



Satellite observations
of PAN from the Aura
Tropospheric
Emission
Spectrometer

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Satellite observations of peroxyacetyl nitrate from the Aura Tropospheric Emission Spectrometer

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Abstract

We present a description of the algorithm used to retrieve peroxyacetyl nitrate (PAN) concentrations from the Aura Tropospheric Emission Spectrometer (TES). We describe the spectral microwindows, error analysis and the utilization of a priori and initial guess information provided by the GEOS-Chem global chemical transport model. The TES PAN retrievals contain up to one degree of freedom for signal. Estimated single-measurement uncertainties are 30 to 50 %. The detection limit for a single TES measurement is dependent on the atmospheric and surface conditions as well as on the instrument noise. For observations where the cloud optical depth is less than 0.5, we find that the TES detection limit for PAN is in the region of 200 to 300 pptv. We show that PAN retrievals over the Northern Hemisphere Pacific in springtime show spatial features that are qualitatively consistent with the expected distribution of PAN in outflow of Asian pollution.

1 Introduction

Peroxyacetyl Nitrate (PAN) is a thermally unstable reservoir for active nitrogen (NO_x) that allows NO_x to be transported over large distances, enabling efficient ozone formation far downwind from the original source (Singh and Hanst, 1981; Hudman et al., 2004; Fischer et al., 2010; Singh, 1987). The dissociative lifetime of PAN is strongly dependent on air mass temperature, being longer than one month at temperatures characteristic of the mid-troposphere, but only of the order of one hour at 20°C . The stability of PAN at mid-tropospheric temperatures allows the compound to be transported on a hemispheric scale. PAN therefore plays a fundamental role in the long-range transport of NO_x and in the global O_3 budget.

Existing aircraft and surface observations show that PAN is present in the atmosphere at mixing ratios ranging from a few pptv in the remote marine atmosphere to several ppbv in polluted urban environments and biomass burning plumes (e.g. Singh

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and Salas, 1986; Ridley et al., 1990; Singh et al., 1990, 1998; Fischer et al., 2010). However, aircraft and surface measurements of PAN have primarily been campaign-based and therefore limited in spatial and temporal coverage (Fischer et al., 2014 and references therein). The existing suite of in situ observations provide only snapshots of the global atmospheric PAN distribution. Given the very limited set of long-term measurements, there are gaps in our knowledge of the distribution, seasonal cycle and interannual variability of PAN and the processes driving these features.

Satellite observations provide opportunities to collect information on a global scale, over multi-year timescales. PAN is readily detectable via satellite remote sensing in the thermal infrared region. PAN has previously been retrieved in the upper troposphere and lower stratosphere from limb observations on a global scale from the Envisat Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) (Moore and Remedios, 2010; Wiegele et al., 2012) and from the Canadian Atmospheric Chemistry Experiment Fourier Transform Spectrometer (ACE-FTS) (Tereszchuk et al., 2013). PAN signatures have also been observed in nadir observations of smoke plumes from fires by both the Aura Tropospheric Emission Spectrometer (TES) (Alvarado et al., 2011) and MetOp-A Infrared Atmospheric Sounding Instrument (IASI) (Clarisse et al., 2011), but to our knowledge, PAN has not previously been retrieved in the nadir view on a global scale.

Building on the detection of PAN in TES radiances described by Alvarado et al. (2011), we have developed a PAN retrieval product for TES. Here we describe the algorithm and the product. In Sect. 2, we provide background on the TES instrument, including information on temporal and spatial sampling. In Sect. 3, we describe the TES PAN retrieval and in Sect. 4, show initial results for the northern Pacific in April 2008.

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2 TES measurements

The Aura TES instrument, a nadir-viewing Fourier transform spectrometer measuring thermal-infrared radiances with extremely high spectral resolution (0.06 cm^{-1}), provides information on a large number of different trace gases, including PAN.

The TES instrument uses a 16 element detector array. The total surface footprint is 8 by 5 km at nadir (0.5 by 5 km footprint for each detector). The nominal mode of the instrument is “global survey” mode, where TES makes periodic measurements every ~ 200 km along the satellite track. Other measurement modes are step-and-stare mode and transect mode. In step and stare mode, nadir measurements are made every 40 km along the track for some specified latitude range. In transect mode, a series of 40 consecutive scans spaced 12 km apart is performed, providing relatively dense coverage over a limited area.

Figure 1 shows a simulated TES spectrum, alongside the the PAN signature (difference between simulations with and without PAN) for a PAN profile with a peak value of 0.4 ppbv. The same magnitude of PAN signal in TES measurements can result from different PAN profiles. In fact, Fig. 1b shows the simulated signal for two example PAN profiles (shown in Fig. 2), for the case where all aspects of the atmospheric and surface state, other than PAN, were kept constant, but the results from the two different profiles are not distinguishable by eye. Figure 1 also shows the optical depth contributions from PAN and other molecules with signatures in this spectral region. It can be seen that there is strong interference in this region from water vapor (H_2O), deuterated water vapor (HDO), ozone (O_3), nitrous oxide (N_2O) and CFC-12. Additional interfering species in this region (not shown in Fig. 1) include HFC-23, HCFC-141b, CFC-113, HFC-134a, HCFC-22, CFC-114, CFC-115, HFC-152a, and HFC-125. However these molecules have very weak signatures compared to PAN.

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3 PAN retrievals

3.1 Forward model

The forward model component of the TES operational retrieval is described in detail in Clough et al. (2006). The TES forward model is based on the Line-By-Line Radiative Transfer Model (LBLRTM) (Clough et al., 2005; Alvarado et al., 2012). The required inputs include the temperature profile, profiles for relevant molecular species, cloud and aerosol characteristics, and the parameters necessary to describe the radiative properties of the surface.

The spectroscopic input used here for PAN are the cross section data from the HITRAN 2008 compilation (Rothman et al., 2009), which were in turn taken from the work of Allen et al. (2005a, b).

3.2 Retrieval approach

The TES PAN retrieval uses an optimal estimation approach (Rodgers, 2000; Bowman et al., 2006). Provided that the retrieved state is close to the true state, the retrieved state can be expressed as:

$$\hat{\mathbf{x}}_a = \mathbf{x}_a + \mathbf{A}(\mathbf{x} - \mathbf{x}_a) + \mathbf{G}\mathbf{n} + \mathbf{G}\mathbf{K}_b(\mathbf{b} - \mathbf{b}_a), \quad (1)$$

where $\hat{\mathbf{x}}_a$, \mathbf{x}_a , and \mathbf{x} are the retrieved, a priori, and the “true” state vectors. The state vectors are expressed as the natural logarithm of volume mixing ratio (VMR) for TES trace gas retrievals. The gain matrix, \mathbf{G} , maps from radiance space into profile space. The vector \mathbf{n} is the noise on the spectral radiances. The vector \mathbf{b} represents the true state for parameters that affect the modeled radiance but are not included in the retrieval state vector (such as calibration, concentrations of interfering gases, etc.). The vector \mathbf{b}_a holds the corresponding a priori values. The Jacobian, $\mathbf{K}_b = \partial\mathbf{L}/\partial\mathbf{b}$, describes the sensitivity of the forward modeled radiances \mathbf{L} to the vector \mathbf{b} .

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maximum PAN value occurred at a pressure higher than 600 hPa (surface maximum) or at a pressure less than 600 hPa (maximum aloft). The profiles for each of these six categories were averaged. The a priori profiles for the month of July are shown in Fig. 4 as an example. Since little information exists about variations in the global distribution of PAN from one year to the next, we use the same a priori profiles for all years of TES data.

For each model grid box, we assign a category and use the relevant average profile as the retrieval a priori. At the start of the retrieval, the observation co-ordinates and month are used to select the relevant a priori profile. The initial guess for each retrieval is always set to a profile with a uniform value of 0.3 ppbv in the troposphere. (The stratospheric values follow the GEOS-Chem profiles, which drop off rapidly above the tropopause.) The idea is to have a high initial guess value, in order to avoid entering null space on the first step in the retrieval, and to have a uniform tropospheric value in an attempt to avoid forcing the retrieval towards surface-maximum versus maximum-aloft profiles. The a priori profile is therefore different than the initial guess.

Our a priori uncertainties are high, and we might expect to encounter interesting cases (e.g. in plumes) where our truth may be far from the a prior profiles. We have therefore used a relatively relaxed retrieval constraint. The PAN constraint matrix used here is diagonal, with diagonal elements set to $(\ln(3.0))^2$ for all retrieval levels. For nadir retrievals, where vertical information is limited, it is common practice to introduce off-diagonal elements in the constraint matrix in order to avoid spurious oscillations in the retrieved profile. Due to the nature of the broad PAN spectral feature, or perhaps to the relatively coarse spectral resolution of the PAN cross-section data used in the forward model, we found that the retrieval did not attempt to obtain better fits by introducing oscillations in the profile, and we did not find it necessary to introduce off-diagonal elements in the constraint matrix.

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3.4 Retrieval characteristics

The PAN signals in the TES spectra are relatively weak compared to the noise level of the instrument (see Fig. 1). Therefore, the amount of information on PAN that can be retrieved from a single scan is somewhat limited. Figure 5 shows an example TES PAN retrieval for a measurement within a boreal fire plume (as determined by Alvarado et al., 2010, 2011), with associated averaging kernels. In this example, we see peak sensitivity to PAN at 300–400 hPa, with ~ 1.0 degrees of freedom for signal (DOFS) for the retrieval. While the pressure range of the peak sensitivity varies according to atmospheric and surface conditions, and the averaging kernels may peak much lower in the atmosphere for some cases where the peak in PAN concentration is near-surface, we find that the shape of the averaging kernels shown here is representative of the majority of TES PAN retrievals. In general, the number of DOFS is less than 1.0. Therefore, the TES PAN retrievals cannot provide information about the vertical structure of the PAN profile.

3.5 Insight from simulated retrievals

In order to evaluate the performance of the retrieval algorithm, we performed a set of retrievals from simulated radiances for one TES global survey from July 2008 (2786 observations). The “true” PAN profiles for these simulations were from GEOS-Chem simulations for the same month. Other atmospheric and surface parameters (temperature, traces gases, cloud optical depth, cloud top height, surface temperature and surface emissivity) for the simulations were taken from the version 5 TES Level 2 products. Atmospheric and surface state information were used as input to the TES forward model in order to simulate top-of-atmosphere radiances and random noise based on TES instrument noise characteristics was added to the calculated radiances. We also simulated radiances for the same set of profiles, but with zero PAN. We calculated the PAN signal in each case by subtracting the “zero-PAN” spectrum from the “with-PAN” spectrum for each case.

We then calculated a signal-to-noise (SNR) value for each case, using the difference between the “with-PAN” (L_{PAN}) and the “zero-PAN” ($L_{\text{NO_PAN}}$) radiances and the TES noise for the PAN microwindows.

$$\text{SNR} = (L_{\text{PAN}} - L_{\text{NO_PAN}})^T \mathbf{S}_n^{-1} (L_{\text{PAN}} - L_{\text{NO_PAN}}) \quad (4)$$

The same GEOS-Chem fields were used here for truth here as were used to generate the prior. Therefore, in this simulation environment, the a priori state vector does (as it should) represent our knowledge of some mean state.

Figure 6a shows a two-dimensional histogram of the SNR against the peak PAN concentration in the true profiles. (No retrieval is involved in the generation of this panel – only profile input and simulated radiances.) 600 of the 2786 cases show $\text{SNR} > 1.0$. Since PAN is a relatively weak signal in TES spectra, we had the expectation that we would only detect PAN in cases with low cloud optical depth (Alvarado et al., 2011). We find from these simulations that with a cloud optical depth threshold of 0.5, we retain 1709 of the original 2786 cases and of those, 538 show $\text{SNR} > 1.0$. This provides a rough indication that TES can likely observe elevated PAN for cases where the cloud optical depth is less than 0.5. This cloud optical depth threshold is approximate. If the PAN VMR were extremely high, a cloud optical depth greater than 0.5 would not necessarily prevent detection of the signal.

From Fig. 6a, we also see that on the lower-PAN edge of the histogram, a SNR value of 1.0 corresponds very roughly to a “maximum PAN” value of 0.2 ppbv. From this, we infer an approximate detection limit of 0.2 ppbv.

We performed PAN retrievals from the “with-PAN” simulated radiances. For this set of retrievals, the surface and atmospheric state were known perfectly. This is significantly less complicated than the situation when performing retrievals from real data, where there is inherent uncertainty associated with the additional surface and atmospheric parameters, even for those that have been retrieved in previous steps of the algorithm. These retrievals from simulations can provide insight into the limitations of the retrieval under idealized conditions.

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influence of the prior information on the end result. For the low cases, the prior is, on average, higher than the truth and for the high PAN cases, the prior is lower than the truth, introducing some bias. For these retrievals, where the DOFS is always less than 1.0, we must accept some influence of the prior.

5 There is some uncertainty associated with the absolute radiance calibration of the TES instrument. Previous work (Connor et al., 2011; Shephard et al., 2008a) has also shown some systematic offsets between TES filters, of the order of tenths of Kelvin. However, our retrieval strategy should mitigate the impact of this uncertainty on the PAN retrieval. (We re-retrieve cloud and surface emissivity before the PAN step, in
10 order to set a baseline against which the PAN signal can be assessed.) Similarly, if the surface emissivity and the cloud optical depth can be assumed to vary linearly with wavenumber across the $\sim 40 \text{ cm}^{-1}$ wide PAN spectral region, we can assume that the impact of uncertainties in these quantities are also made small by our choice of retrieval strategy. Connor et al. (2011) showed that the TES radiances exhibit excellent stability
15 between 2005 and 2009. Subsequent work (T. Connor, personal communication, 2012) indicate that the radiances remain stable between 2010 and 2012. Therefore, drift in the radiance calibration in time should not be a concern for use of the TES PAN retrievals for assessing long-term variations such as interannual variability.

20 Systematic errors in the PAN cross-section data used as input to the forward model would also result in systematic errors in the PAN retrieval. An error in the integrated band intensity of the PAN cross-section would be expected to translate (roughly) into the same percentage error in the retrieved PAN VMR. Here, we used PAN cross sections from the HITRAN 2008 compilation (Rothman et al., 2009), which were in turn taken from the work of Allen et al. (2005a, b). These measurements were made at three
25 temperatures in order to capture the temperature dependence of the cross section, but no measurements were recorded at temperature below 250 K. Allen et al. (2005a) cite uncertainties in the integrated band intensity of around 5% for the band used here, but this does not include the extrapolation error below 250 K. Following the logic of Tereszchuk et al. (2013), we assume a value of $\sim 8\%$ for the spectroscopic error.

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Interfering species (see Fig. 1) are another potential source of uncertainty in the PAN retrievals. For the TES PAN retrievals, H₂O is the dominant interfering species in the spectral range used. H₂O is highly variable in space and time. The impact of H₂O uncertainties is mitigated by our choice of microwindows and by the strategy of retrieving H₂O in a previous step. Validation of previous versions of the TES H₂O product indicate rms agreement of 25–45 % with in-situ validation data (Shepard et al., 2008b). Using the simulated dataset described in Sect. 3.5, we performed an additional set of retrievals where we scaled the H₂O in the initial guess atmospheric state for the PAN retrievals by random scaling factors with a standard deviation of 45 %. We found that the RMS difference in the retrieved PAN between the perturbed H₂O set and the reference dataset was less than 0.1 ppbv, although we do find that there are isolated cases where an underestimate in the H₂O can lead to spurious high retrieved PAN values. For this reason, we require a good quality flag from the TES H₂O retrieval for any given measurement before attempting a PAN retrieval.

We would expect the impact of the other interfering species to be small compared to that of water vapor. Although both O₃ and N₂O have relatively strong features in the spectral windows that we are using, neither of these molecules show a smooth variation that would be confused with a PAN signature. We do require that the O₃ and N₂O retrieved in previous retrieval steps pass basic quality flags before a PAN retrieval is attempted. However, significant errors in these molecules would result in a high initial χ^2 value (Sect. 3.2), which would likely mean that a PAN retrieval would not be attempted in any case. CFC-12 does show a smooth variation in the PAN spectral region. However, we assume that CFC-12 is extremely well-mixed, that the value is well known, and that uncertainties associated with CFC-12 are small compared to other terms. We assume that the error associated with other CFCs and HFCs with spectral features in this region is extremely small compared to the error associated with water vapor.

Uncertainties in the temperature profile are another potential source of uncertainty in the PAN retrievals. Uncertainties in the TES temperature retrievals are of the order

of northern Eurasian biomass burning on the atmosphere at this time (Warneke et al., 2009, 2010).

Other aspects of the geographical distribution of elevated PAN values also appear as we might expect, with a higher density of elevated PAN detections and generally higher PAN values over the East Asian source region, and generally lower values and lower density of detections going out over the Pacific. The sporadic nature of extremely elevated PAN in biomass burning smoke is also reflected at high northern latitudes, extending from Russia across the Northern Pacific. To explore this in a more quantitative way, we looked at the proportion of elevated PAN detections relative to the total number of TES observations in four latitude/longitude boxes which separately represent the polluted Asian coast and immediate outflow region, the eastern Pacific and the Arctic (Fig. 9).

In the box over Asia, we see the highest occurrence of elevated PAN detections, with elevated PAN detected in 9.5 % of TES observations. The box over Asia also shows a higher occurrence of very high PAN values than the Eastern Pacific. We see fewer PAN detections over the Eastern Pacific (4.2 %). We also see a higher incidence of elevated PAN values (6.6 %) in the southern part of the West coast of North America. The retrieved values in this region are generally lower than those observed over Asia, and we speculate that this pattern is driven by North American pollution, where reactive nitrogen pollution advected off the coast and recirculated over the eastern Pacific. The Arctic region has a relatively high density of total TES observations. Although the incidence of elevated PAN values (3.1 %) is not as high as in the other highlighted regions, the Arctic shows the highest incidence of extreme high PAN values (> 1.5 ppbv). We speculate that these high values are associated with springtime fires in northern Eurasia.

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5 Summary/conclusions

We can detect elevated PAN in TES spectra. We use initial data from April 2008 as an example here. During this month, PAN was detected in $\sim 10\%$ of TES observations over the Asian pollution source region, and elevated PAN over the North American Arctic associated with wildfires in Russia was also detected. The TES radiance dataset provides global coverage over multi-year timescales, providing opportunities for investigations of tropospheric PAN on spatial and temporal scales that have not been possible with in-situ measurements.

Results from simulated retrievals suggest that TES can detect PAN in profiles where the maximum value is around 200 pptv, although the presence of elevated PAN values in the atmosphere viewed by TES does not guarantee that TES can detect PAN. The detection of PAN in TES spectra is also subject to the atmospheric and surface conditions.

The uncertainty on TES PAN retrievals is of the order of 30 to 50%. The error budget is largely dominated by the instrument noise. However, since PAN is highly spatially variable, and TES is not capable of measuring “background” PAN levels, the TES PAN dataset is not suitable for simple spatial averaging. We suggest that the utility of the TES PAN dataset will lie in providing information on the frequency of incidence of elevated PAN on a global scale and on multi-year timescales.

The retrieval approach presented here could potentially be applied to other nadir infrared sounders, such as the Infrared Atmospheric Sounding Instrument (IASI) and the Atmospheric InfraRed Sounding Instrument (AIRS). These instruments have lower spectral resolution than TES, but significantly greater spatial coverage, providing increased probability of observing high-PAN plumes.

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Table 1. Microwindows used in the TES PAN retrieval (and preceding emissivity retrieval step).

Target of retrieval	Wavenumber ranges [cm^{-1}]
Surface emissivity	1142.98–1145.98, 1178.98–1180.00
PAN	1154.02–1160.02, 1161.52–1163.02, 1168.00–1169.62

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Table 2. Sources of uncertainty in TES PAN retrievals.

Uncertainty	Nature of uncertainty	Estimated magnitude for a profile with 0.5 ppbv PAN in the mid-troposphere
Instrument noise	Random	30 %
Bias from a priori	Systematic	Depends on a priori
Absolute instrument calibration	Systematic	Assumed negligible
Spectroscopic uncertainty	Systematic	8 %
H ₂ O	Pseudo-random	20 %
Other interfering species	Systematic or pseudo random	< 10 %

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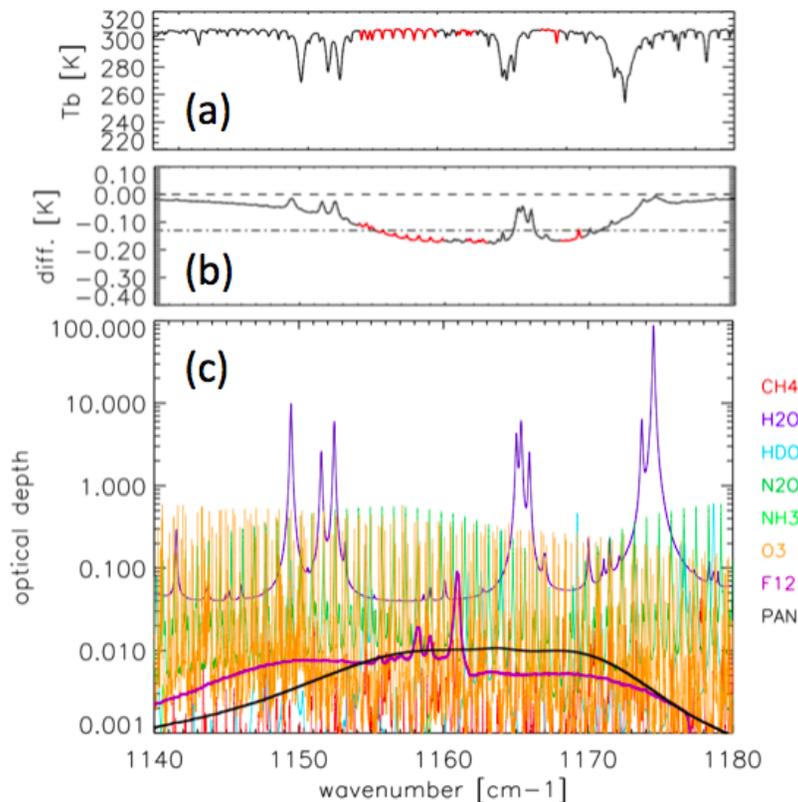


Figure 1. (a) Simulated TES spectrum in the PAN spectral region. Red regions show the spectral regions utilized in the TES PAN retrievals. (b) Brightness temperature difference for simulations with and without PAN, for the two PAN profiles shown in Fig. 2. (The signal for these two profiles cannot be distinguished by eye.) Dotted line shows the TES noise for a single observation. (c) Optical depth contributions for the dominant interfering species in this spectral region. Species other than PAN are for a US standard atmosphere.

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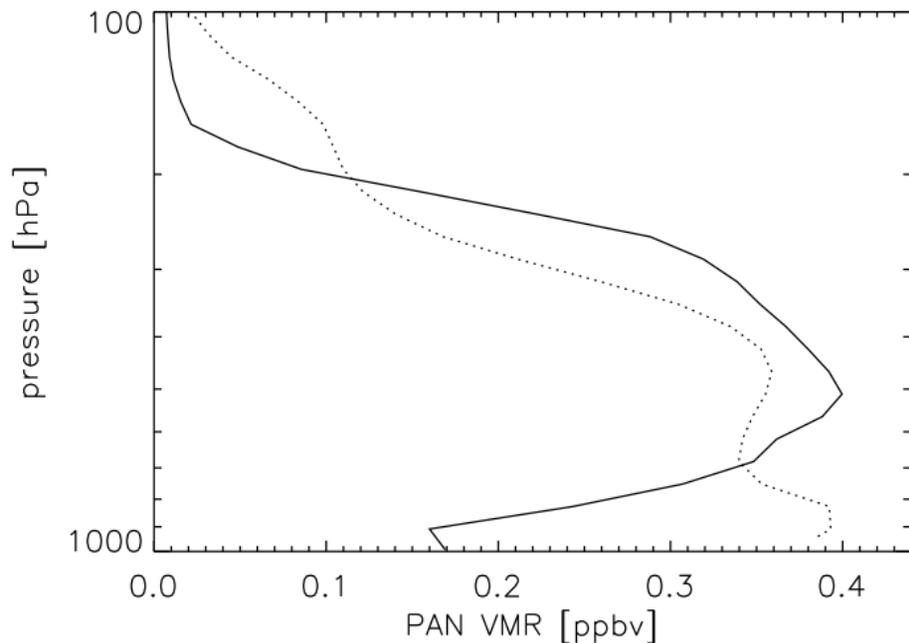


Figure 2. Example of two GEOS-Chem PAN profiles that result in effectively the same signal in TES spectra.

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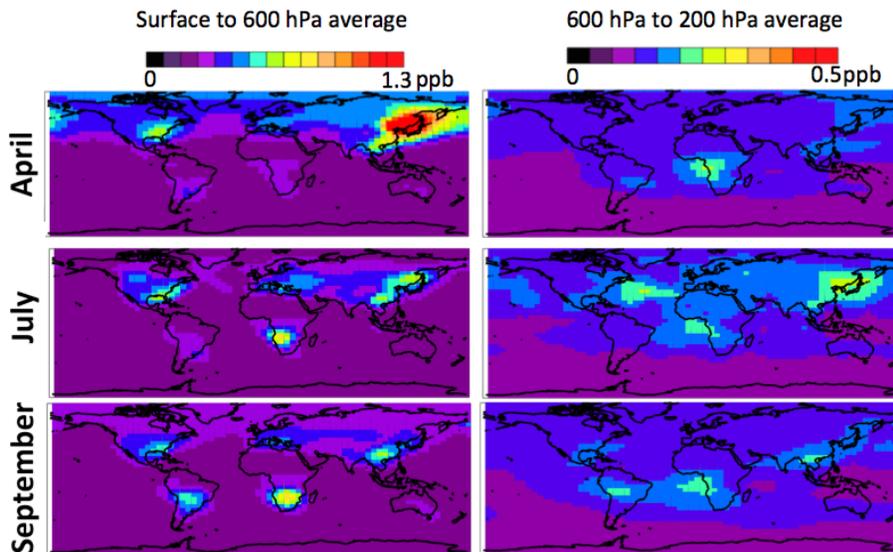


Figure 3. PAN fields from GEOS-Chem. Panels on left show average PAN VMR between 600 hPa and surface for April (top), July (middle) and September (bottom) 2008. Panels on right show averaged VMR between 600 and 200 hPa.

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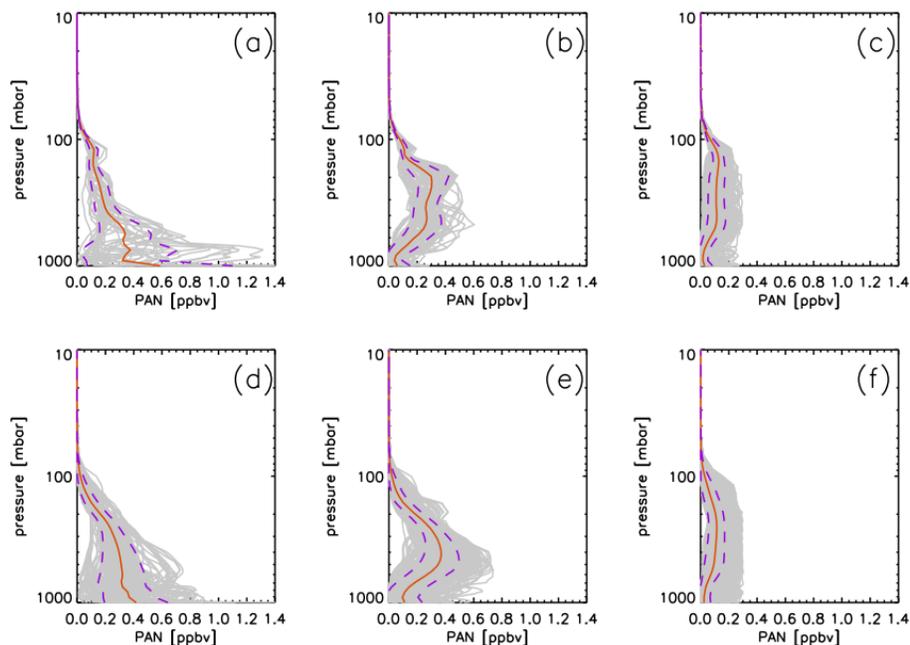


Figure 4. PAN profiles simulated by GEOS-Chem over the whole globe for July 2008, binned by type (in gray): **(a)** tropical profiles with a surface maximum, **(b)** tropical profiles with a maximum aloft, **(c)** tropical profiles with peak value < 0.3 ppbv, **(d)** outside tropics, surface maximum, **(e)** outside tropics, maximum aloft, **(f)** outside tropics, peak value < 0.3 ppbv. The mean profiles for each category (shown in red) are used as a priori profiles in the TES PAN retrieval. Standard deviations about the mean (shown as purple dashed lines) are shown for information, but are not used in the construction of the retrieval constraints.

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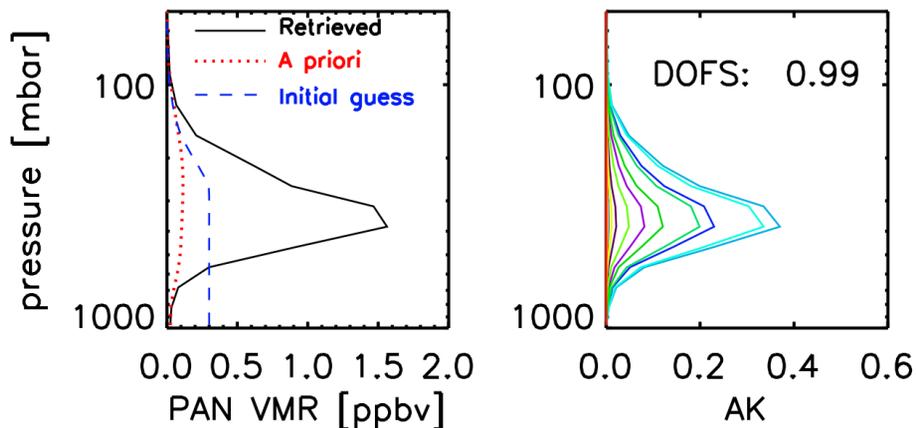


Figure 5. Left: example TES PAN retrieval for a measurement within a boreal fire plume. Right: averaging kernels for this example.

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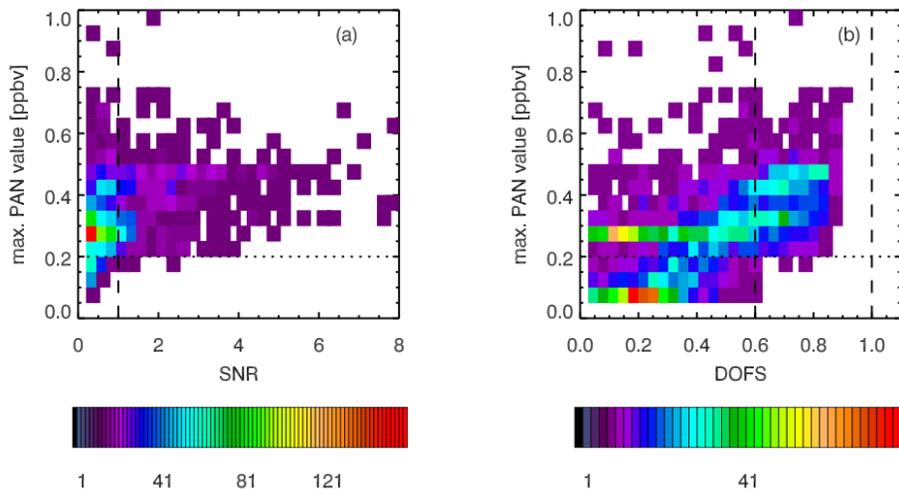


Figure 6. (a) Two-dimensional histogram of signal to noise (SNR) against peak PAN VMR. (b) Two-dimensional histogram of DOFS against peak PAN VMR. Color bars show the number of points that fall in each histogram bin.

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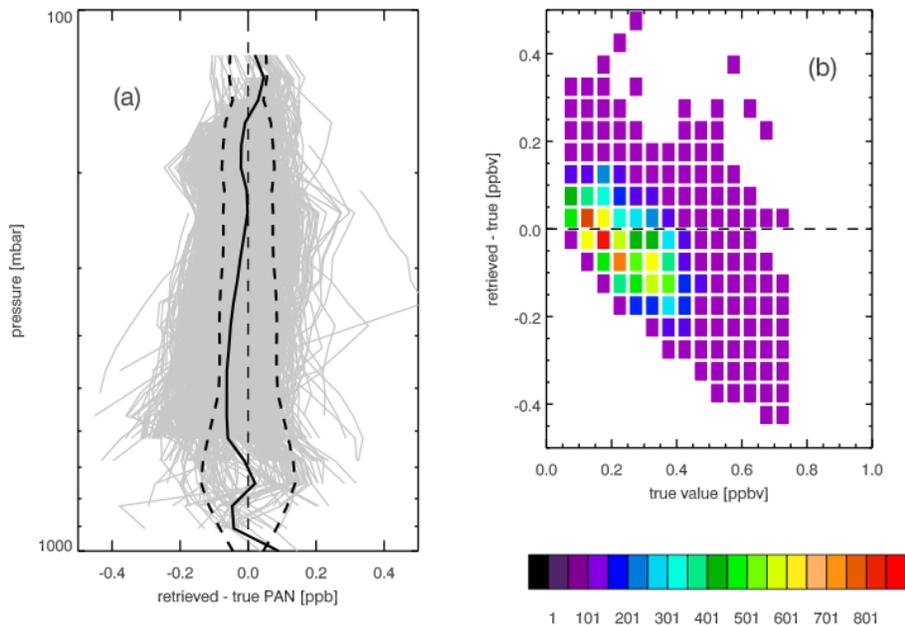


Figure 7. (a) Differences between retrieved and true PAN profiles, for the simulated dataset. (b) Two-dimensional histogram of differences between the retrieved and true PAN values as a function of the true values. Only points where the sum of the row of the averaging kernel is greater than 0.5 are shown. Color bar for (b) shows the number of points in each histogram bin.

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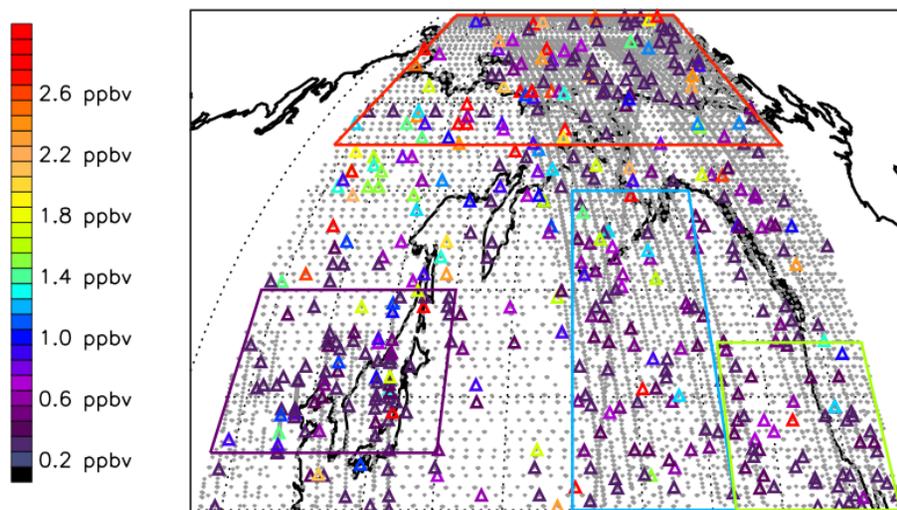


Figure 8. Gray points show all TES observations over the northern Pacific and surrounding land masses for April 2008. Colored triangles show points where elevated PAN was detected in the TES spectra with some confidence (DOFS > 0.6). The VMR values represent the average over all points in the profile where the sum of the row of the averaging kernel is greater than 0.5. Colored latitude/longitude boxes highlight the regions associated with the histograms in Fig. 9.

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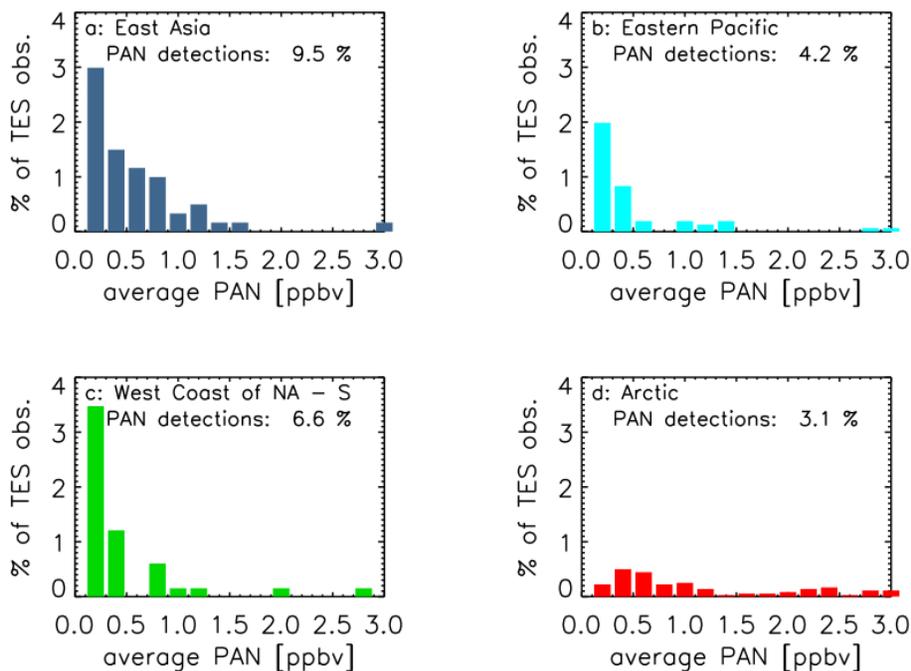


Figure 9. Incidences of elevated PAN as observed by TES during April 2008 in the latitude/longitude boxes shown in Fig. 8. VMR values represent the average for points in the profile where the sum of the row of the averaging kernel is greater than 0.5.