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ice nucleating  
particles

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# Characterization and first results of an ice nucleating particle measurement system based on counterflow virtual impactor technique

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## Abstract

A specific instrument combination was developed to achieve a better microphysical and chemical characterization of atmospheric aerosol particles that have the potential to act as ice nucleating particles (INP). For this purpose a pumped counterflow virtual impactor system called IN-PCVI was set up and characterized to separate ice particles that had been activated on INP in the Fast Ice Nucleus Chamber (FINCH) from interstitial, non-activated particles. This coupled setup consisting of FINCH (ice particle activation and counting), IN-PCVI (INP separation and preparation), and further aerosol instrumentation (INP characterization) had been developed for the application in field experiments. The separated INP were characterized on-line with regard to their total number concentration, number size distribution and chemical composition, especially with the Aircraft-based Laser Ablation Aerosol Mass Spectrometer ALABAMA. Moreover, impactor samples for electron microscopy were taken. Due to the coupling the IN-PCVI had to be operated with different flow settings than known from literature, which required a further characterization of its cut-off-behavior. Taking the changed cut-off-behavior into account, the INP number concentration measured by the IN-PCVI system was in good agreement with the one detected by the FINCH optics for water saturation ratios up to 1.01 (ice saturation ratios between 1.21–1.34 and temperatures between  $-18$  and  $-26$  °C). First field results of INP properties are presented which were gained during the INUIT-JFJ/CLACE 2013 campaign at the high altitude research station Jungfrauoch in the Bernese Alps, Switzerland (3580 m a.s.l.).

## 1 Introduction

Ice crystals in clouds influence precipitation and the microphysical and thus the radiative properties of clouds. They play an important role for the cloud lifetime, the interactions of clouds with solar radiation, cloud electricity and cloud dynamics. Mixed-phase clouds consisting of supercooled droplets and ice particles exist at altitudes

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where temperatures warmer than  $-38^{\circ}\text{C}$  occur. In such clouds, ice can only be formed heterogeneously, due to the presence of so-called ice nucleating particles (INP) (Vali et al., 2014; DeMott et al., 2011; Pruppacher and Klett, 1997). Known pathways of heterogeneous ice formation are deposition nucleation and condensation, immersion and contact freezing. Field data and modeling studies indicate for many situations that liquid water droplets are a prerequisite for ice formation (Murray et al., 2012; Westbrook and Illingworth, 2011; de Boer et al., 2011; Ansmann et al., 2009). This suggests an important role of immersion and contact freezing processes in atmospheric ice formation. But also sub-saturated nucleation processes (Sassen and Khvorostyanov, 2008) (e.g. deposition nucleation) were found to be an active freezing mechanism under atmospheric conditions. However, the relative importance of the different pathways is not well known at present.

Many species have been identified to act as INP, e.g. mineral dust (e.g., illite, montmorillonite, kaolinite, feldspar), primary biological particles (PBAP), soot, and glassy organics. In laboratory experiments many of these models substances were investigated and their ice forming efficiencies (nucleation temperatures and rates) have been quantified and parameterized (e.g. Murray et al., 2010; Hoose and Moehler, 2012; Atkinson et al., 2013; Wex et al., 2014). However, the type of ambient aerosol particles acting as INP, the importance of their size and the influence of anthropogenically emitted aerosol particles are not well understood. There is still a lack of field measurements concerning the in-situ physio-chemical characterization of atmospheric INP.

In 2011 the Ice Nuclei Research Unit (INUIT) was established with the objective to achieve a more detailed understanding of heterogeneous ice forming processes. The central objective of INUIT is to obtain a better knowledge of ambient aerosol particles serving as INP. Therefore, an ice nucleus counter was connected by means of the counterflow virtual impactor (CVI) technique to online mass spectrometry, and other aerosol measurement techniques, similar to the coupling that had been originally presented by Cziczo et al. (2003) and some years later by Corbin et al. (2012). Corbin et al. (2012) presented measurements from a field study in downtown Toronto (SPORT

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2011), where they sampled 196 particles that nucleated ice in a continuous flow diffusion chamber (CFDC) by a pumped CVI (PCVI), and analyzed them with the single particle mass spectrometer ATOFMS. Besides dust, also biomass burning and elemental carbon were identified in these particles. However, statistical limitations hampered the data interpretation. In a similar experimental approach a PCVI was combined

The main components of the present study are the INP counter FINCH (Fast Ice Nucleus Chamber; Bundke et al., 2008, 2010), the IN-PCVI (a pumped CVI (BMI, Model 8100, 2011) operated at new flow settings), and the single particle mass spectrometer ALABAMA (Aircraft-based Laser Ablation Aerosol Mass Spectrometer; Brands et al., 2011). This combination of INP activation, ice particle selection, and INP characterization was first established and technically improved under laboratory conditions. Afterwards the combination was deployed for atmospheric measurements at the Jungfraujoch (JFJ) research station to sample and characterize ambient ice nucleating particles at cloud level.

## 2 Experimental setup – methods

A schematic of the measurement setup which was realized and operated within INUIT (research project RP 2) is shown in Fig. 1. The IN-PCVI (Sect. 2.1) plays the central role as the ice selecting interface between FINCH (Sect. 2.2) and the aerosol instrumentation consisting of ALABAMA (Sect. 2.3), an impactor for offline scanning electron microscopy (SEM, 2.5), a Condensation Particle Counter (CPC, Sect. 2.4) and an Aerosol Particle Sizer (APS, Sect. 2.4). The CPC, APS, and the IN-PCVI constitute the IN-PCVI system.





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6.5  $\mu\text{m}$ . The behavior of the  $D_{p50\%}$  was also studied for different input and sample flows. The resulting  $D_{p50\%}$  for all flow variations were in a range between 5.2 and 8.4  $\mu\text{m}$  (Fig. 5). It is evident that a change of the  $D_{p50\%}$  is most sensitiv to a change of  $F_{IF}$  compared to a change of  $F_{SF}$  or  $F_{CF}$  (slopes of regressions for the chosen flow regime are shown in Fig. 5a–c). In Fig. 5a,  $D_{p50\%}$  results determined by Kulkarni et al. (2011) are displayed for comparison. Since  $F_{IF}$  in our experiments is lower ( $F_{IF} = 5 \text{ L min}^{-1} < F_{IF(\text{Kulkarni})} = 6.7 \text{ L min}^{-1}$ ), the absolute  $D_{p50\%}$  values are higher. Nevertheless, the same relative change in  $D_{p50\%}$  (same slope) is found for the two  $F_{IF}$  settings.

The cut-off diameters for the new flow parameters (Table 1) represent an extended characterization of the commercial PCVI from Brechtel Inc., clearly showing that this device is working properly in the flow regime required for the operation of the IN-PCVI during the INUIT-JFJ/CLACE 2013 field campaign.

## 2.2 Fast Ice Nucleus Chamber (FINCH)

FINCH was developed and built at the Goethe University of Frankfurt. It is an in situ counter for ice nucleating particles, which is operated by mixing air flows with different humidity and temperature to achieve supersaturation (with respect to water ( $S_{\text{wat}_F}$ ) and with respect to ice ( $S_{\text{ice}_F}$ )) (Bundke et al., 2008). The main component of the device is a temperature-controlled, stainless steel growth chamber with a length of 0.8 m that can be cooled down to  $-65^\circ\text{C}$ . Sampled aerosol particles are activated and grow to macroscopic ice particles or supercooled droplets depending on the temperature ( $T_F$ ) and supersaturation ( $S_{\text{ice}_F}$ ) inside the chamber.

Depending on the mixed air flow rate the aerosol particles typically need  $\sim 10$  s to pass the chamber. The (grown) particles are counted in an optical particle counter mounted directly below the chamber (BIO-IN-OPC; Bundke et al., 2010). Based on scattering properties a distinction between water droplets and ice particles is possible (P44/P11 ratio of the scattering matrix; Hu et al., 2003). The current setup of the





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to the following one. As a main result of these tests, the FINCH closed loop had to be switched off and the FINCH aerosol flow had to be directly controlled by the IN-PCVI pump flow. This led to stable pressure conditions inside the coupled FINCH + IN-PCVI flow system. Additionally, it was found that changes in the IN-PCVI – FINCH flows caused fluctuations in the supersaturation of FINCH, which sometimes resulted in a frozen inlet and thus blocking of the growth chamber. Therefore, the FINCH – IN-PCVI flows were kept constant during single measurement runs.

### 2.7 Atmospheric measurements at the high Alpine research station Jungfraujoch

The combination of INP activation and detection (FINCH), separation/preparation (IN-PCVI), and characterization (aerosol instrumentation) was deployed in January/February 2013 during the INUIT-JFJ/CLACE-2013 joint measurement field campaign (Schneider et al., 2014) at the Sphinx Laboratory of the high Alpine research station Jungfraujoch (JFJ, Bernese Alps, Switzerland, 3580 m a.s.l.). The combination of FINCH, IN-PCVI, and aerosol instruments was deployed at this site to sample aerosol particles inside mixed-phase or, sometimes, even entirely glaciated clouds, where supercooled drops and small ice particles were evaporated during collection by heated total aerosol inlet (operated by Paul Scherrer Institute, Villigen; Weingartner et al., 1999). Also ambient background aerosol particles, which are present during cloud free time periods, are sampled at these altitude that are realistic for the mixed-phase cloud formation at mid latitudes.

### 3 Results

#### 3.1 Proof of principle for the FINCH + IN-PCVI coupling

The main focus of this work was to verify the feasibility of the FINCH + IN-PCVI coupling for the physical and chemical characterization of atmospheric INP by different aerosol sensors attached to the IN-PCVI.

A stability criterion of the adjusted ice saturation ratio inside FINCH needed to be specified to ensure the data analysis under constant measurement conditions. Fluctuations in the FINCH saturation ratio may lead to different conditions under which freezing occurs and thus to differences in the final sizes to which the ice particles grow. Therefore, only measurement periods in which the ice saturation ratio varied by less than 1 % (relatively) within 300 s were used for further analysis. This criterion is somewhat arbitrary, but appeared to effectively eliminate the poorly defined activation periods while leaving sufficient amount of data points for the proof-of-principle study.

During the INUIT-JFJ/CLACE-2013 campaign the complete coupled system of FINCH, IN-PCVI and aerosol instruments was connected to the heated total aerosol inlet sampling line to investigate the ice activation ability of ambient aerosol particles and during cloud periods also of cloud ice particle residuals and cloud droplet residuals. For this purpose FINCH was operated in the temperature range between  $-18$  and  $-26$  °C and at ice saturation ratios between 1.05 and 1.5. Figure 6 shows the  $T_F - S_{ice,F}$  pairs (10 min averages) from the campaign that remain after applying the stability criterion. Moreover, the corresponding lines of the calculated water saturation ratio (0.90 to 1.25 in 0.05 steps) are indicated. The majority of the 10 min periods used in the following discussion are located in the water supersaturated region ( $S_{wat,F} > 1.0$ ) when immersion freezing is the dominant heterogeneous ice nucleation mechanism inside FINCH. Only a few data points are below  $S_{wat,F} = 1.0$  when ice activation in FINCH is limited to deposition nucleation and immersion freezing in highly concentrated solution droplets (Wex et al., 2014) only. Figure 7 shows a log-log scatterplot of the number concentration of ice nucleating particles measured by the FINCH optics ( $N_{INP,FINCH}$ ) and

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measured by the IN-PCVI CPC ( $N_{\text{INP}_{\text{IN-PCVI}}}$ , same 10 min averages). The data points are subdivided into 3 classes with regard to the prevailing FINCH water saturation ratio:

$S_{\text{wat}_F} < 1.0$  (squares),  $1.0 < S_{\text{wat}_F} < 1.1$  (circles) and  $1.1 < S_{\text{wat}_F} < 1.2$  (crosses).

5 The 1 : 1 line indicated in Fig. 7 shows that there exists a very close correlation between  $N_{\text{INP}_{\text{FINCH}}}$  and  $N_{\text{INP}_{\text{IN-PCVI}}}$  for  $S_{\text{wat}_F} < 1.0$  (squares), which implies that the residue of each ice particle grown in FINCH is transferred with high efficiency through the IN-PCVI and all other particles exiting FINCH (supercooled droplets, unactivated particles) are diverted into the  $F_{\text{PF}}$  of the IN-PCVI. With increasing water saturation ratio, however,  
10  $N_{\text{INP}_{\text{IN-PCVI}}}$  concentration exceeds  $N_{\text{INP}_{\text{FINCH}}}$ . Since it is not likely that more ice nucleating particles exist after the IN-PCVI than there were ice particles counted after FINCH, the most probable explanation is that some liquid supercooled droplets that are formed in FINCH reach the same size as the ice particles at higher saturation. Thus, they cannot be pre-segregated by the IN-PCVI and become erroneously counted and sampled  
15 as INP. In order to estimate the magnitude of this effect, power regressions (with an exponent equal 1) were calculated for each class and plotted in Fig. 7. These indicate contribution of large droplet residuals 0, 45, and 63% for the intended INP sampling with respect to the three  $S_{\text{wat}_F}$  classes.

20 Table 2 gives a more detailed verification of the droplet contamination effect. Again power regressions are derived from the  $N_{\text{INP}_{\text{FINCH}}}$  to  $N_{\text{INP}_{\text{IN-PCVI}}}$  relationship but now separately for a  $S_{\text{wat}_F}$  increment of 0.01. The desired regression close to 1 is only achieved for  $S_{\text{wat}_F} \leq 1.01$ , which denotes an ice saturation between 1.21 and 1.3 for the adjusted temperatures. However, the uncertainty of the regression slope includes 1 still for a  $S_{\text{wat}_F} \leq 1.06$  ( $1.21 < S_{\text{ice}_F} < 1.34$ ). Accepting a ratio of one drop residual out of  
25 three INP<sub>IN-PCVI</sub> would allow to take into account all measurements up to  $S_{\text{wat}_F} \leq 1.08$  ( $1.21 < S_{\text{ice}_F} < 1.34$ ). For all INP results obtained by the coupled system these limitations and uncertainties have to be taken into account.

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study. However, it turned out to be the most ambitious goal due to the limited measurement time at low and constant water saturation conditions in FINCH, and due to an insufficient particle detection efficiency of ALABAMA. Only seven single particle mass spectra of INP were recorded with ALABAMA during the INUIT-JFJ/CLACE-2013 campaign, which makes a statistical or cloud event differentiated analysis impossible. The few ALABAMA INP mass spectra show mainly organic material but also other elements as Li, Na, Al, Fe, and K, that might be related to biological particles, biomass burning, or mineral dust particles coated with organic matter as the main INP components (see example in Fig. 10). These compositions are similar to those detected by ALABAMA in ice particle residuals during the same project (Schmidt et al., 2014).

Furthermore, impactor samples were taken downstream of the IN-PCVI and analyzed offline by electron microscopy. A detailed discussion of the SEM results is presented by Worringer et al. (2014).

## 4 Summary and conclusions

The combination of an ice nucleus counter (FINCH), a counterflow virtual impactor (IN-PCVI), and different instruments for physical and chemical aerosol particle characterization was set up, tested, characterized, and deployed for the first time to investigate the properties of ice nucleating particles under free tropospheric conditions. This included operation of a single particle mass spectrometer, ALABAMA, for chemical INP analysis.

The cut-off behavior of the IN-PCVI was successfully determined for the specific flow settings required for the instrument combination. Feasibility and functionality of the instrument combination were proven in the framework of the INUIT-JFJ/CLACE 2013 field measurements. It was found that an important prerequisite for a successful coupling of FINCH and the IN-PCVI are stable ice saturation ratios inside the IN counter. A relative change of less than 1 % in  $S_{iceF}$  within 300 s was therefore chosen as a criterion for the data analysis.  $INP_{FINCH}$  and  $INP_{IN-PCVI}$  number concentration measurements

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showed an excellent agreement for water saturation ratios  $\leq 1.01$  ( $1.21 < S_{\text{iceF}} < 1.3$ ). This implies that ice particles nucleated in FINCH are efficiently separated by the IN-PCVI from the interstitial particles and supercooled droplets that are also present at the FINCH outlet, and that consequently only INP are selected by the IN-PCVI for further analysis. At higher water saturation ratios higher INP concentrations were measured with the IN-PCVI CPC than with the FINCH optics, which may be attributed to supercooled drops growing into the same size range as the ice particles and can therefore not be rejected by the counterflow. This effect is estimated to result in an overestimation of INP concentrations by about 25 % for FINCH water saturation ratios up to 1.08 ( $1.21 < S_{\text{iceF}} < 1.34$ ).

Ambient INP properties were inferred from the measurements carried out during the INUIT-JFJ/CLACE 2013 field campaign. Restricting the considered measurements to water saturation ratios  $\leq 1.0$ , i.e., considering deposition nucleation and/or immersion freezing of highly concentrated solutions, INP concentrations of up to  $40 \text{ L}^{-1}$  were measured ( $S_{\text{iceF}}$  between 1.0 and 1.3, temperatures between  $-15$  and  $-28$  °C) over the campaign duration. In a 4 h case study 87 % of the INP were found to be smaller than  $0.55 \mu\text{m}$ . From the shape of the frequency distribution of INP diameters it can be concluded that the ice nucleating efficiency increases with particle size.

The combination of FINCH + IN-PCVI + ALABAMA resulted in 7 mass spectra of INP, which cannot be statistically evaluated, but represent a successful proof-of-concept for the system. A clear need to improve the counting efficiency of the FINCH + IN-PCVI + ALABAMA combination has to be stated when deploying the system in environments with low INP concentrations. However, for laboratory applications and use in environments with high INP concentrations, the FINCH + IN-PCVI + ALABAMA combination can already be applied in the current development stage.

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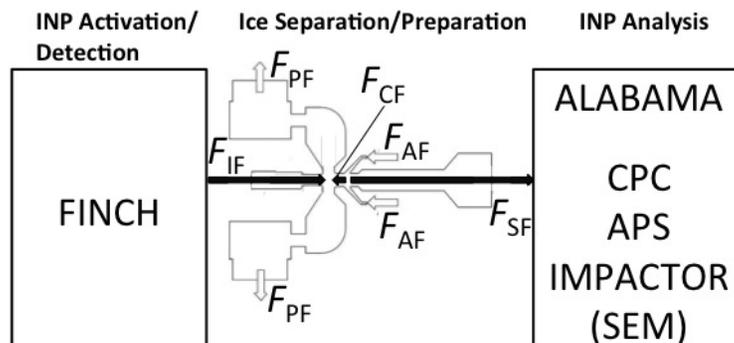
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**Figure 1.** Schematics of the coupled FINCH – IN-PCVI – analysis setup. FINCH activates the INP and counts the grown ice crystals. The IN-PCVI separates these ice particles from non-activated aerosol particles and smaller supercooled droplets, which are also formed inside FINCH. The released INP are transferred to the aerosol sensors for chemical and physical analysis. Flows inside the IN-PCVI are illustrated by arrows and described in the text.

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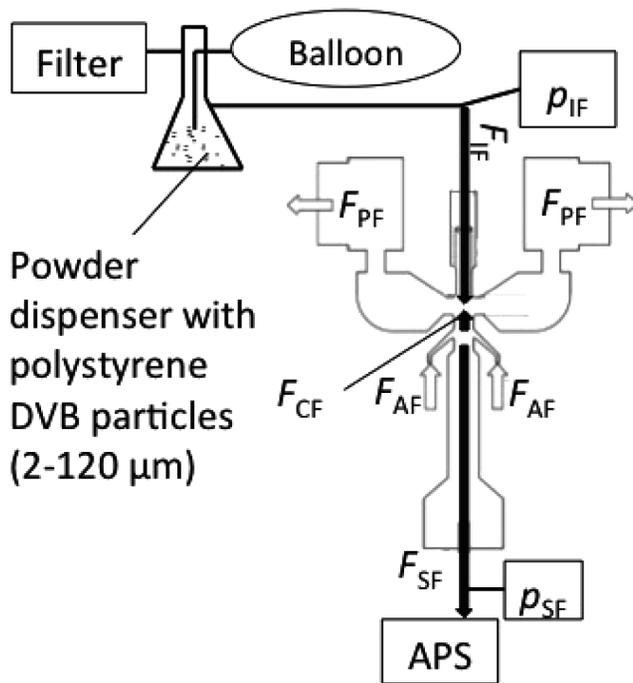
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**Figure 2.** Sketch of the measurement setup for the IN-PCVI  $D_{p50\%}$  characterization. A pump bottle is used to disperse polystyrene DVP particles (2–120  $\mu\text{m}$ ). The APS of the IN-PCVI system connected downstream of the IN-PCVI measured the reference size distribution ( $F_{CF}$  switched off) and the size distribution when the counterflow was switched on. The pressure is measured up- and downstream the IN-PCVI to ensure stable flow conditions ( $\rho_{IF}$  and  $\rho_{SF}$ ).

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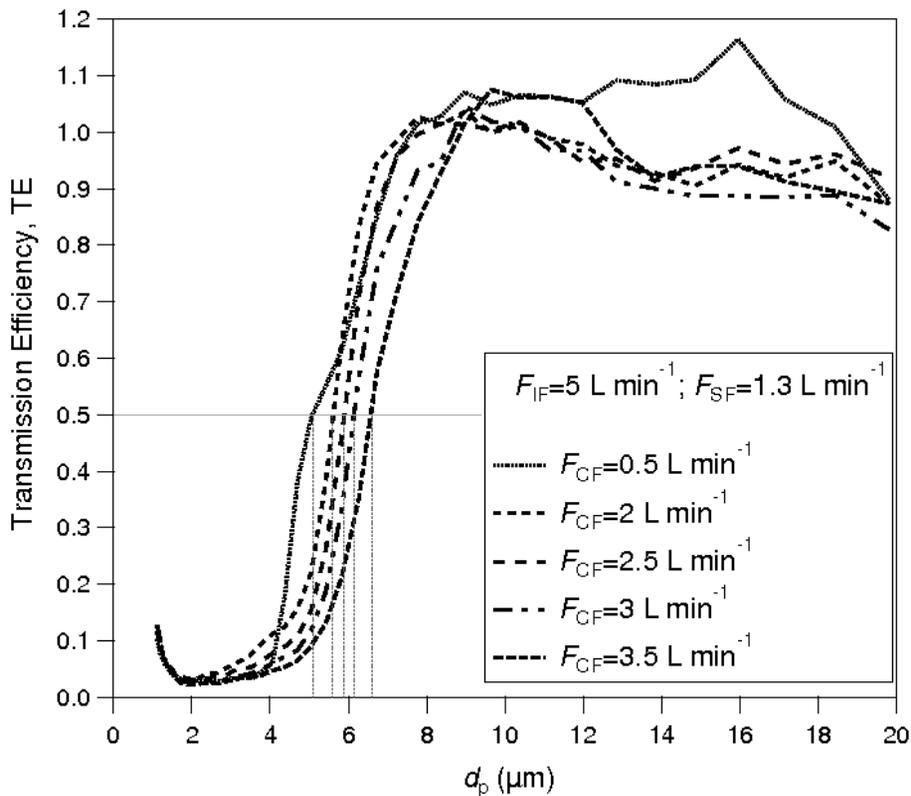
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**Figure 4.** Transmission efficiency of the IN-PCVI derived from the number size distributions shown in Fig. 3. Vertical lines indicate the variation of  $D_{p50\%}$  as a function of the counterflow.

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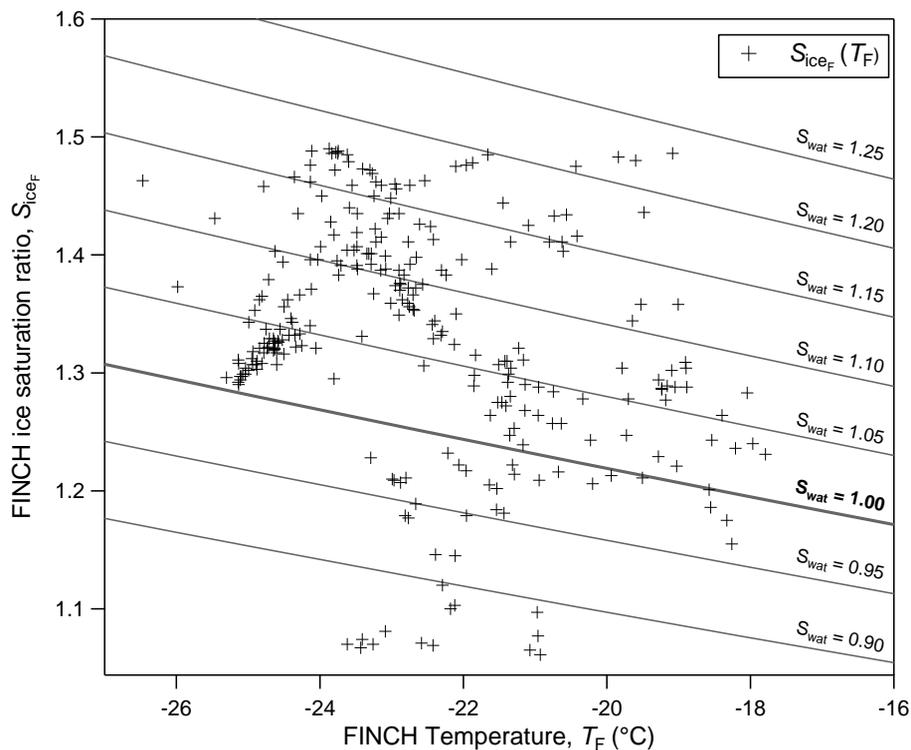
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**Figure 6.** Ice activation conditions in FINCH cover a range of combinations of temperatures ( $T_F$ ) and ice saturation ratios ( $S_{iceF}$ ). The majority of measurements was conducted at conditions supersaturated with respect to water (lines of constant  $S_{wat_F}$  are plotted in grey (0.05 steps)).

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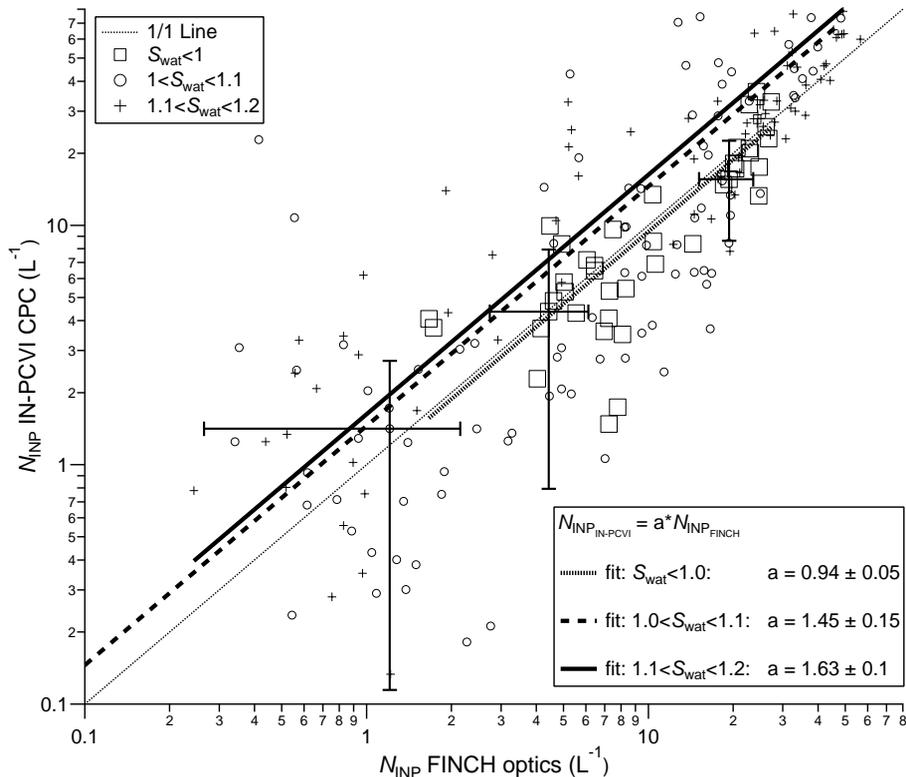
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**Figure 7.** Scatter plot of the INP 10 min averaged number concentrations measured by the FINCH optics and the CPC of the IN-PCVI system, respectively. The concentrations are subdivided into three different water saturation ranges:  $S_{\text{watF}} < 1.0$  (squares),  $1.0 < S_{\text{watF}} < 1.1$  (circles) and  $1.1 < S_{\text{watF}} < 1.2$  (crosses). The power regression curves (exponent equal 1) for these three classes are plotted as lines:  $S_{\text{watF}} < 1.0$  (dotted line),  $1.0 < S_{\text{watF}} < 1.1$  (dashed line) and  $1.1 < S_{\text{watF}} < 1.2$  (solid line). For every number concentration range an example of the standard deviation is indicated by error bars.

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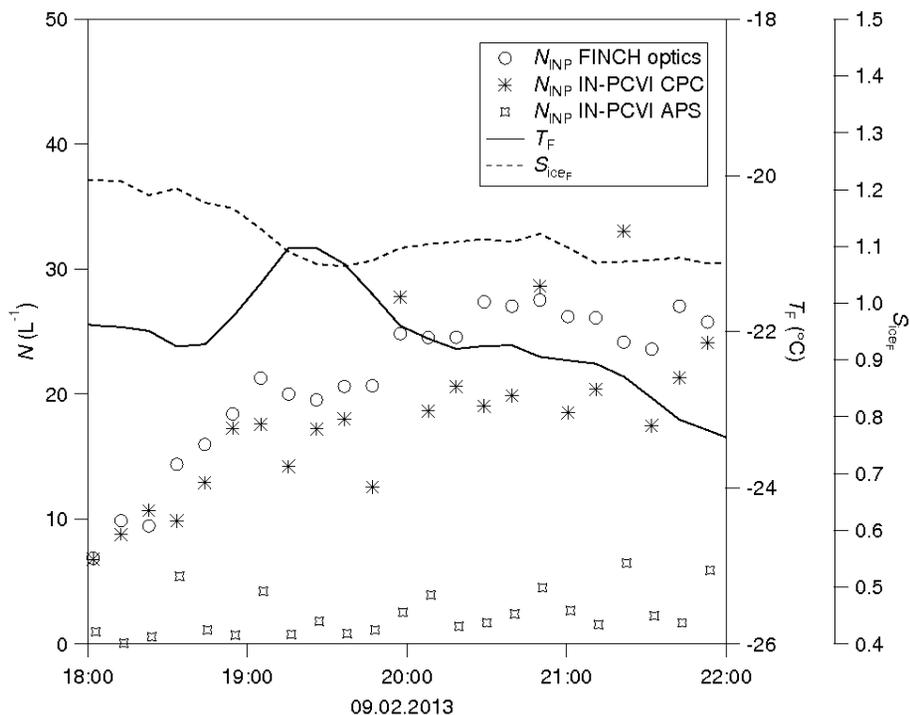
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**Figure 8.** Time series of the INP number concentration (10 min averages) measured by the FINCH optics and by the IN-PCVI system (CPC and APS). The FINCH thermodynamic conditions are plotted as solid (temperature) and dashed (ice saturation) lines on the axes on the right hand side.

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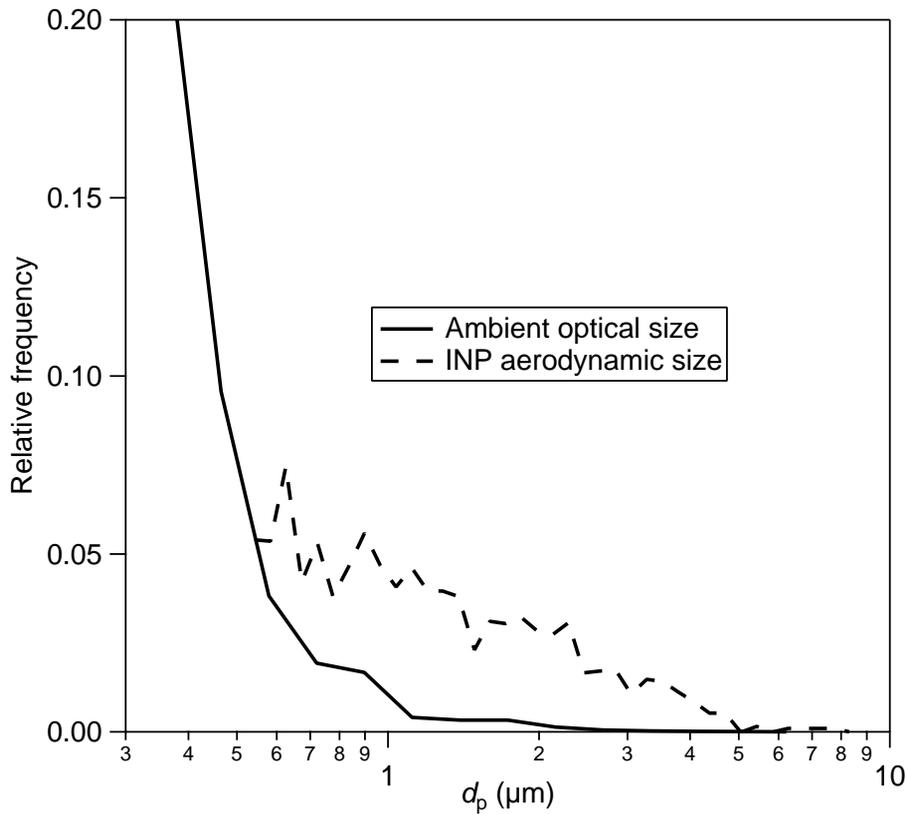
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**Figure 9.** Relative frequency of the FINCH-INP sizes measured with the APS over the whole campaign period (dashed line) in comparison to the relative frequency of ambient aerosol particle sizes measured with an OPS (solid line).

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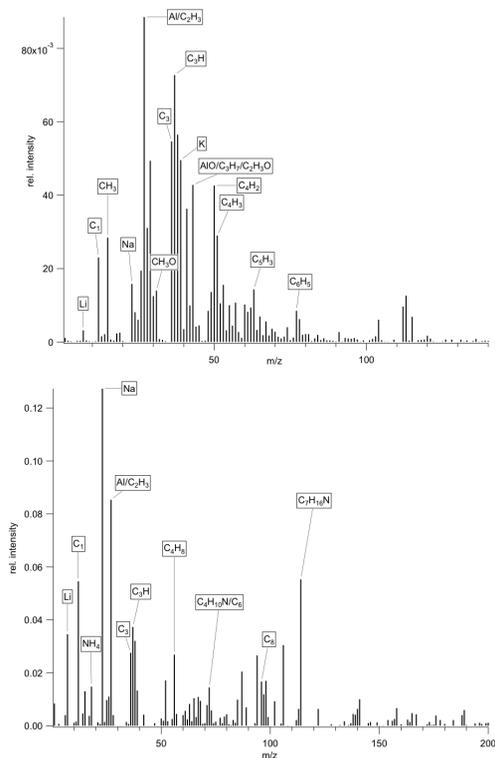
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**Figure 10.** Examples for INP mass spectra measured online with the single particle mass spectrometer ALABAMA behind the FINCH + IN-PCVI. Both particle spectra are dominated by organic ions, but elements like Li and Al might also indicate that these particles may be mineral dust coated with organic matter.

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