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Cloud discrimination in probability density functions of limb scattered sunlight measurements

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Abstract

A technique characterizing the distribution of cirrus cloud top occurrences from the Optical Spectrograph and Infrared Imaging System (OSIRIS) limb scattering radiance profiles is presented. The technique involves computing scattering residual profiles by comparing normalized measured radiance and modelled molecular radiance profiles where enhancements in the measured radiance indicate the presence of clouds. Probability density functions of scattering residuals show the distribution is not a continuum measurement; there is a distinction between the cloudy and cloud-free conditions. Observations show high cloud top occurrences in the upper troposphere and lower stratosphere region above Indonesia and Central America. Results obtained using this technique with OSIRIS measurements are compared to those obtained by Sassen et al. (2008) with CALIPSO nadir measurements and to those obtained by Wang et al. (1996) with SAGE II solar occultation measurements.

1 Introduction

Clouds have pivotal influence on the Earth's hydrological cycle and climate system because they are intricately involved in the dynamical, chemical, and radiative processes within the upper troposphere and lower stratosphere (UTLS) (Chahine, 1992; Liou, 1992; Hobbs, 1993). Cirrus clouds occur at high altitude around the tropopause level and, despite their thin appearance and low optical thickness, they have an important contribution to the radiative balance of the atmosphere (Liou, 1986, 2002). The processes in this region of the atmosphere have become increasingly important for a clear understanding of feedback mechanisms in the climate system.

Detecting and discriminating clouds can be non-trivial. Cloud visibility depends on several factors like the viewing geometry of the measuring instrument, the relative brightness between the targeted cloud and its background, and the scattering phase function, which characterizes scattering directionality (Sassen et al., 1989). The optical

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The SASKTRAN model was used to approximate the molecular background radiance within an atmosphere free of aerosols and clouds. By comparing of the measured radiance profiles from OSIRIS to the modelled ones obtained using SASKTRAN, the radiance contribution from clouds and aerosols can be quantified.

5 The measured radiance and modelled molecular radiance profiles were directly compared after employing a tangent altitude normalization. The reference tangent altitude was chosen nearest 35 km because it is typically cloud- and aerosol-free at this altitude and because OSIRIS measurements above this altitude start to become contaminated by larger noise due to exponentially falling signal levels. Only scans taken during the
10 descending track of Odin's orbit were considered, which for a short time period and narrow latitude band, the scattering angle is roughly constant.

In a cloud- and aerosol-free atmosphere, the normalized measured radiance, $\tilde{I}_{\text{measured}}$, and the normalized molecular radiance, $\tilde{I}_{\text{modelled}}$, profiles should essentially agree within the accuracy of the optically thin approximation. The limb radiance is approximately exponential in altitude for an optically thin atmosphere. The discrepancy
15 between the curves within 12 and 35 km tangent altitudes, shown in the left plot of Fig. 1a, is enhanced scattering due to stratospheric aerosol. Further discrepancies in the measurements indicate the presence of additional aerosol or cloud scattering. We define the difference between the logarithm of the measured and modelled molecular radiance as a *scattering residual*, R , that can be used to characterize scattering
20 enhancements,

$$R = \ln \left(\frac{\tilde{I}_{\text{measured}}}{\tilde{I}_{\text{modelled}}} \right). \quad (5)$$

In a cloud-free atmosphere, the residual values in the right hand plot of Fig. 1a hover around zero except in the region where there is a contribution from stratospheric
25 aerosol. Figure 1b shows a positive enhancement between 13.7 and 16.1 km tangent altitudes suggesting the presence of clouds.

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Scattering residual profiles were generated and used to create histograms of scattering residuals. In the absence of clouds the residual values linger around zero, so collectively they form a histogram peak near zero and represent the cloud-free condition. In the event of a cloud, scattering is enhanced so the residual values are much
5 greater and form a second histogram peak. The histogram was normalized by the total number of measurements to obtain a probability density function (PDF). The tangent altitude regions of interest, which are defined by the local tropopause of the scan, were defined to be 1 km thick and a residual PDF was made for each region. For a given latitudinal band, these PDFs form a two dimensional probability density surface shown
10 in Fig. 2. The left maximum range represents the cloud-free condition and the smaller right maximum range represents the cloudy condition. An interesting and useful property of these PDFs is that the distribution in the residual PDF is not a continuum measurement. The separation of the peaks, which is a significant result, provides a clear indication of the ability to distinguish the two conditions.

15 3.2 Cloud-free threshold as a function of altitude

Statistically, integrating a PDF between two limits yields the probability of occurrence of such event within the range of interest. The object here is to separate the cloudy and cloud-free conditions by defining a threshold line that lies between the two distributions. Then, to obtain the probability of locating a cloud within the defined tangent altitude
20 region and latitudinal band, the PDF is integrated from the threshold position over the cloudy condition.

Figure 2 shows the cloud-free threshold curve as a function of altitude overtop the probability density surface for scans in the Northern Hemisphere tropical latitudinal band from May to August 2007 at 800 nm. The distributions corresponding to the cloud-free and cloudy conditions gradually shift to slightly higher residual values as altitude increases due to increasing stratospheric aerosol concentration with altitude. Thus, the threshold distinguishing the two conditions is a curved line as a function of altitude. Although they maintain the same general shape, the maxima cloud-free and cloudy
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to avoid a counting bias, clouds should only be detected once at the highest detected tangent altitude.

To correct this bias, each scan was checked for residual values that fell in the tangent altitude region of interest beyond the cloud-free threshold curve. The cloud-free threshold curve is a function of altitude; the dashed green line in Fig. 2 separates the cloud-free and cloudy conditions. Thus, the threshold curve was overlaid on the residual profile. The first and highest cloud occurrence beyond the threshold curve was noted and all other residual values below this altitude were disregarded. Therefore, rather than simply detecting the presence of a cloud at a given altitude, the results show the detection of cloud tops; that is the highest measurement that shows the presence of cloud.

4 Comparison to CALIPSO

In an effort to validate and test the cloud detection technique, results using OSIRIS data are compared to those obtained by Sassen et al. (2008) who utilized CALIPSO measurements.

CALIPSO is a joint satellite mission between NASA Langley Research Center and CNES to investigate the impact clouds and aerosols have on the radiative balance of the atmosphere. CALIPSO was launched April 2006 into a circular sun-synchronous polar orbit at about 705 km altitude. Like Odin, CALIPSO has an orbital inclination of 98° from the equator which provides global coverage from 82° S to 82° N. The satellite retraces its track to within ± 10 km every 16 days (Winker et al., 2004, 2007).

Among the three nadir-viewing instruments onboard CALIPSO, Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) is a polarization-sensitive lidar and takes measurements of the total attenuated backscatter at 532 and 1064 nm. The lidar profiles contain information on the vertical distribution of clouds and aerosols, the ice/water phase composition of the clouds through the ratio of the signals from two orthogonal

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polarization channels, and the size distribution of aerosol particles through the wavelength dependence of the backscatter signal (Winker and Pelon, 2003).

Sassen et al. (2008) studied the global distribution of cirrus clouds from CALIPSO measurements from 15 June 2006 to 15 June 2007. A cirrus cloud identification algorithm was developed to assure only cirrus clouds detected by CALIPSO were used in the analysis. Additional constraints were applied to the data to void detections of polar stratospheric clouds (PSCs) and ice clouds occurring at unusually low altitudes. Lastly, in order to distinguish cloud layers, clouds must be separated by a minimum of 1.0 km in height (Sassen et al., 2008).

Figure 1 of Sassen et al. (2008) shows the global distribution of the average cirrus cloud occurrence frequency as detected by the CALIPSO identification algorithm in terms of 5.0° longitude and 5.0° latitude grid boxes. Figure 2 of Sassen et al. (2008) displays the equivalent distribution as altitude versus latitude in 0.2 km height intervals and 2.5° latitude bins. For comparison, the analogous average cloud top occurrence frequency of clouds detected by OSIRIS using the cloud detection technique are shown in Fig. 5a, b, respectively, with 20° longitude and 7.5° latitude grid boxes and 2 km height intervals. The comparisons reveal good agreement especially considering the different viewing geometries of the instruments.

The areas where there is maximum cirrus cloud coverage occur predominantly in the tropical belt at relatively high altitudes. In Fig. 5a, the cirrus cloud occurrence frequency is slightly higher than 60 % over Indonesia and the western part of the Pacific Ocean, and smaller maxima with ~ 55 % occurrence frequency occur over Central and South America and western-central Africa. These are known regions of intense convective activity: the monsoons in Indonesia, the south-east Asian rainforest, the rainforests in Central America and in the Amazon region, and the Congo Basin rainforest in Africa (Wang et al., 1996; Dessler and Sherwood, 2004; Fu et al., 2007; Sassen et al., 2009). The minima bands along $\pm 30^\circ$ latitude correspond to the dry downwelling on the edge of the Hadley cells.

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From Fig. 5b, the latitudinal distribution shows maximum cirrus cloud occurrence within the tropical belt between $\pm 15^\circ$ latitude at 14 km altitude, which is coincident with the mean location of the Intertropical Convergence Zone (ITCZ) and the level of maximum convective outflow. There is also about 23% cloud occurrence in the lower stratosphere within the tropics. These ultra thin stratospheric clouds are observed by OSIRIS but are not detected by CALIPSO likely due to differing instrument sensitivities and viewing geometries. Cirrus cloud occurrence generally decreases as the poles are approached except at the South Pole where the presence of PSCs dominate. PSCs occur well within the stratosphere near 14 km at high southern latitudes and were removed from the CALIPSO detections.

5 Comparison to SAGE II

The cloud detection technique with OSIRIS limb scattering measurements is further compared to those obtained by Wang et al. (1996) who used SAGE II occultation measurements as the viewing geometries of these two instruments are similar.

The SAGE II instrument was aboard the Earth Radiation Budget Satellite and its mission was to measure the vertical profiles of ozone, nitrogen dioxide, water vapour, and the aerosol extinction coefficient. The satellite flew in a sun-synchronous orbit with a 90 min period and a 57° inclination to allow a latitudinal coverage of approximately 135° per month. The latitude extremes varied seasonally, however there was no sampling poleward of 55° during boreal and austral winters (Wang et al., 1996).

SAGE II was a seven-channel radiometer with channels centered at 0.385, 0.448, 0.453, 0.525, 0.600, 0.940, and $1.02 \mu\text{m}$. The instrument used the solar occultation technique capturing 15 sunrise events in one day. These were approximately equally separated in longitude and exhibited a slight shift in latitude between consecutive measurements. The instrument's field of view was 0.5 km vertically and 2.5 km horizontally at the tangent point (Wang et al., 1996).

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Wang et al. (1996) assembled a climatology of cloud occurrence frequency based on six years of SAGE II observations between 1985 and 1990. Subvisual and opaque clouds were distinguished by the measurement upper limit extinction coefficient for aerosols. Using the cirrus cloud classification from Sassen and Cho (1992), clouds with extinction coefficients larger than the measurement limit were marked as opaque clouds because the transmitted signal fell beyond the instrument's sensitivity and the cloud profile was restricted to that altitude (Wang et al., 1996). Furthermore, clouds were distinguished from aerosols through the ratio for the extinction coefficients at two wavelengths, namely at 0.52 and $1.02 \mu\text{m}$. Such a ratio contains information on the particle size.

Fueglistaler et al. (2009) compare the mean cirrus cloud occurrence frequencies for opaque and cirrus clouds derived from CALIPSO and SAGE II instruments in Fig. 9a, b of Fueglistaler et al. (2009), respectively. Although both instruments demonstrate thinner cirrus clouds occur within the Tropical Tropopause Layer and opaque clouds occur at lower altitudes, the curves illustrating the occurrence frequencies do not agree well. The $\tau < 0.03$ thin line in Fig. 9b of Fueglistaler et al. (2009) should be contained within the $\tau < 0.1$ thin line in Fig. 9a of Fueglistaler et al. (2009). According to Fueglistaler et al. (2009), the differences between the CALIPSO and SAGE II occurrence frequencies are partly due to the dissimilar viewing geometries of the instruments and to the different optical depth thresholds. Figure 6 demonstrates the cloud top occurrence frequency from OSIRIS measurements between June 2006 and June 2007. Upon visual inspection, the shapes of the tropical latitudinal curves agree nicely with the SAGE II thin cirrus curve. This result is encouraging especially because the two instruments have similar viewing geometries. OSIRIS detected roughly 10% more cirrus clouds than SAGE II possibly because of a higher limb viewing sensitivity. This result leads to a confirmation of the theory presented in Fueglistaler et al. (2009).

Plate 1a of Wang et al. (1996) shows the global distribution of cirrus cloud occurrence frequency between 1985 to 1990 as measured by SAGE II. This figure can be compared to Fig. 1 of Sassen et al. (2008) and Fig. 5a. Note, however, that the data

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used in these figure do not cover the same time period, so differences are to be expected. The comparison between figures must be carried out with care as the figures using CALIPSO and SAGE II data are produced on an absolute altitude scale while the OSIRIS analysis utilizes an altitude scale relative to the local tropopause. The OSIRIS analysis was carried out in this way to compensate how the tropopause height falls in altitude as the poles are approached. Similarly, the six-year average zonal mean occurrence frequency distribution of SAGE II subvisual clouds is shown in Fig. 2a of Wang et al. (1996) and can be compared to Fig. 2 of Sassen et al. (2008) and Fig. 5b. In agreement between these figures and Fig. 6, the maximum cirrus cloud occurrence is between 14 and 15 km altitude within the tropical latitudinal band. While there are differences between CALIPSO, SAGE II, and OSIRIS measurements, the general positions and magnitudes of the maxima and minima cloud occurrence frequencies occur in relatively close agreement.

To assure adequate sampling, Wang et al. (1996) average six years of SAGE II occultation data together. Since OSIRIS captures enough sampling to form yearly figures, an average figure representing multiple years of data is not necessary and an analysis can be made on a yearly basis. In accompaniment to Fig. 5a which represents the year 2006–2007, Fig. 7 shows the yearly average cloud top occurrence frequency of clouds detected by OSIRIS in a three-kilometer layer below the local tropopause for the years 2005–2006, 2007–2008, and 2008–2009, where each year begins in June. Here again, there are clear maxima cloud occurrences over Indonesia, the Congo rainforest in Africa, and over Central American rain forests and the Amazon and the downwelling edge of the Hadley cells are neatly identified by the minima bands along $\pm 30^\circ$ latitude.

6 Conclusions

OSIRIS employs the limb scattering technique and measures the radiance of the atmosphere to infer information on the distribution of ozone, nitrogen dioxide, and aerosols

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within the stratosphere. Although clouds are not measured directly, OSIRIS measurements are used in the development of a high altitude cloud detection technique.

The efficiency and reliability of the cloud detection technique depends on the residual profile's ability to characterize the occurrence of clouds. The scattering residual is computed as the logarithmic difference between the measured radiance profile at 800 nm where the atmosphere is optically thin down to tropospheric altitudes and the modelled molecular radiance profile. PDFs are produced from the scattering residual profiles for separate latitudinal bands on a monthly basis. Because the solar scattering angle changes over the course of a year, the variation in the amplitude of the measured radiance signal causes a shift in the PDF distribution along the residual axis. Thus, producing separate time resolved PDFs prevents blurring distributions.

The PDFs reveal the scattering residual distribution is not a continuum measurement. The ability to distinguish the cloudy and cloud-free conditions is key to the success of the technique. A Gaussian curve is used to model the cloud-free distribution. Threshold residual lines are drawn as a function of altitude two standard deviations to the right of the cloud-free range along the residual axis and delimit the occurrence of clouds. By overlaying these threshold lines onto the residual profiles, the presence of a cloud within the altitude region of interest can be determined.

A useful application of the cloud detection technique is to produce probability maps showing the distributions of cloud top occurrence frequencies. Cloud top maxima were found over Central America and over Indonesia as these correspond to highly convective regions and minima bands were located along $\pm 30^\circ$ latitude on the edge of the Hadley cells.

Fueglistaler et al. (2009) showed profiles of the mean cloud occurrence frequency versus altitude in the tropics as measured by CALIPSO and SAGE II and theorized the inconsistency between the profiles is a result of the different viewing geometries and optical depth thresholds of the instruments. For comparison, the cloud detection technique was used to plot the cloud top occurrence frequency versus altitude profiles derived from OSIRIS measurements for tropical and mid-latitude regions. The shape

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of the tropical profiles compared relatively well with the analogous cirrus cloud profile from SAGE II, which is an encouraging result because SAGE II and OSIRIS have similar viewing geometries.

The applications and use of the cloud detection technique have not been exhausted in this work. Since complex radiative transfer is required to accurately model scattering through optically thick layers of atmosphere or clouds, the cloud detection technique can be used to identify the presence of clouds as well as the cloud top altitude within the scans. Furthermore, the cloud detection technique can be employed to study the evolution of cloud top occurrence frequencies and distributions, and detecting PSCs at high southern latitudes during austral spring.

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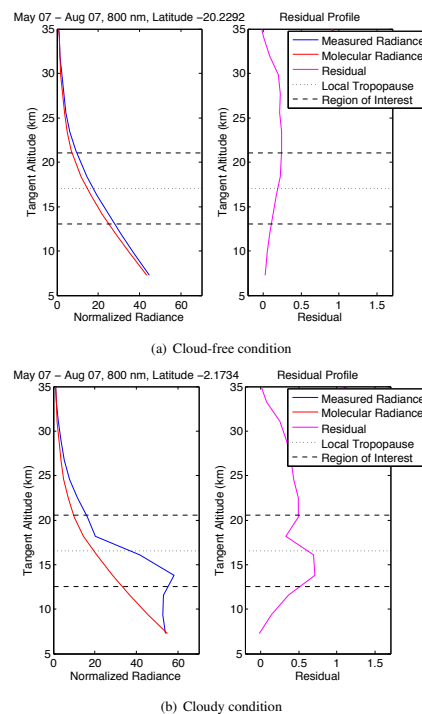


Fig. 1. Normalized radiance, density, and residual profiles as a function of tangent altitude at 800 nm for (a) cloud-free and (b) cloudy conditions.

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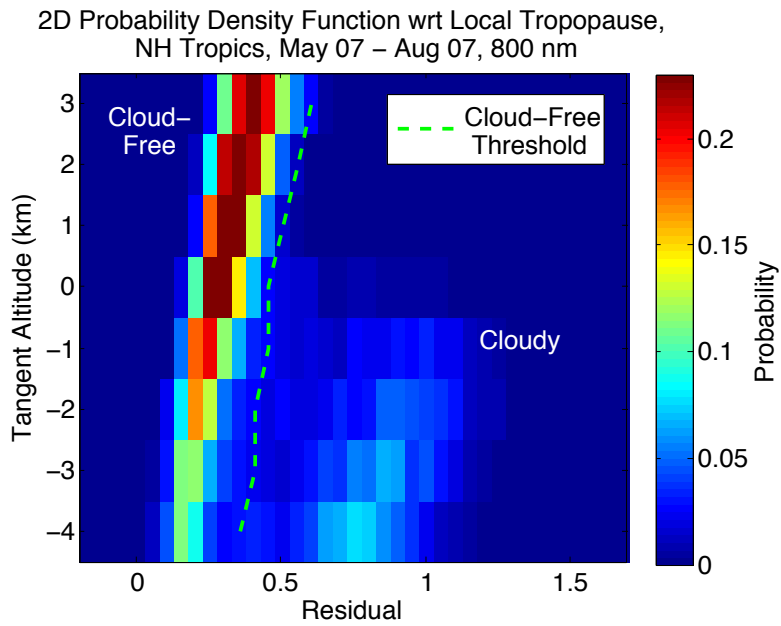


Fig. 2. Two-dimensional residual probability density function with the cloud-free threshold curve for scans within the Northern Hemisphere tropical latitudinal band from May to August 2007 at 800 nm. The vertical axis is shown with respect to the local tropopause.

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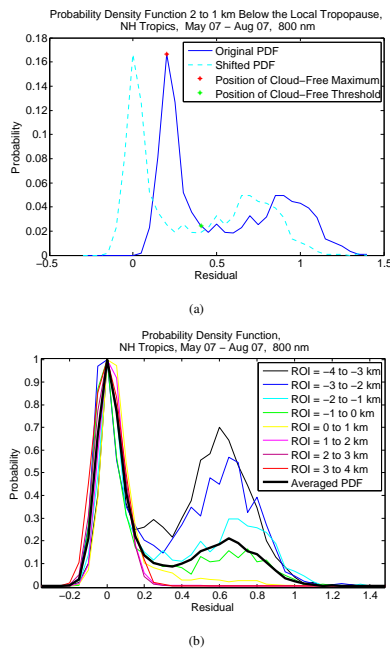


Fig. 3. (a) Probability density function for scans within 2 to 1 km below the tropopause within the Northern Hemisphere tropical latitudinal band from May to August 2007 at 800 nm. **(b)** Normalized and shifted probability density functions for various altitude regions of interest and averaged probability density function for scans within the Northern Hemisphere tropical latitudinal band from May to August 2007 at 800 nm.

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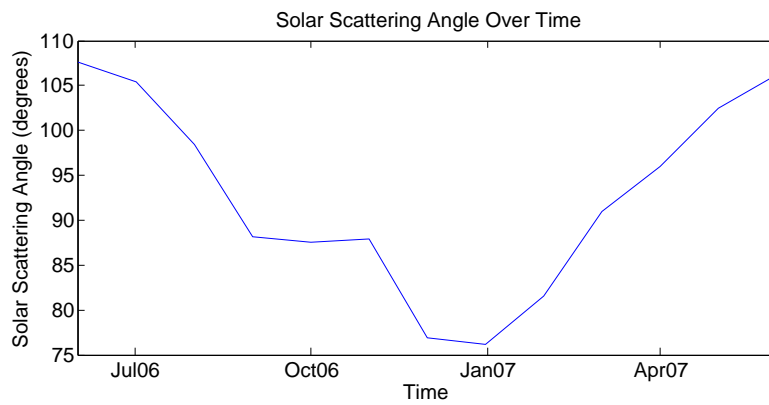
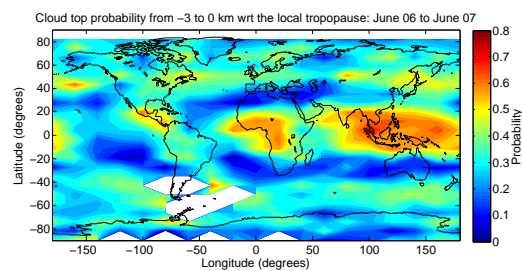
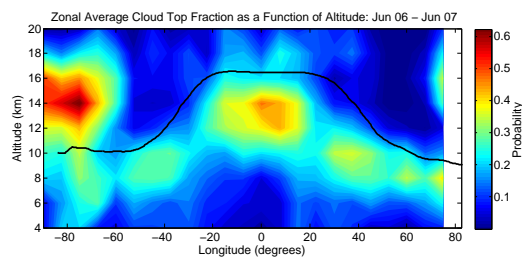


Fig. 4. Solar scattering angle as a function of time from June 2006 to June 2007.

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(a) Average cloud top occurrence by OSIRIS



(b) Zonal average cloud top occurrence by OSIRIS

Fig. 5. (a) Average cloud top occurrence frequency of clouds detected by OSIRIS algorithm in a three-kilometer thick layer below the local tropopause between June 2006 and June 2007. (b) Zonal average cloud top occurrence frequency of clouds detected by OSIRIS algorithm between June 2006 and June 2007.

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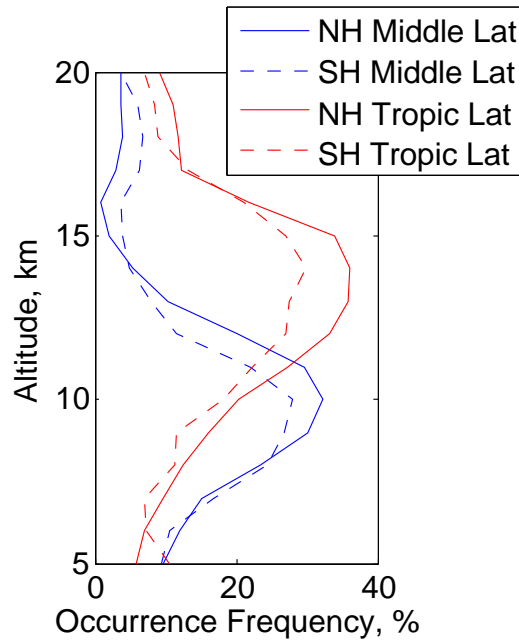


Fig. 6. Mean cloud occurrence frequency versus altitude as measured by OSIRIS from June 2006 to June 2007. Tropical and mid-latitudinal bands were defined as $0 \leq \theta < 25$ and $25 \leq \theta < 55$ in their respective hemispheres.

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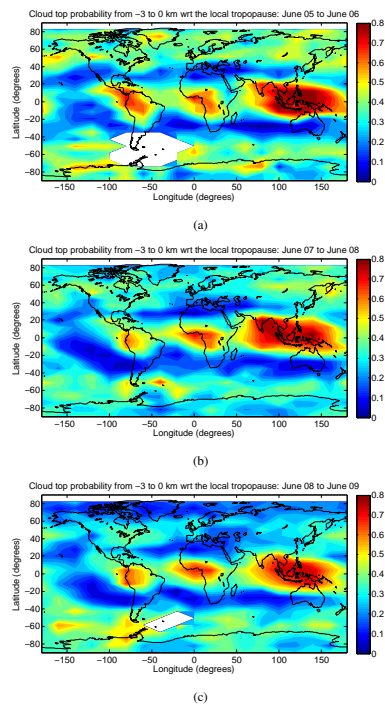


Fig. 7. Yearly average cloud top occurrence frequency of clouds detected by OSIRIS algorithm in a three-kilometer thick layer below the local tropopause.

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