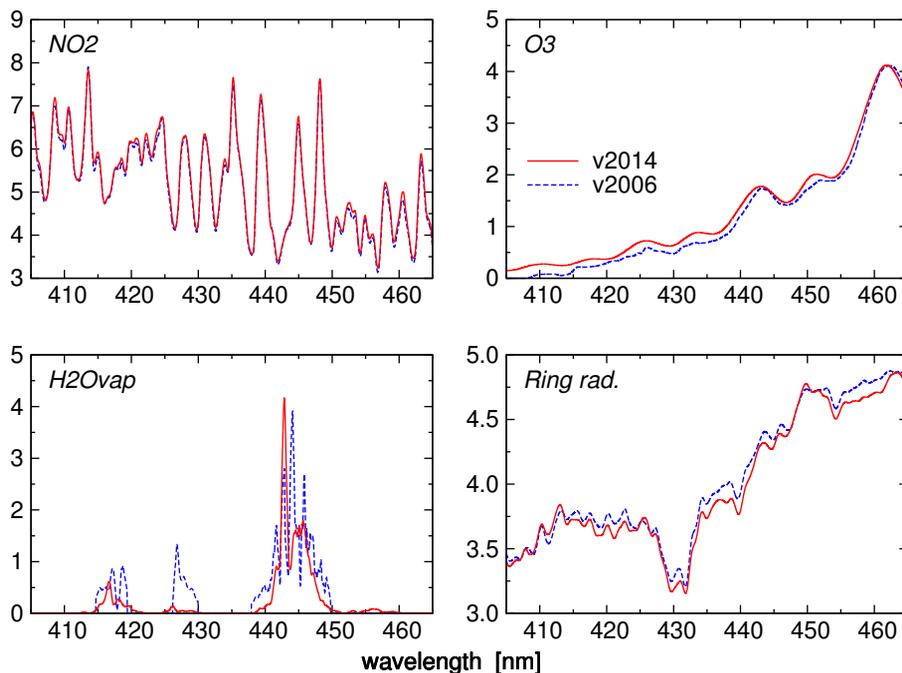


Figure 5. Comparison of updated v2014 (solid red lines; cf. Fig. 3) and current v2006 (dashed blue lines) reference spectra of three trace gases and the Ring radiance spectrum in the OMNO2A processing, as discussed in Sect. 4.1. The units of the quantities are the same as in Fig. 3. Note that absorption by O_2-O_2 and H_2O_{liq} was previously not accounted for in OMNO2A.

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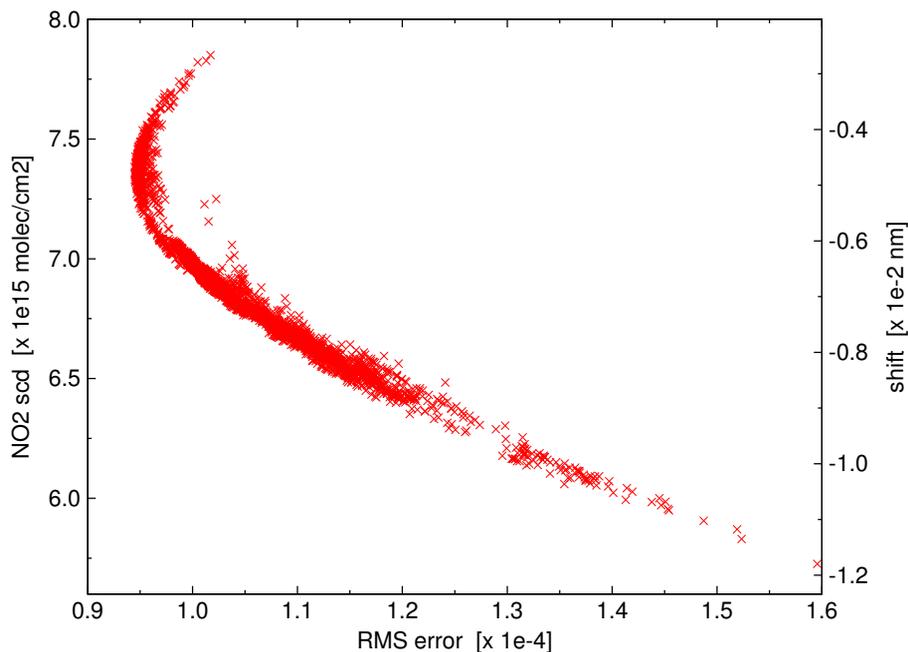
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Figure 6. Relationship between the RMS error and the NO_2 SCD for the 5151 wavelength calibration windows investigated using the Pacific Ocean test orbit. The right axes of the main plot is approximate: it gives the shift of the wavelength calibration constructed from a linear relationship with the NO_2 SCD. See the text for more details.

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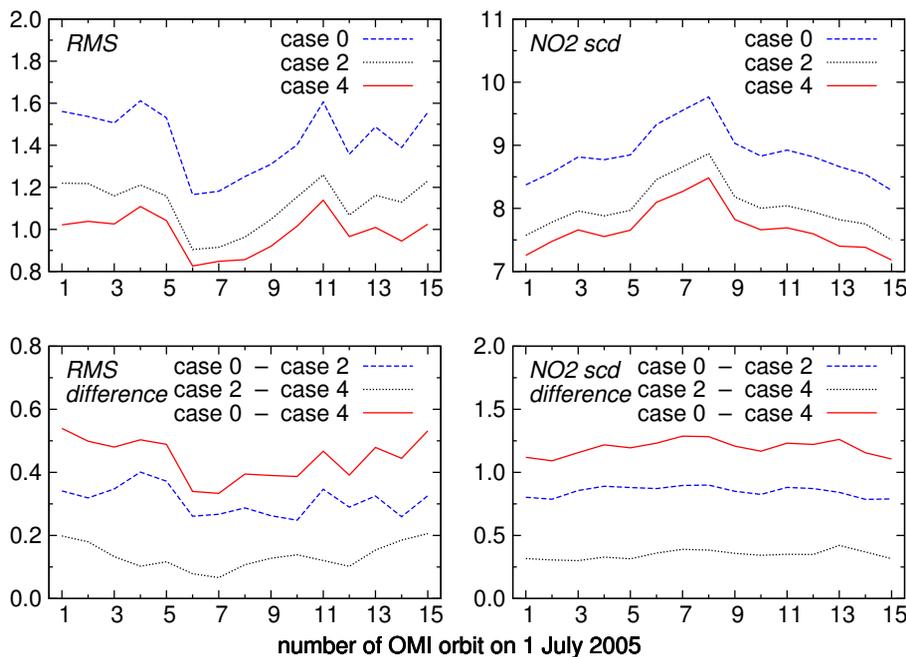


Figure 7. Absolute values (top row) and absolute differences (bottom row) of the orbit average RMS error (left column, $\times 10^{-4}$) and NO₂ SCD (right column, $\times 10^{15}$ molec cm⁻²) as function of the OMI orbit number on 1 July 2005; the Pacific Ocean orbit is number 14. The case numbers refer to the cases listed in Table 5. The difference “case 0 – case 2” (blue line) refers to the updates of the wavelength calibration, “case 2 – case 4” (black line) to the updates of the reference spectra, and “case 0 – case 4” (red line) to all updates put together.

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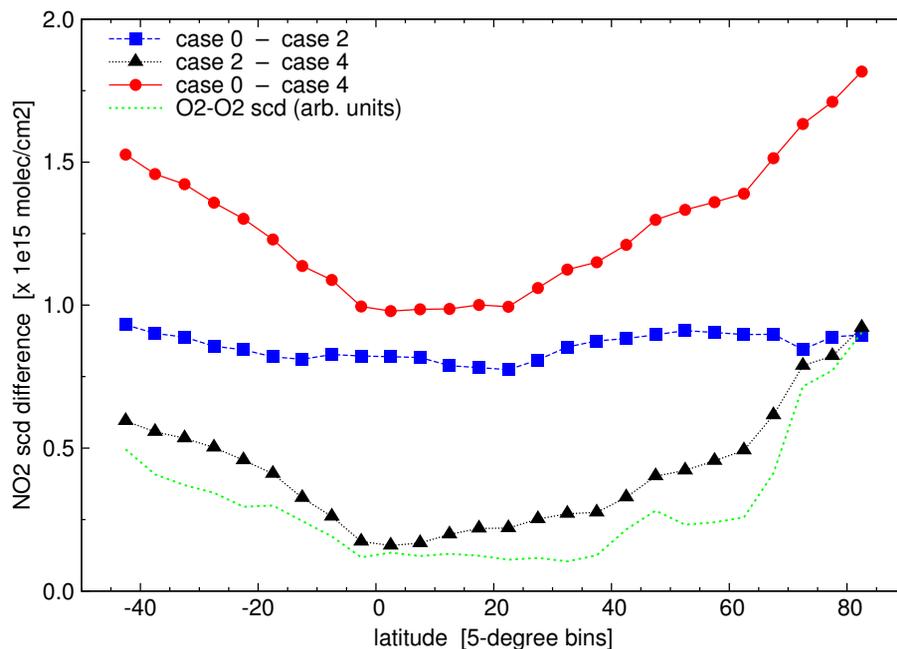


Figure 8. Absolute differences in the NO_2 SCD as function of latitude averaged over all 15 orbits. The case numbers refer to the cases listed in Table 5, similar to the bottom panels of Fig. 7. For comparison, the concentration of $\text{O}_2\text{--O}_2$ as function of latitude is shown in arbitrary units (green short-dashed line).

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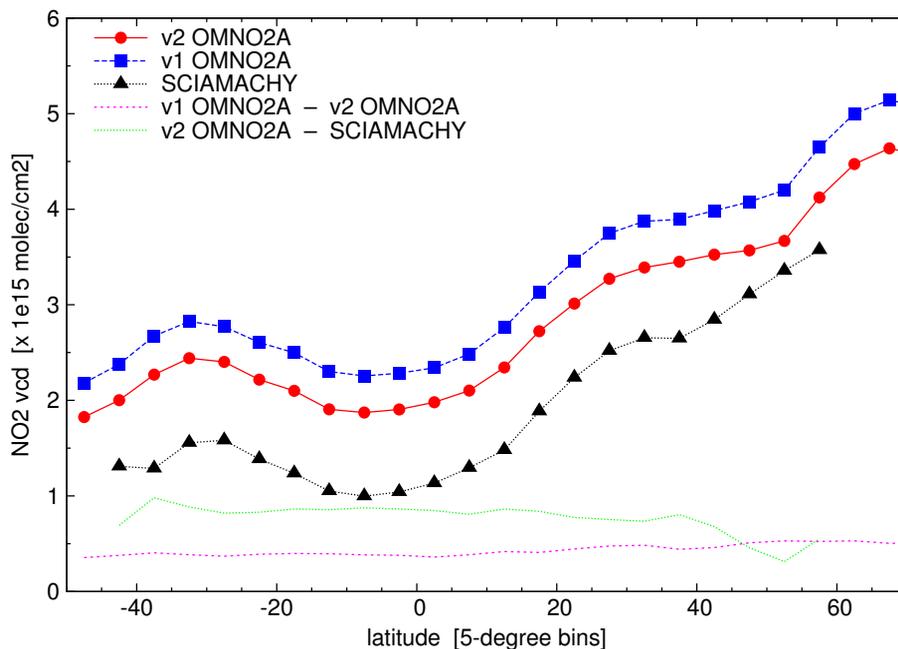
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Figure 9. Comparison of the NO₂ VCD values (lines with symbols) of the new v2 OMNO2A (red circles) and old v1 OMNO2A (blue squares) retrieval for the Pacific Ocean orbit of 1 July 2005, and the average SCIAMACHY data (black triangles) over Pacific Ocean of the same day. The two lines without symbols show differences between the NO₂ VCD values. A numerical comparison between OMI and SCIAMACHY should be limited to latitudes below 45° because for higher latitudes the instrument cover different geographic areas. See the text for further details.

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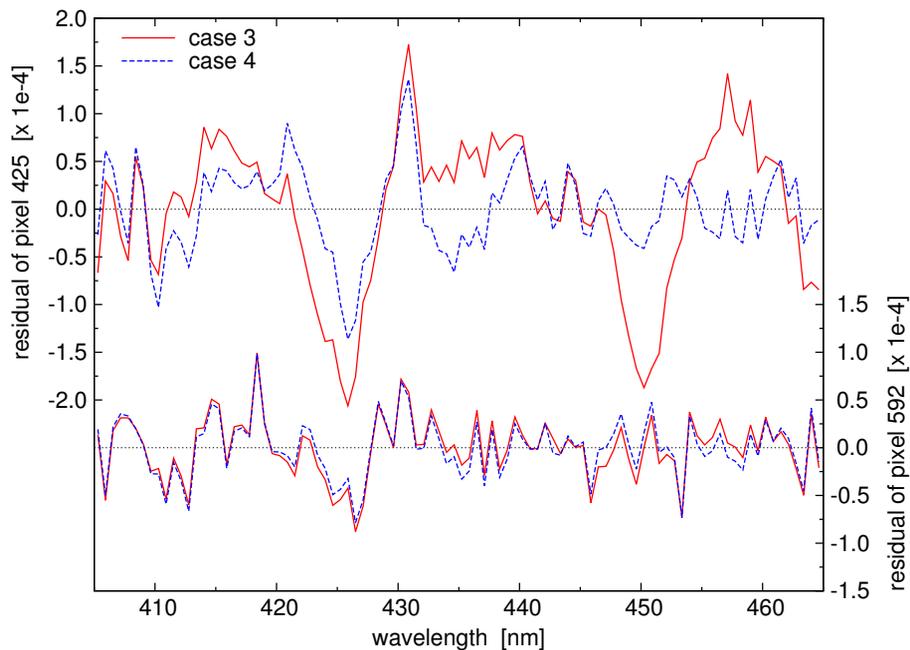


Figure 10. Spectral residual of the NO_2 retrieval fit with the updated reference spectra without (case 3, red solid lines) and with (case 4, blue dashed lines) $\text{O}_2\text{-O}_2$ and $\text{H}_2\text{O}_{\text{liq}}$ absorption included for two detector pixels along row 29 (0-based): pixel 425 (top two curves, left axis) and pixel 592 (bottom two curves, right axis) of the Pacific Ocean test orbit; see the text for details on the pixel location. To clarify the graph, the wavelengths of three detector pixels are averaged, thus mimicing the fact that OMI's spectral resolution is about three times its spectral sampling.

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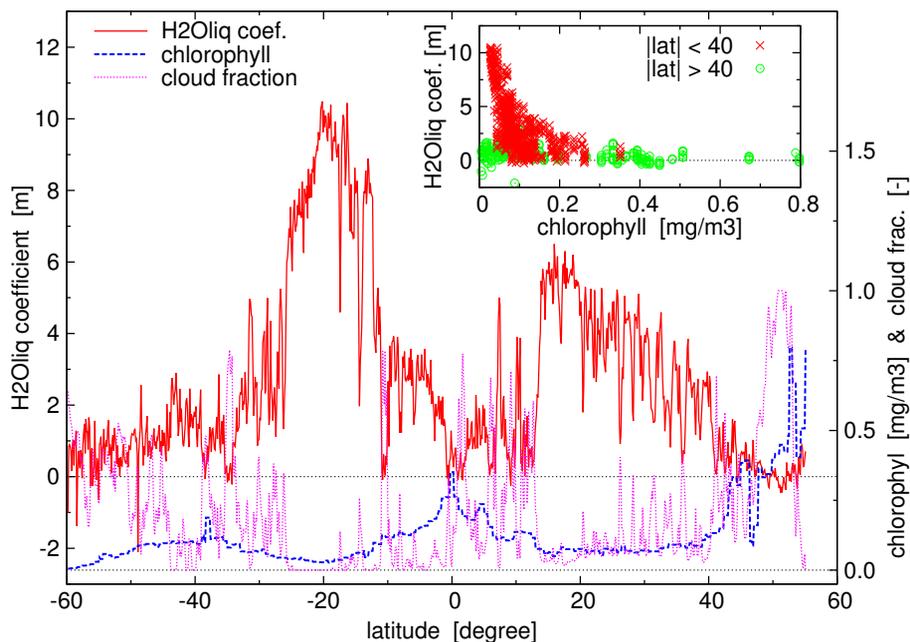
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Figure 11. Retrieved $\text{H}_2\text{O}_{\text{liq}}$ coefficient (in m; red solid line, left axis) as function of latitude for row 29 of the Pacific Ocean test orbit, showing only ground pixels for which chlorophyll concentration data is available. Also shown, with values along the right axis, are the chlorophyll concentration (in mg m^{-3} ; blue dashed line) and the cloud cover fraction (magenta dotted line). The inset shows the $\text{H}_2\text{O}_{\text{liq}}$ coefficient as function of the chlorophyll concentration separately for ground pixels with latitudes between $\pm 40^\circ$ (red crosses) and higher latitudes (green circles).

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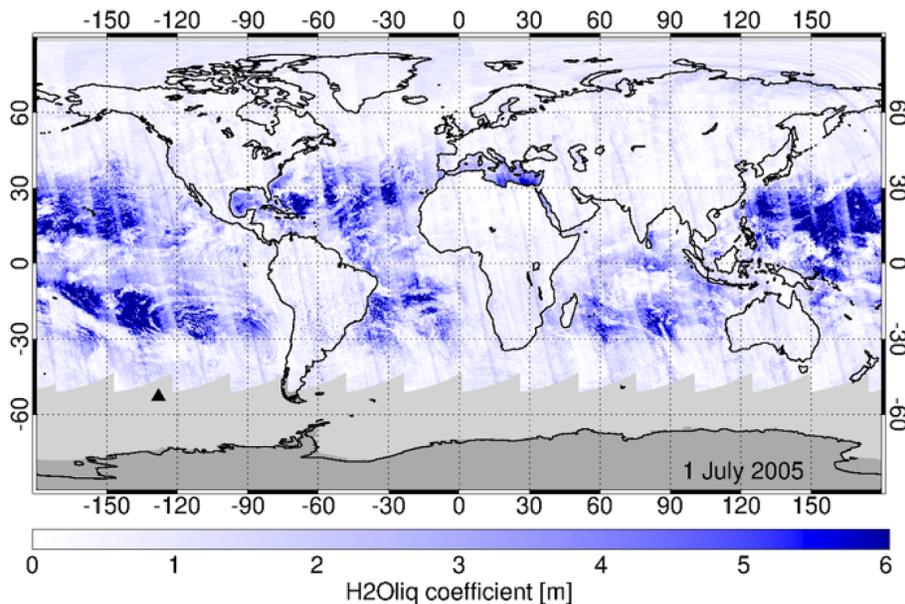


Figure 12. World map of the $\text{H}_2\text{O}_{\text{liq}}$ coefficient (in m) based on all 15 OMI orbits of 1 July 2005; the Pacific Ocean test orbit is marked by a black triangle. All ground pixels with $\theta_0 < 75^\circ$ are plotted; no filtering for cloudy pixels was applied.

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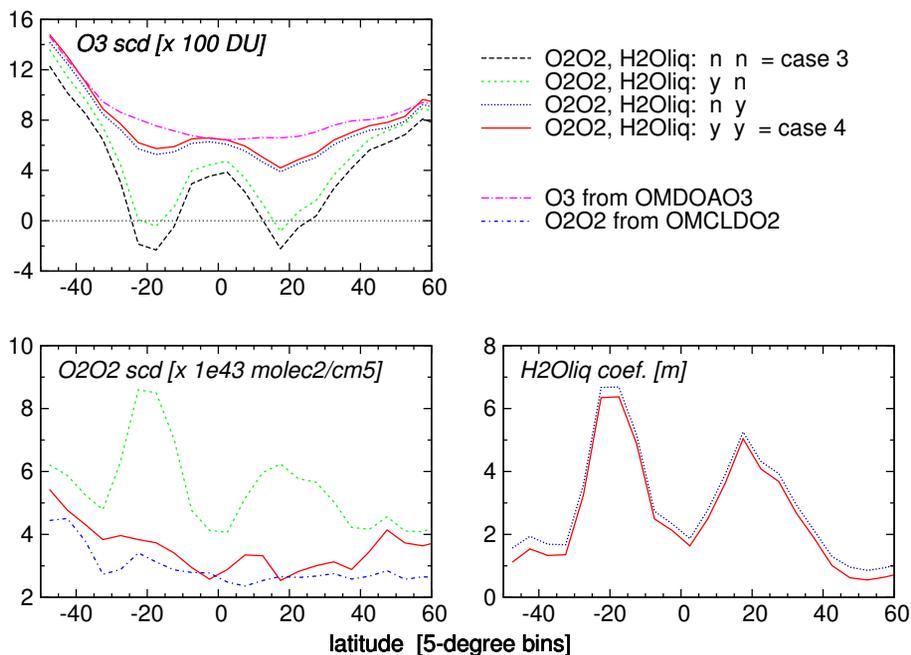


Figure 13. Retrieved values for the O₃ SCD (top-left), the O₂–O₂ SCD (bottom-left) and H₂O_{liq} coefficient (bottom-right) as function of latitude for the Pacific Ocean test orbit for retrievals without and with absorption by O₂–O₂ and H₂O_{liq} included in the fit as specified by the legend in the top-right corner; case numbers 3 (black dashed) and 4 (red solid) refer to the cases listed in Table 5. Also plotted are the O₃ SCD value from the OMI ozone slant column product OMDOAO3 (magenta long-dash-dotted) and the O₂–O₂ SCD value from the OMCLDO2 cloud product (blue short-dash-dotted).

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